



A REPORT  
TO THE  
ARIZONA LEGISLATURE

Financial Audit Division

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Single Audit

# State of Arizona

Year Ended June 30, 2003

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**Debra K. Davenport**  
Auditor General

The **Auditor General** is appointed by the Joint Legislative Audit Committee, a bipartisan committee composed of five senators and five representatives. Her mission is to provide independent and impartial information and specific recommendations to improve the operations of state and local government entities. To this end, she provides financial audits and accounting services to the State and political subdivisions, investigates possible misuse of public monies, and conducts performance audits of school districts, state agencies, and the programs they administer.



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State of Arizona  
Single Audit Reporting Package  
June 30, 2003

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**STATE OF ARIZONA  
OFFICE OF THE  
AUDITOR GENERAL**

**DEBRA K. DAVENPORT, CPA**  
AUDITOR GENERAL

**WILLIAM THOMSON**  
DEPUTY AUDITOR GENERAL

**Independent Auditors' Report on Compliance and on Internal Control over  
Financial Reporting Based on an Audit of Basic Financial Statements  
Performed in Accordance with *Government Auditing Standards***

The Honorable Janet Napolitano, Governor  
State of Arizona

The Honorable Ken Bennett, President  
Arizona State Senate

The Honorable Franklin L. "Jake" Flake, Speaker  
Arizona House of Representatives

The Honorable Charles E. Jones, Chief Justice  
Arizona Supreme Court

We have audited the financial statements of the governmental activities, business-type activities, aggregate discretely presented component units, each major fund, and aggregate remaining fund information of the State of Arizona as of and for the year ended June 30, 2003, which collectively comprise the State's basic financial statements and have issued our report thereon dated January 16, 2004, which was modified for the following reasons as described therein. An adverse opinion was expressed on the aggregate discretely presented component units because the financial statements of the State Compensation Fund are presented on a statutory basis of accounting that is not in conformity with U.S. generally accepted accounting principles. In addition, our report was modified as to consistency because of a change in reporting entity resulting from fund reclassifications; a change in the application of an accounting principle for an increase in the capitalization threshold for equipment at the University of Arizona; and because the State Compensation Fund changed its basis for reporting financial statements from U.S. generally accepted accounting principles to a statutory basis of accounting. Finally, our report was modified due to our reliance on the reports of other auditors. We conducted our audit in accordance with U.S. generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the Arizona Power Authority, State Compensation Fund, and University Medical Center, included in the discretely presented Component Units statements; and the Public Safety Personnel Retirement System, Corrections Officer Retirement Plan, and Elected Officials' Retirement Plan included in the Fiduciary statements; were not audited by the other auditors in accordance with *Government Auditing Standards*.

## **Compliance**

As part of obtaining reasonable assurance about whether the State's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grants, noncompliance with which could have a direct and material effect on the determination of basic financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests and the reports of the other auditors disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*. However, we noted certain immaterial instances of noncompliance that we will report to various state agencies' management in separate letters.

## **Internal Control over Financial Reporting**

In planning and performing our audit, we considered the State's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinions on the financial statements and not to provide assurance on internal control over financial reporting. Our consideration of internal control over financial reporting would not necessarily disclose all matters in internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We and the reports of the other auditors noted no matters involving internal control over financial reporting and its operation that we and the other auditors consider to be material weaknesses. However, we noted other matters involving internal control over financial reporting that we will report to the State's management in separate letters.

This report is intended solely for your information and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record, and its distribution is not limited.

Debbie Davenport  
Auditor General

January 16, 2004



DEBRA K. DAVENPORT, CPA  
AUDITOR GENERAL

**STATE OF ARIZONA  
OFFICE OF THE  
AUDITOR GENERAL**

WILLIAM THOMSON  
DEPUTY AUDITOR GENERAL

**Independent Auditors' Report on Compliance with Requirements  
Applicable to Each Major Program and on Internal Control over Compliance in  
Accordance with OMB Circular A-133**

The Honorable Janet Napolitano, Governor  
State of Arizona

The Honorable Ken Bennett, President  
Arizona State Senate

The Honorable Franklin L. "Jake" Flake, Speaker  
Arizona House of Representatives

The Honorable Charles E. Jones, Chief Justice  
Arizona Supreme Court

## **Compliance**

We have audited the compliance of the State of Arizona with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2003, except for that portion of the federal programs administered by the Arizona Health Care Cost Containment System, the Arizona Department of Transportation, and the Water Infrastructure Finance Authority. Those departments were audited by other auditors, and our opinion, insofar as it relates to the compliance of those departments with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement*, is based solely on the work of the other auditors. The State's major federal programs are identified in the Summary of Auditors' Results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit and the work of the other auditors.

We conducted our audit of compliance in accordance with U.S. generally accepted auditing standards; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit and the work of the other auditors provide a reasonable basis for our opinion. Our audit does not provide a legal determination on the State's compliance with those requirements.

As described in the following table, the State did not comply with certain compliance requirements that are applicable to the following major federal programs. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to those programs.

<u>Program Title (CFDA No.)</u>	<u>Compliance Requirement</u>	<u>Finding No.</u>
Food Stamps (10.551)	Special Tests and Provisions	03-101
Special Supplemental Nutrition Program For Women, Infants, and Children (10.557)	Cash Management	03-110, 03-111
Special Education—Grants to States (84.027)	Matching, Level of Effort, and Earmarking	03-114
Special Education—Preschool Grants (84.173)	Matching, Level of Effort, and Earmarking	03-114
Temporary Assistance for Needy Families (93.558)	Activities Allowed or Unallowed; Allowable Costs/Cost Principles; Eligibility	03-101, 03-102

In our opinion, based on our audit and the work of the other auditors, except for the noncompliance described in the preceding table, the State complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2003. The results of our auditing procedures also disclosed other instances of noncompliance with those requirements that are required to be reported in accordance with OMB Circular A-133 and are described in the accompanying Schedule of Findings and Questioned Costs as items 03-103, 03-104, 03-105, 03-106, 03-107, 03-108, 03-109, 03-112, 03-113, 03-114, 03-115, 03-116, and 03-117.

