

COST ALLOCATION AGREEMENT
STATE AND LOCAL GOVERNMENTS

STATE OF ARIZONA
100 N. 15th Avenue, Rm. 302
PHOENIX, AZ 85007

DATE: June 21, 2021
FILING REF.: The preceding
agreement was dated:

05/23/19

G16358

SECTION I: ALLOCATED COSTS

The central service costs listed in Exhibit A, attached, are approved on a fixed basis and may be included as part of the costs of the State/local departments and agencies indicated during your fiscal year ended June 30, 2018 for further allocation to Federal grants, contracts and other agreements performed at those departments and agencies.

SECTION II: BILLED COSTS

In addition to Section I, which provides for services furnished but not billed, the services listed below are furnished and billed to State/local departments and agencies.

A. Department of Administration

1) Arizona Strategic Enterprise Technology Division

- Automation Service
- Telecommunication Service
- Strategic Transformation and Innovation

2) General Services Division

- Motor Pool
- Special Services (Reproduction, Mail and messenger, Central Supplies)

3) Human Resources Division

- Personnel Fund
- Health Insurance Trust Fund

4) Risk Management Division

- Risk Management Fund
- Unemployment Compensation

5) General Accounting Office

- Arizona Financial Information Systems (AFIS) Collections Fund
(new service, effective July 1, 2016)

B. Department of Law - Interagency Services Agreement

C. Office of the Auditor General - Audit Services

D. Retirement Fund - ASRS

Direct charges from the above centers will be billed in accordance with rates established by the State and included in the records of the operating department/agency responsible for providing services.

STATE/LOCALITY: State of Arizona

AGREEMENT DATE: June 21, 2021

SECTION III: CONDITIONS

The amounts approved in Section I and the billings for the services listed in Section II are subject to the following conditions:

A. LIMITATIONS: (1) Charges resulting from this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. (2) Such charges represent costs incurred by the State/locality which are legal obligations of the State/locality and are allowable under Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200). (3) The same costs that are treated as indirect costs are not claimed as direct costs. (4) Similar types of costs are accorded consistent accounting treatment. (5) The information provided by the State/locality which was used to establish this Agreement is not later found to be materially incomplete or inaccurate.

B. ACCOUNTING CHANGES: This Agreement is based on the accounting system purported by the State/locality to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from use of this Agreement require prior approval of the authorized representative of the Cognizant Agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from allocated cost to a billed cost. Failure to obtain approval may result in cost disallowances.

C. FIXED AMOUNTS: If fixed amounts are approved in Section I of this Agreement, they are based on an estimate of the costs for the period covered by the Agreement. When the actual costs for this period are determined, adjustments will be made to the amounts of a future year to compensate for the difference between the costs used to establish the fixed amounts and actual costs.

D. BILLED COSTS: Charges for the services listed in Section II will be billed in accordance with rates established by the State/locality. These rates will be based on the estimated costs of providing the services. Adjustments for variances between billed costs and the actual allowable costs of providing the services, as defined by 2 CFR 200, will be made in accordance with procedures agreed to between the State/locality and the Cognizant Agency.

E. USE BY OTHER FEDERAL AGENCIES: This Agreement was executed in accordance with the authority in 2 CFR 200, and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in Paragraph A above. The state/locality may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

BY THE STATE/LOCALITY:

STATE OF ARIZONA

(STATE/LOCALITY)

(SIGNATURE)

(NAME)

(TITLE)

(DATE)

BY THE COGNIZANT AGENCY

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Arif M. Karim -S

Digitally signed by Arif M. Karim -S

Date: 2021.06.22 14:15:02 -05'00'

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

June 21, 2021

(DATE)

HHS Representative: Karen Wong

Telephone: (415) 437-7820

STATE OF ARIZONA STATEWIDE COST ALLOCATION PLAN (SWCAP)
SUMMARY OF FIXED CENTRAL SERVICE COSTS FOR THE FISCAL YEAR ENDING JUNE 30, 2018