### **Internal Control over Compliance**

The State's management is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the State's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

We noted certain matters involving internal control over compliance and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of internal control over compliance that, in our judgment, could adversely affect the State's ability to administer a major federal program in accordance with applicable requirements of laws, regulations, contracts, and grants. Those reportable conditions are described in the accompanying schedule of findings and questioned costs as items 03-101, 03-102, 03-103, 03-104, 03-105, 03-106, 03-107, 03-108, 03-109, 03-110, 03-111, 03-112, 03-113, 03-114, 03-115, 03-116, and 03-117.

A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts, and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over compliance would not necessarily disclose all such internal control matters that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, of the reportable conditions described above, we consider items 03-101, 03-102, 03-104, 03-105, 03-110, 03-111, and 03-114 to be material weaknesses.

### **Schedule of Expenditures of Federal Awards**

We have audited the financial statements of the governmental activities, business-type activities, aggregate discretely presented component units, each major fund, and aggregate remaining fund information of the State as of and for the year ended June 30, 2003, and have issued our report thereon dated January 16, 2004, which was modified for the following reasons as described therein. An adverse opinion was expressed on the aggregate discretely presented component units because the financial statements of the State Compensation Fund are presented on a statutory basis of accounting that is not in conformity with U.S. generally accepted accounting principles. In addition, our report was modified as to consistency because of a change in reporting entity resulting from fund reclassifications; a change in the application of an accounting principle for an increase in the capitalization threshold for equipment at the University of Arizona; and because the State Compensation Fund changed its basis for reporting financial statements from U.S. generally accepted accounting principles to a statutory basis of accounting. Finally, our report was modified due to our reliance on the reports of other auditors. Our audit was made for the purpose of forming opinions on the financial statements that collectively comprise the State's basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, based on our audit and the work of the other auditors, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for your information and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record, and its distribution is not limited.

Debbie Davenport  
Auditor General

March 19, 2004, except for the  
Schedule of Expenditures of Federal  
Awards, for which the date is January 16, 2004



State of Arizona  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2003

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<b><u>AGENCY FOR INTERNATIONAL DEVELOPMENT</u></b>			
02.LTR 05/28/03	Other Federal Program, <i>World Learning</i>	ASA	\$ 27,715
02.Unknown	Marketing, Advertising and Private Sector Development: A Seminar For Macedonian Marketing and Advertising Managers, <i>World Learning</i>	ASA	5,507
02.Unknown	Development of Human Resource Training, <i>Association Liaison Office for University Cooperation</i> , Contract # 05122002	UAA	11,087
02.Unknown	Rural Primary Education Teacher Training, <i>Georgetown University</i> , Contract # UARRX205084602E1	UAA	168,563
	Total Agency for International Development		<u>\$ 212,872</u>
<b><u>OFFICE OF NATIONAL DRUG CONTROL POLICY</u></b>			
07.I9PSAP549, I0PSAP549	High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i>	AGA	\$ 649,265
07.I0PSAP549, I1PSAP549	High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i>	PSA	1,690,513
	Total Office of National Drug Control Policy		<u>\$ 2,339,778</u>
<b><u>PEACE CORPS</u></b>			
08.011812016, 021812012	Peace Corps	ASA	\$ 17,759
08.011812017, 021812013	Peace Corps Coordinator	NAA	14,044
08.021812011	Peace Corps Recruiting Office	UAA	12,271
	Total Peace Corps		<u>\$ 44,074</u>
<b><u>DEPARTMENT OF AGRICULTURE</u></b>			
<b><u>Food Stamp Cluster</u></b>			
10.551	Food Stamps	DEA	\$ 465,394,203
10.561	State Administrative Matching Grants for Food Stamp Program	DEA	23,855,418
10.561	State Administrative Matching Grants for Food Stamp Program	HSA	3,958,838
10.561	State Administrative Matching Grants for Food Stamp Program	UAA	254,395
	10.561 Subtotal		<u>28,068,651</u>
	Food Stamp Cluster Subtotal		<u>493,462,854</u>
<b><u>Child Nutrition Cluster</u></b>			
10.553	School Breakfast Program	DCA	51,990
10.553	School Breakfast Program	DJA	497,143
10.553	School Breakfast Program	EDA	33,060,118
	10.553 Subtotal		<u>33,609,251</u>
10.555	National School Lunch Program	ASA	17,148
10.555	National School Lunch Program	DJA	580,073
10.555	National School Lunch Program	EDA	138,487,651
10.555	National School Lunch Program	SDA	194,562
	10.555 Subtotal		<u>139,279,434</u>
10.556	Special Milk Program for Children	EDA	146,887
10.559	Summer Food Service Program for Children	ASA	17,375
10.559	Summer Food Service Program for Children	EDA	1,724,997
	10.559 Subtotal		<u>1,742,372</u>
	Child Nutrition Cluster Subtotal		<u>174,777,944</u>
<b><u>Emergency Food Assistance Cluster</u></b>			
10.568	Emergency Food Assistance Program (Administrative Costs)	DEA	969,134
10.569	Emergency Food Assistance Program (Food Commodities)	DEA	8,031,227
	Emergency Food Assistance Cluster Subtotal		<u>9,000,361</u>
<b><u>Other Department of Agriculture Programs</u></b>			
10.025	Plant and Animal Disease, Pest Control, and Animal Care	AHA	659,233
10.156	Federal-State Marketing Improvement Program	AHA	15,790
10.200	Grants for Agricultural Research, Special Research Grants	UAA	87,294
10.217	Higher Education Challenge Grants	UAA	62,332
10.220	Higher Education Multicultural Scholars Program	NAA	17,250
10.224	Fund for Rural America—Research, Education, and Extension Activities	ASA	20,916
10.224	Fund for Rural America—Research, Education, and Extension Activities	UAA	143,416
	10.224 Subtotal		<u>164,332</u>
10.303	Integrated Programs	UAA	34,103
10.350	Technical Assistance to Cooperatives	EPA	1,516

State of Arizona  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2003

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
10.435	State Mediation Grants	ASA	98,931
10.450	Crop Insurance	UAA	75,302
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection	AHA	103,979
10.500	Cooperative Extension Service	UAA	3,349,659
10.500	Cooperative Extension Service, <i>Auburn University</i> , Contract # ACESASATPT2	UAA	30,819
10.500	Cooperative Extension Service, <i>National 4H Council</i> , Contract # 20024520101528	UAA	5,922
10.500	Cooperative Extension Service, <i>Utah State University</i> , Contract # C027235	UAA	4,633
10.500	Cooperative Extension Service, <i>Washington State University</i> , Contract # G001175	UAA	70,477
10.500 Subtotal			3,461,510
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children	HSA	104,743,075
10.558	Child and Adult Care Food Program	EDA	42,168,132
10.560	State Administrative Expenses for Child Nutrition	EDA	2,377,161
10.565	Commodity Supplemental Food Program	HSA	4,874,910
10.570	Nutrition Services Incentive	DEA	1,351,767
10.572	WIC Farmers' Market Nutrition Program (FMNP)	HSA	46,410
10.652	Forestry Research	ASA	5,397
10.652	Forestry Research	GFA	88,293
10.652 Subtotal			93,690
10.664	Cooperative Forestry Assistance	LDA	2,829,814
10.664	Cooperative Forestry Assistance	NAA	26,450
10.664	Cooperative Forestry Assistance	UAA	87,315
10.664 Subtotal			2,943,579
10.672	Rural Development, Forestry, and Communities	UAA	18,204
10.769	Rural Business Enterprise Grants	EPA	154,286
10.769	Rural Business Enterprise Grants	NAA	81,673
10.769 Subtotal			235,959
10.771	Rural Cooperative Development Grants	ASA	86,670
10.855	Distance Learning and Telemedicine Loans and Grants	UAA	60,447
10.902	Soil and Water Conservation	AHA	36,781
10.914	Wildlife Habitat Incentive Program	GFA	18,083
10.962	International Training—Foreign Participant	ASA	37,376
10.01JV1122165288	U.S. Forest Service	GSA	43,291
10.Unknown	Specialty Crops—Basic State Grants	AHA	888,286
10.Unknown	USDA Promote Agriculture	AHA	496,264
10.Unknown	Forest Service	NAA	7,046
10.Unknown	4-H Centennial Conversation Initiative, <i>National 4H Council</i> , Contract # CK210181	UAA	14,977
10.Unknown	Conduct Good Agriculture Practices (GAPS) Workshops, <i>Cornell University</i> , Contract # 99415600821	UAA	4,795
10.Unknown	National Aquaculture Extension Conference, <i>University of Hawaii</i> , Contract # CK432840	UAA	4,500
10.Unknown	USDA 2000 Team Nutrition Training Grant	UAA	135,053
Total Department of Agriculture			\$ 842,709,187
<b><u>DEPARTMENT OF COMMERCE</u></b>			
11.302	Economic Development—Support for Planning Organizations	EPA	\$ 67,005
11.550	Public Telecommunications Facilities—Planning and Construction	UAA	588,630
11.552	Technology Opportunities, <i>Pima Community College</i> , Contract # SUB005	UAA	44,517
11.Unknown	National Ocean Atmospheric Administration, <i>Arizona-Mexico Commission</i>	EVA	13,897
11.Unknown	Department of Commerce	NAA	294
Total Department of Commerce			\$ 714,343
<b><u>DEPARTMENT OF DEFENSE</u></b>			
12.110	Planning Assistance to States	GFA	\$ 140,121
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services	EVA	501,318
12.300	Basic and Applied Scientific Research	ASA	21,617
12.300	Basic and Applied Scientific Research	GFA	14,728
12.300 Subtotal			36,345
12.400	Military Construction, National Guard	MAA	39,349
12.401	National Guard Military Operations and Maintenance (O&M) Projects	ASA	25,129
12.401	National Guard Military Operations and Maintenance (O&M) Projects	MAA	23,561,917
12.401 Subtotal			23,587,046

State of Arizona  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
12.420	Military Medical Research and Development	ASA	4,722
12.420	Military Medical Research and Development	UAA	171,393
12.420	Military Medical Research and Development, <i>University of Minnesota</i> , Contract # R6636360302	UAA	26,434
	12.420 Subtotal		202,549
12.431	Basic Scientific Research	ASA	7,537
12.610	Joint Land Use Studies	EPA	36,136
12.800	Air Force Defense Research Sciences Program	GFA	317,728
12.910	Research and Technology Development	ASA	136,650
12.DASW0101K0001	Workshop on Leadership at a Distance	ASA	2,901
12.DABT63-98-D-0002	Business Enterprise Program/FT Huachuca	DEA	1,445,674
12.DAHA2 00 2 3003, DAHA2 98 3003	Camp Navajo	MAA	8,199,644
12.RODMER078036	SWBSADIS Terminal Deployment	PSA	51,665
12.Unknown	Support Services for U.S. Army's Mt. Lemmon Radar Facility, <i>NEWTEC</i> , Contract # M001048	UAA	27,889
12.Unknown	Uniform Commutation Fund	UAA	47,748
	Total Department of Defense		\$ 34,780,300
<b><u>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</u></b>			
<b><u>Section 8 Project-Based Cluster</u></b>			
14.195	Section 8 Housing Assistance Payments Program—Special Allocations	HDA	\$ 28,807,838
	Section 8 Project-Based Cluster Subtotal		28,807,838
<b><u>Other Department of Housing and Urban Development Programs</u></b>			
14.228	Community Development Block Grants/State's Program	HDA	12,283,194
14.231	Emergency Shelter Grants Program	DEA	718,174
14.235	Supportive Housing Program	HDA	2,680,294
14.238	Shelter Plus Care	HDA	4,894,712
14.239	HOME Investment Partnerships Program	HDA	5,981,034
14.241	Housing Opportunities for Persons with AIDS	HDA	361,044
14.246	Community Development Block Grants/Brownfields Economic Development Initiative	ASA	1,180,904
14.401	Fair Housing Assistance Program—State and Local	AGA	366,738
14.512	Community Development Work-Study Program	UAA	40,575
14.871	Section 8 Housing Choice Vouchers	HDA	243,998
14.CDWS-AZ-01-047	Department of Housing and Urban Development	NAA	30,058
14.CH-ARIZ-49	Department of Housing and Urban Development	NAA	47,582
14.Unknown	Pima County Community Development Block Grant, <i>Pima County</i> , Contract # 0139A1319161102	UAA	4,859
14.Unknown	South Park Hope VI Revitalization Project, <i>City of Tucson</i> , Contract # 43601	UAA	15,616
	Total Department of Housing and Urban Development		\$ 57,656,620
<b><u>DEPARTMENT OF THE INTERIOR</u></b>			
<b><u>Fish and Wildlife Cluster</u></b>			
15.605	Sport Fish Restoration	GFA	\$ 5,702,221
15.611	Wildlife Restoration	GFA	4,398,159
	Fish and Wildlife Cluster Subtotal		10,100,380
<b><u>Other Department of the Interior Programs</u></b>			
15.042	Indian School Equalization Program, <i>Chinle Agency Technology Consortium</i>	NAA	5,244
15.051	Endangered Species on Indian Lands	GFA	8,000
15.059	Indian Graduate Student Scholarships	ASA	46,616
15.130	Indian Education—Assistance to Schools	EDA	391,867
15.219	Wildlife Habitat Management Technical Assistance	GFA	229,694
15.222	Cooperative Inspection Agreements with States and Tribes	MIA	29,483
15.225	Recreation Resource Management	ASA	5,509
15.227	Distribution of Receipts to State and Local Governments	DTA	30,000
15.228	Wildland Urban Interface Community and Rural Fire Assistance	LDA	732,934
15.612	Endangered Species Conservation	GFA	1,032,891
15.615	Cooperative Endangered Species Conservation Fund	GFA	467,040
15.625	Wildlife Conservation and Restoration	GFA	545,735
15.626	Hunter Education and Safety Program	GFA	194,711
15.634	State Wildlife Grants	GFA	359,436
15.808	U.S. Geological Survey—Research and Data Acquisition	GFA	347,183
15.808	U.S. Geological Survey—Research and Data Acquisition	GSA	147,352
15.808	U.S. Geological Survey—Research and Data Acquisition	LDA	7,136
	15.808 Subtotal		501,671
15.904	Historic Preservation Fund Grants-In-Aid	ASA	11,621
15.904	Historic Preservation Fund Grants-In-Aid	PRA	63,187
	15.904 Subtotal		74,808