AGENCY	GOVERNOR'S OFFICE		SECRETARY OF STATE	TREASURER	DEPARTMENT OF ADMINISTRATION					TOTAL ALLOCATED COSTS	
	OSP	Equal Opportunity	Public Records	State Treasurer	General Accounting Office	Procurement Office	State Management Division	Risk Management Division	Mail Room		Occupancy
Attorney General	21,390	3,109	43,654	(2,299)	(18,726)	(14,039)	628	937	1,936,067	1,970,720	
Arizona Commerce Authority	85,238	-	(87)	(9)	(182)	-	28	-	-	84,989	
Department of Housing	14,040	16	4,061	(998)	(20,804)	22	(2)	5,135	298,176	299,646	
Office of the Governor	69	324	2,118	(579)	(6,702)	14,605	(22)	442	650,293	660,548	
Homeland Security	3,820	97	-	(151)	(1,691)	135	(9)	(76)	123,994	126,119	
Secretary of State (SOS)	(5,549)	775	5,484	(858)	(5,437)	7,044	575	15,841	2,456,840	2,474,716	
Supreme Court	-	946	5,987	(3,280)	(4,853)	1,311	199	(45)	2,762,253	2,762,518	
Arizona Health Care Cost Containment System	142,435	11,728	6,342	(1,928)	274,990	18,368	(549)	-	8,440	459,825	
Department of Economic Security	(76,362)	13,049	(26,088)	(50,698)	67,665	100,283	(10,636)	237	3,319,147	3,336,597	
Department of Child Safety	90,018	34,383	86,642	2,270	768,729	94,652	15,085	-	655,725	1,747,504	
Department of Environmental Quality	12,494	1,708	4,373	(2,130)	(99,067)	87,301	386	(14)	2,316,645	2,321,695	
Department of Health Services	(34,977)	6,242	5,658	(7,006)	(101,458)	49,349	(38)	903	3,938,729	3,857,402	
Comm for the Deaf & the Hard of Hearing	6,522	80	-	(103)	1,418	(1,104)	2	101	164,092	171,008	
Water Infrastructure Finance Authority	(228)	90	38	(148)	(1,145)	(11,328)	12	81	181,317	168,689	
Veterans' Services	14,003	2,789	(15)	(1,765)	6,269	36,665	(643)	-	-	57,304	
Department of Agriculture	5,760	864	697	(1,066)	(1,953)	(58)	(39)	6,554	698,671	709,431	
Dept of Building & Fire Safety	37,812	261	383	(200)	(1,689)	361	19	14	151,394	188,356	
Corporation Commission	(3,649)	1,596	11,414	(1,122)	(3,722)	18,570	902	268	865,905	890,162	
Industrial Commission	12,636	1,250	791	(1,064)	50,956	(3,369)	(65)	-	-	61,136	
State Mine Inspector	3,430	74	47	(131)	(216)	103	(126)	355	79,564	83,100	
Radiation Regulatory Agency	17,214	189	365	(215)	(333)	262	20	-	-	17,503	
Board of Nursing	(436)	224	3,041	(379)	(4,930)	110	11	210	-	(2,148)	
Structural Pest Control Commission	(654)	159	-	(205)	779	220	4	3,424	47,120	50,848	
Department of Liquor Licenses and Control	8,601	240	9	(218)	(1,716)	332	(31)	4,973	25,887	38,078	
Department of Insurance	2,395	536	5,141	(591)	(2,823)	(53,500)	15	7,739	336,290	295,205	
State Board of Pharmacy	34,485	95	-	(200)	(3,990)	1,953	26	10,438	90,926	133,735	
AZ Commission on the Arts	2,763	-	-	(104)	(21)	-	1	-	44,790	47,430	
School for the Deaf and the Blind	13,932	2,871	-	(3,036)	17,950	52,942	43	(551)	-	84,151	
Department of Education	25,640	809	2,109	(4,047)	(32,222)	2,912	(847)	(19)	706,444	700,780	
Board of Regents	24,287	137	48	(19)	124	190	(8)	-	-	24,760	
Arizona State University	32,804	-	1,536	(5)	(367)	52,365	13,682	-	-	100,015	
Northern Arizona University	9,035	-	610	(5)	(86)	15,106	3,705	(68)	-	28,298	
University of Arizona	26,542	-	-	(8)	(338)	47,586	21,971	-	-	95,753	
School Facilities Board	6,498	90	714	(101)	354	125	39	(12)	107,602	115,309	
Arizona Historical Society	5,181	344	-	(240)	1,277	477	112	9	121,496	128,655	
Early Childhood Development & Health Board	1,399	-	-	(810)	(14,272)	79,943	242	41	-	66,543	
Commission for Postsecondary Education	2,255	27	15,180	(101)	(1,899)	37	5	675	-	16,180	
Department of Corrections	86,751	51,464	89,802	(32,240)	98,251	156,257	10,325	1,423	1,017,129	1,479,163	
AZ Criminal Justice Commission	4,226	48	228	(395)	(2,053)	66	7	30	122,140	124,297	
Dept of Emergency Svcs & Military Affairs	11,288	387	(1)	(2,517)	2,612	54,696	898	1	19,181	86,545	
Department of Public Safety	125,310	9,997	5,081	(7,868)	70,181	74,558	1,226	4,009	-	282,495	
Governor's Office of Highway Safety	1,991	58	-	(291)	(1,663)	81	-	-	-	176	
Juvenile Corrections	8,202	3,917	466	(2,053)	(1,649)	90,359	(357)	-	197,605	296,489	
Auto Theft Authority	5,430	32	-	(37)	(370)	44	0	(28)	30,314	35,385	
Department of Transportation	95,350	24,125	2,097	(39,940)	1,019,551	131,575	19,133	-	54,012	1,305,903	
Game and Fish Department	5,561	1,451	103	(5,996)	(25,566)	(7,777)	154	-	-	(32,072)	
Arizona Geological Survey	19,386	55	-	(279)	(3,447)	76	33	169	(47,248)	(31,255)	
State Land Department	25,576	712	6,508	(510)	(303)	(13,202)	1,395	-	598,374	618,549	
State Parks Board	21,941	865	5,363	(1,240)	(3,345)	13,504	38	2,576	259,720	299,422	
Arizona State Forestry Division	5,572	310	15	(604)	(7,438)	(10,360)	2,955	-	71,633	62,082	
Department of Water Resources	16,879	590	12,422	(493)	(3,998)	19,471	(101)	(5)	-	44,765	
Other Agencies	246,038	15,101	809	(12,906)	2,110,598	409,067	(4,952)	98,001	7,356,061	10,217,818	
Central Service Agencies	116,178	4,161	6,741	(7,297)	(777,441)	290,748	(865)	8,736	4,531,705	4,172,666	
TOTAL:	1,336,524	198,372	309,888	(198,213)	3,333,789	1,809,095	74,587	172,505	36,298,437	43,334,984	