State of Arizona  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2003

CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
15.910	National Natural Landmarks Program	GFA	128
15.915	Technical Preservation Services	ASA	7,214
15.916	Outdoor Recreation—Acquisition, Development and Planning	PRA	732,653
15.922	Native American Graves Protection and Repatriation Act	ASA	7,083
15.01FG320110	Bureau of Reclamation	ASA	69,689
15.02FG810807	Bureau of Reclamation	ASA	173,164
15.507-30-W0330	Bureau of Reclamation Restricted Endowment	ASA	5,999,802
15.N005003452	Training and Technical Assistance—Indian Tribal Government	ASA	4,274
15.PON005002115	Training and Technical Assistance—Indian Tribal Government	ASA	28,834
15.SMK00030228	Training and Technical Assistance—Indian Tribal Government	ASA	4,552
15. Unknown	Bureau of Reclamation	ASA	3,284
15.00-FG-32-0070	Bald Eagle Surveys	GFA	26,267
15.98-FC-32-0050	Southwestern Willow Flycatcher Study	GFA	766,554
15.00-GF-34-0009	Razorback Sucker Flathead	GFA	83,574
15.02-FC-40-8390, 02-FC-CU-AZ010	Kanab Ambersnail Refugia Population	GFA	20,205
15.99-FC-32-0070	Fish Monitoring Salt & Gila Rivers	GFA	7,343
15.99-FG-34-0015	Hunter's Hole	GFA	9,221
15.99-FG-32-0030	Information and Education for Conservation of Native Fishes	GFA	18,374
15.00-FC-32-0160	Limnological Fisheries/Lake Pleasant	GFA	96,497
15.1-07-34-C0165	Mumme Farm Maintenance	GFA	11,142
15.1425-98-FG-32-00540	Roosevelt Lake-Goose	GFA	880
15.02-FG-32-0080	Mapping & Monitoring Southwestern Willow Flycatcher	GFA	16,555
15.02-FC-32-0100	Propagation Techniques Loach Minnow	GFA	86,934
15.AAA990008	Bonita Creek Gila Chub & Aquatic Habitat Inventory	GFA	3,589
15.AAFO30003	Pygmy Owl Surveys	GFA	600
15.PAA-01-7002	Bureau of Land Management	NAA	876,961
15.1200-99-009, CA267097001	National Park Service	NAA	187,267
15.02-FG-32-0120, 02-FG-32-0180	Bureau of Reclamation	NAA	10,423
15.Unknown	Bilingual Advantage, <i>Seba Dalkai School</i>	NAA	25,947
15.Unknown	Indian Children Program, <i>University of Utah</i> , Contract # C028604	NAA	245,884
15.01-FG-32-0100	USDOI/BOR Site Steward Support FFY01	PRA	5,000
15.P77000B2006	NPS/Winslow Rail to Theatre Stabilization	PRA	40,650
15.AGKR940020LNRPAR	SHPO Site Steward IGA Multipartner	PRA	7,500
15.AAA000004	Upper San Pedro Watershed Ecological History	UAA	23,620
15.CA124800002J	Smith House Rehabilitation	UAA	20,000
15.CA124800002UAZ32	Cesu Operations/Security Systems	UAA	6,313
15.CA124800002UAZ40	Editing and Printing the Padre Island NS Marine Debris Study	UAA	7,206
15.CA124800002UAZ48	Planning and Design of Ruins Shelter for Convento Ruins	UAA	476
15.CA124800002UAZ51	Develop Five-Year Plan for Mission Parks Initiative	UAA	6,799
15.CMK0E020148	Professional Development for Personnel Teaching Indian Children with Special Needs	UAA	112,658
15.Unknown	Fire Ecology Workshops for PLT, <i>Project Learning Tree</i> , Contract # CK023935	UAA	7,241
15.Unknown	Hazardous Fuels Removal by Partners	UAA	51
15.Unknown	Wildfire Risk Reduction Instructional Specialist for Gila County, <i>Gila County</i> , Contract # CK025325181	UAA	17,130
15.99FC320140	Arizona Flood Warning System	WCA	76,843
	Total Department of the Interior		<u>\$ 24,612,400</u>
<b><u>DEPARTMENT OF JUSTICE</u></b>			
16.202	Offender Reentry Program	DJA	\$ 41,340
16.523	Juvenile Accountability Incentive Block Grants	DJA	351,581
16.523	Juvenile Accountability Incentive Block Grants	GVA	4,169,009
16.523	Juvenile Accountability Incentive Block Grants	HDA	162,883
16.523	Juvenile Accountability Incentive Block Grants	SPA	159,031
	16.523 Subtotal		<u>4,842,504</u>
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	GVA	1,412,972
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	HDA	105,848
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	SPA	25,000
	16.540 Subtotal		<u>1,543,820</u>
16.547	Victims of Child Abuse, <i>National CASA</i>	SPA	44,228
16.548	Title V—Delinquency Prevention Program	GVA	434,336
16.549	Part E— State Challenge Activities	GVA	157,070
16.550	State Justice Statistics Program for Statistical Analysis Centers	JCA	55,606
16.554	National Criminal History Improvement Program (NCHIP)	JCA	314,683
16.554	National Criminal History Improvement Program (NCHIP)	PSA	394,563
16.554	National Criminal History Improvement Program (NCHIP)	SPA	174,685
	16.554 Subtotal		<u>883,931</u>
16.564	Crime Laboratory Improvement—Combined Offender DNA Index System Backlog Reduction	PSA	656,608

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
16.575	Crime Victim Assistance	AGA	125,223
16.575	Crime Victim Assistance	ASA	61,985
16.575	Crime Victim Assistance	DJA	16,634
16.575	Crime Victim Assistance	GVA	17,323
16.575	Crime Victim Assistance	PSA	6,234,009
	16.575 Subtotal		<u>6,455,174</u>
16.576	Crime Victim Compensation	JCA	572,931
16.579	Byrne Formula Grant Program	AGA	1,016,974
16.579	Byrne Formula Grant Program	DCA	32,819
16.579	Byrne Formula Grant Program	JCA	8,036,942
16.579	Byrne Formula Grant Program	PSA	16,238
16.579	Byrne Formula Grant Program, <i>City of Tucson, Contract # 026690</i>	UAA	105,259
	16.579 Subtotal		<u>9,208,232</u>
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	AGA	98,774
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	JCA	42,723
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	PSA	141,048
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	SPA	106,226
	16.580 Subtotal		<u>388,771</u>
16.588	Violence Against Women Formula Grants	GVA	1,655,984
16.588	Violence Against Women Formula Grants	SPA	163,736
	16.588 Subtotal		<u>1,819,720</u>
16.589	Rural Domestic Violence and Child Victimization Enforcement Grant Program	GVA	64,317
16.589	Rural Domestic Violence and Child Victimization Enforcement Grant Program	UAA	16,281
	16.589 Subtotal		<u>80,598</u>
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders	SPA	33,654
16.592	Local Law Enforcement Block Grants Program	JCA	202,023
16.593	Residential Substance Abuse Treatment for State Prisoners	DCA	318,541
16.593	Residential Substance Abuse Treatment for State Prisoners	DJA	932,404
16.593	Residential Substance Abuse Treatment for State Prisoners	JCA	395,504
	16.593 Subtotal		<u>1,646,449</u>
16.597	Motor Vehicle Theft Protection Act Program	ATA	190,530
16.607	Bulletproof Vest Partnership Program	ADA	810
16.607	Bulletproof Vest Partnership Program	DCA	2,310
16.607	Bulletproof Vest Partnership Program	PRA	421
16.607	Bulletproof Vest Partnership Program	PSA	9,591
	16.607 Subtotal		<u>13,132</u>
16.609	Community Prosecution and Project Safe Neighborhoods	JCA	32,623
16.610	Regional Information Sharing Systems	PSA	4,109,389
16.710	Public Safety Partnership and Community Policing Grants	AGA	26,518
16.710	Public Safety Partnership and Community Policing Grants	ASA	119,123
16.710	Public Safety Partnership and Community Policing Grants	DCA	4,096
16.710	Public Safety Partnership and Community Policing Grants	PSA	482,140
	16.710 Subtotal		<u>631,877</u>
16.726	Juvenile Mentoring Program, <i>Arizona Cactus Pine Girl Scout Council, Contract # 1999JGFX0001</i>	UAA	12,187
16.727	Enforcing Underage Drinking Laws Program	ASA	14,926
16.727	Enforcing Underage Drinking Laws Program	GHA	250,000
16.727	Enforcing Underage Drinking Laws Program	LLA	31,024
16.727	Enforcing Underage Drinking Laws Program	PSA	72,945
16.727	Enforcing Underage Drinking Laws Program	UAA	31,462
	16.727 Subtotal		<u>400,357</u>
16.733	National Incident-Based Reporting System	JCA	88,896
16.198A-PX-C619918	FBI Ft. Apache Safe Trails Task Force	PSA	4,580
16.DPS2001-199	U.S. Customs High Intensity Drug Trafficking Area Task Force	PSA	31,450
16.DPS2001-05	FBI Operation Snake Eyes	PSA	19,269
16.DPS2000-196	FBI Operation Mountain Eagle Safe Streets Task Force	PSA	1,989
16.DPS99-326	FBI Violent Street Gang Task Force	PSA	6,065
16.DPS99-433	DEA Tucson Task Force	PSA	7,219
16.DEA2000-08	DEA Marijuana Eradication in Northern Arizona	PSA	185
16.DPS2001-189	DEA Pipeline Task Force	PSA	14,156
16.DPS2002-04	DEA Marijuana Eradication	PSA	26,000

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16.DPS2002-003	Glen Canyon Dam Security	PSA	4,448
16.S31-303202	FBI Forensics IGA	PSA	658,405
16.1999WAVX0004	Project to Combat Violent Crimes Against Women on Campus	UAA	196,507
16.1999WRVX0047	Cochise County Arizona Domestic Violence Partnership, <i>Cochise County Adult Probation</i> , Contract # 4202	UAA	9,058
16.Unknown	RICO Funds	AGA	45,718
16.Unknown	DEA Organized Crime and Drug Enforcement Task Force	PSA	4,129
16.Unknown	FBI-Phoenix Joint Terrorism Task Force	PSA	14,093
	Total Department of Justice		\$ 35,589,257
<b>DEPARTMENT OF LABOR</b>			
<b><u>Employment Services Cluster</u></b>			
17.207	Employment Service	AFA	\$ 60,565
17.207	Employment Service	ASA	54,754
17.207	Employment Service	DEA	12,114,422
17.207	Employment Service	GVA	1,243,186
	17.207 Subtotal		13,472,927
17.801	Disabled Veterans' Outreach Program	DEA	1,112,523
17.804	Local Veterans' Employment Representative Program	DEA	758,121
	Employment Services Cluster Subtotal		15,343,571
<b><u>WIA Cluster</u></b>			
17.258	WIA Adult Program	DEA	16,247,241
17.258	WIA Adult Program	EDA	217,584
17.258	WIA Adult Program, <i>Pima County</i> , Contract #s 0139U1289890701, 1139P1307040702	UAA	109,565
	17.258 Subtotal		16,574,390
17.259	WIA Youth Activities	DEA	16,960,904
17.259	WIA Youth Activities	EDA	217,584
	17.259 Subtotal		17,178,488
17.260	WIA Dislocated Workers	DEA	14,512,221
17.260	WIA Dislocated Workers	EDA	266,716
	17.260 Subtotal		14,778,937
	WIA Cluster Subtotal		48,531,815
<b><u>Other Department of Labor Programs</u></b>			
17.002	Labor Force Statistics	DEA	1,220,879
17.005	Compensation and Working Conditions	ICA	83,513
17.203	Labor Certification for Alien Workers	DEA	334,664
17.225	Unemployment Insurance	DEA	487,801,648
17.235	Senior Community Service Employment Program, <i>National Council on Aging</i>	DEA	1,603,222
17.245	Trade Adjustment Assistance—Workers	DEA	2,108,564
17.245	Trade Adjustment Assistance—Workers	EDA	2,624,483
	17.245 Subtotal		4,733,047
17.249	Employment Services and Job Training Pilots—Demonstrations and Research	EPA	46,019
17.249	Employment Services and Job Training Pilots—Demonstrations and Research	SDA	20,943
	17.249 Subtotal		66,962
17.250	Job Training Partnership Act, <i>Yuma Private Industry Council</i>	EPA	397,221
17.253	Welfare-to-Work Grants to States and Localities	DEA	22,204
17.261	Employment and Training Administration Pilots, Demonstrations, and Research Projects	ASA	8,787
17.261	Employment and Training Administration Pilots, Demonstrations, and Research Projects	DEA	174,256
	17.261 Subtotal		183,043
17.503	Occupational Safety and Health—State Program	ICA	1,440,108
17.504	Consultation Agreements	ICA	615,815
17.600	Mine Health and Safety Grants	MIA	434,590
17.I08203	Assessing and Meeting Business Needs in Arizona's Rural Tourism Community	ASA	3,092
17.Unknown	Tucson Urban Gardens, <i>Pima County</i> , Contract # 0139A1299390901	UAA	16,761
	Total Department of Labor		\$ 562,832,155

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<b><u>DEPARTMENT OF STATE</u></b>			
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>American Council of Learned Societies</i>	ASA	\$ 8,772
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>Social Science Research Council</i>	ASA	16,396
	19.300 Subtotal		<u>25,168</u>
19.414	Cultural Exchange (Visual Arts)	ASA	10,858
19.418	Educational Exchange—Fulbright American Studies Institutes, <i>Council of International Exchange of Scholars</i>	ASA	2,620
19.420	Cooperative Grants	ASA	413,888
19.2002A117000000	Senior Adviser for Advanced Science and Technology	UAA	217,695
	Total Department of State		<u>\$ 670,229</u>
<b><u>DEPARTMENT OF TRANSPORTATION</u></b>			
<b><u>Highway Planning and Construction Cluster</u></b>			
20.205	Highway Planning and Construction	ADA	\$ 142,441
20.205	Highway Planning and Construction	DTA	458,122,092
20.205	Highway Planning and Construction	PRA	245,833
20.205	Highway Planning and Construction	PSA	206,696
	Highway Planning and Construction Cluster Subtotal		<u>458,717,062</u>
<b><u>Highway Safety Cluster</u></b>			
20.600	State and Community Highway Safety	ASA	227
20.600	State and Community Highway Safety	DTA	146,821
20.600	State and Community Highway Safety	GHA	1,895,406
20.600	State and Community Highway Safety	PSA	833,953
	20.600 Subtotal		<u>2,876,407</u>
20.601	Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	GHA	259,074
20.603	Federal Highway Safety Data Improvements Incentive Grants	GHA	74,216
20.604	Safety Incentive Grants for Use of Seatbelts	GHA	774,234
20.605	Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons	GHA	1,463,047
	Highway Safety Cluster Subtotal		<u>5,446,978</u>
<b><u>Other Department of Transportation Programs</u></b>			
20.106	Airport Improvement Program	DTA	241,996
20.215	Highway Training and Education	ASA	3,030
20.215	Highway Training and Education	DTA	509,599
20.215	Highway Training and Education, <i>American Society of Civil Engineers</i>	ASA	2,988
20.215	Highway Training and Education, <i>South Carolina State University</i>	ASA	38,757
	20.215 Subtotal		<u>554,374</u>
20.217	Motor Carrier Safety	DTA	465,486
20.218	National Motor Carrier Safety	PSA	3,479,935
20.219	Recreational Trails Program	DTA	219,818
20.219	Recreational Trails Program	PRA	160,557
	20.219 Subtotal		<u>380,375</u>
20.505	Federal Transit—Metropolitan Planning Grants	DTA	1,105,121
20.509	Formula Grants for Other than Urbanized Areas	DTA	3,095,547
20.513	Capital Assistance Program for Elderly Persons and Persons with Disabilities	DTA	2,417,713
20.700	Pipeline Safety	CCA	1,458,180
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants	MAA	144,793
20.TEA 900-0(191)P	AZSite Database	PRA	16,912
20.DTCG37 99 P 656001, DTCG3799P656001	Coast Guard Water Safety Center	PRA	9,779
20.Unknown	Buckle-Up Safely, <i>National Rural Health Association</i> , Contract # CK27631	UAA	3,349
	Total Department of Transportation		<u>\$ 477,537,600</u>
<b><u>DEPARTMENT OF THE TREASURY</u></b>			
21.Unknown	Jobs and Growth Tax Relief Reconciliation Act of 2003, P. L. 108-27—Temporary State Fiscal Relief	ADA	\$ 87,234,115
	Total Department of the Treasury		<u>\$ 87,234,115</u>
<b><u>EQUAL EMPLOYMENT OPPORTUNITY COMMISSION</u></b>			
30.001	Employment Discrimination—Title VII of the Civil Rights Act of 1964	AGA	\$ 261,717
	Total Equal Employment Opportunity Commission		<u>\$ 261,717</u>

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<b><u>GENERAL SERVICES ADMINISTRATION</u></b>			
39.003	Donation of Federal Surplus Personal Property	ADA	\$ 41,496
39.GS00P95BQG001	Expansion of University of Arizona's Special Collections & Archives	UAA	22,275
39.P02040589	Planning and Initial Design Phase for the Institute of Biomedical Science and Biotechnology	UAA	319,662
	Total General Services Administration		<u>\$ 383,433</u>
<b><u>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</u></b>			
43.001	Aerospace Education Services Program	ASA	\$ 97,059
43.NAG58157	Space Grant: Geospatial Info Technical Fellowship	UAA	117,322
43.NGT550394	A Unique Direct-Detection Survey for Massive Extra-Solar Planets	UAA	22,732
43.NAG1302025	National Aeronautics and Space Administration	WCA	392,803
43.Unknown	NASA Work Force Program	NAA	13,970
43.Unknown	Curriculum and Training Support for Navigators Extra-Solar Planet Research, <i>Jet Propulsion Laboratory</i> , Contract # 1251186	UAA	2,516
43.Unknown	Data-Driven Web Tools for Teaching Introductory Astronomy, <i>Space Telescope Science Institute</i> , Contract # HSTEO0913704A	UAA	16,084
43.Unknown	Integration of Hubble Space Telescope Science in the K-5 Science Curriculum, <i>Space Telescope Science Institute</i> , Contract # HSTGO0917306A	UAA	5,024
	Total National Aeronautics and Space Administration		<u>\$ 667,510</u>
<b><u>NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES</u></b>			
45.024	Promotion of the Arts—Grants to Organizations and Individuals	ASA	\$ 66,345
45.024	Promotion of the Arts—Grants to Organizations and Individuals	UAA	12,219
45.024	Promotion of the Arts—Grants to Organizations and Individuals, <i>New England Foundation for the Arts</i>	ASA	11,550
	45.024 Subtotal		<u>90,114</u>
45.025	Promotion of the Arts—Partnership Agreements	HUA	703,473
45.026	Promotion of the Arts—Leadership Initiatives	HUA	5,700
45.129	Promotion of the Humanities—Federal/State Partnership	PRA	22,464
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i>	ASA	3,502
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , Contract # GG1938312003, GG4439472003, GG5333912001, GG5937092002, GG6037102002, GG6137112002, GG7637602002	UAA	19,249
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , Contract #s GG6434312001, GG2038322003	PRA	3,989
	45.129 Subtotal		<u>49,204</u>
45.149	Promotion of the Humanities—Division of Preservation and Access	ASA	4,562
45.149	Promotion of the Humanities—Division of Preservation and Access	UAA	2,314
	45.149 Subtotal		<u>6,876</u>
45.160	Promotion of the Humanities—Fellowships and Stipends	ASA	39,997
45.162	Promotion of the Humanities—Education Development and Demonstration	ASA	53,126
45.163	Promotion of the Humanities—Seminars and Institutes	ASA	46,828
45.301	Institute of Museum and Library Services	PRA	1,500
45.310	State Library Program	HIA	83,139
45.310	State Library Program	LAA	2,719,155
45.310	State Library Program	NAA	21,469
45.310	State Library Program	UAA	159,851
	45.310 Subtotal		<u>2,983,614</u>
45.312	Institute of Museum and Library Services—National Leadership Grants	LAA	41,415
45.312	Institute of Museum and Library Services—National Leadership Grants	UAA	22,421
	45.312 Subtotal		<u>63,836</u>
45.IG0002055602	General Operating Support 2002-2004	UAA	25,235
45.ND0002400	The Ages Project: A Binational Effort to Preserve and Share the Historical Archives of Sonora, Mexico	UAA	8,488
45.Unknown	Southeast Asian Studies Traveling Suitcases, <i>Arizona Humanities Council</i>	ASA	1,838
45.Unknown	Spring 2002 Meet the Authors Lecture Series, <i>Arizona Humanities Council</i>	ASA	25
45.Unknown	Andrew Young Collages	UAA	1,200
45.Unknown	Ballet Preljocaj, <i>New England Foundation for the Arts</i> , Contract # FY03220	UAA	3,738
45.Unknown	Bill T. Jones/Arnie Zane Dance Presentation, <i>New England Foundation for the Arts</i> , Contract # FY03221	UAA	9,000
45.Unknown	Cleo Parker Robinson Dance Ensemble, <i>New England Foundation for the Arts</i> , Contract # FY03222	UAA	6,100
45.Unknown	Community Engagement Project	UAA	7,025
45.Unknown	Creative Photo Term Endowment	UAA	39,069
45.Unknown	Jose Limon Dance Company, <i>New England Foundation for the Arts</i> , Contract # FY03223	UAA	5,000
45.Unknown	Knowledge River Institute: Professional Education for Hispanic and Native Americans	UAA	313,930
45.Unknown	NEA Challenge Permanent Endowment	UAA	58,340
45.Unknown	Visiting Poets and Writers Reading Series	UAA	5,275



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45.Unknown	Yin Mei Dance, <i>New England Foundation for the Arts</i> , Contract # FY03224	UAA	4,500
	Total National Foundation on the Arts and the Humanities		<u>\$ 4,533,031</u>
<b><u>NATIONAL SCIENCE FOUNDATION</u></b>			
47.041	Engineering Grants	ASA	\$ 52,895
47.041	Engineering Grants, <i>Texas A &amp; M University</i>	ASA	194,137
47.041	Engineering Grants, <i>Texas Engineering Experiment Station</i>	ASA	131,518
	47.041 Subtotal		<u>378,550</u>
47.049	Mathematical and Physical Sciences	ASA	329,704
47.049	Mathematical and Physical Sciences	UAA	178,549
	47.049 Subtotal		<u>508,253</u>
47.050	Geosciences	ASA	6,424
47.050	Geosciences	UAA	42,009
	47.050 Subtotal		<u>48,433</u>
47.070	Computer and Information Science and Engineering	ASA	148,673
47.074	Biological Sciences	NAA	110,806
47.074	Biological Sciences	UAA	22,354
	47.074 Subtotal		<u>133,160</u>
47.075	Social, Behavioral, and Economic Sciences	ASA	213,296
47.075	Social, Behavioral, and Economic Sciences	UAA	18,966
47.075	Social, Behavioral, and Economic Sciences, <i>Florida State-Association Institutional Research</i>	ASA	19,576
	47.075 Subtotal		<u>251,838</u>
47.076	Education and Human Resources	ASA	4,594,511
47.076	Education and Human Resources	NAA	151,385
47.076	Education and Human Resources	UAA	2,044,381
47.076	Education and Human Resources, <i>North Dakota State University</i>	ASA	1,933
47.076	Education and Human Resources, <i>University of Miami</i>	ASA	27,252
47.076	Education and Human Resources, <i>Association of American Colleges &amp; Universities</i>	NAA	3,491
47.076	Education and Human Resources, <i>Montana State University</i> , Contract # GC02102Z0636	UAA	26,207
47.076	Education and Human Resources, <i>University of Nebraska</i> , Contract # 2505360001002	UAA	4,506
	47.076 Subtotal		<u>6,853,666</u>
47.EEC-0304667	Instrumentation for Nano World	NAA	15,115
47.Unknown	Educational Innovation Simulation Education, <i>University of Utah</i> , Contract # 9905046	NAA	15,293
47.Unknown	Physics Teacher Education Coalition (PhysTEC), <i>American Physical Society</i> , Contract # CK096711	UAA	73,097
	Total National Science Foundation		<u>\$ 8,426,078</u>
<b><u>SMALL BUSINESS ADMINISTRATION</u></b>			
59.SBAHQ-01-I-0044	SBA Tucson Lab Infrastructure	PSA	\$ 635,935
	Total Small Business Administration		<u>\$ 635,935</u>
<b><u>DEPARTMENT OF VETERANS AFFAIRS</u></b>			
64.124	All-Volunteer Force Educational Assistance	VSA	\$ 317,851
64.203	State Cemetery Grants	VSA	2,556,697
64.P0626D31851	Disbursement Agreement for Residents	UAA	3,049,503
64.Unknown	VA Headcount	UAA	4,814
	Total Department of Veterans Affairs		<u>\$ 5,928,865</u>
<b><u>ENVIRONMENTAL PROTECTION AGENCY</u></b>			
66.001	Air Pollution Control Program Support	EVA	\$ 5,135,518
66.032	State Indoor Radon Grants	AEA	49,528
66.034	Survey Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act	EVA	1,175,670
66.034	Survey Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act	NAA	39,379
	66.034 Subtotal		<u>1,215,049</u>
66.419	Water Pollution Control—State and Interstate Program Support	EVA	22,441
66.454	Water Quality Management Planning	EVA	123,109
66.458	Capitalization Grants for Clean Water State Revolving Funds	WFA	638,903
66.460	Nonpoint Source Implementation Grants	EVA	2,997,002

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
66.461	Wetland Program Development Grants	EVA	13,932
66.463	Water Quality Cooperative Agreements	EVA	146,493
66.463	Water Quality Cooperative Agreements	NAA	126,941
	66.463 Subtotal		273,434
66.468	Capitalization Grants for Drinking Water State Revolving Funds	EVA	994,399
66.468	Capitalization Grants for Drinking Water State Revolving Funds	WFA	1,621,581
	66.468 Subtotal		2,615,980
66.470	Hardship Grants Program for Rural Communities	WFA	83,648
66.474	Water Protection Grants to the States	EVA	36,215
66.500	Environmental Protection—Consolidated Research	ASA	114,461
66.500	Environmental Protection—Consolidated Research	GFA	133,431
66.500	Environmental Protection—Consolidated Research, <i>Washington University</i> , Contract # WUHT021929406A	UAA	19,752
	66.500 Subtotal		267,644
66.605	Performance Partnership Grants	EVA	5,045,627
66.606	Surveys, Studies, Investigations and Special Purpose Grants	AHA	10,236
66.606	Surveys, Studies, Investigations and Special Purpose Grants	ASA	1,780
66.606	Surveys, Studies, Investigations and Special Purpose Grants	EVA	367,911
66.606	Surveys, Studies, Investigations and Special Purpose Grants	UAA	30,573
	66.606 Subtotal		410,500
66.607	Training and Fellowships for the Environmental Protection Agency	AGA	302,084
66.607	Training and Fellowships for the Environmental Protection Agency	NAA	474,056
66.607	Training and Fellowships for the Environmental Protection Agency	UAA	7,797
	66.607 Subtotal		783,937
66.608	State Information Grants	EVA	27,940
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	AHA	363,860
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	SBA	109,500
	66.700 Subtotal		473,360
66.708	Pollution Prevention Grants Program	EVA	279,891
66.709	Capacity Building Grants and Cooperative Agreements for States and Tribes	EVA	30,875
66.801	Hazardous Waste Management State Program Support	EVA	2,468,212
66.802	Superfund State, Political Subdivision, and Indian Tribe Site—Specific Cooperative Agreements	EVA	1,720,300
66.804	State and Tribal Underground Storage Tanks Program	EVA	327,994
66.805	Leaking Underground Storage Tank Trust Fund Program	EVA	1,018,565
66.808	Solid Waste Management Assistance	EVA	2,668
66.810	Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program	MAA	1,017
66.3W2774NAEX	Crop Time Line on Vegetables and Melons in Arizona	UAA	1,736
66.X1-98062001-0, X-82811501-0, X98954201-0, X82850901-0, X98986601, U-91591901-0, X82911201-0, T-83038801-0, XA- 97953001	Environmental Protection Programs	NAA	1,787,024
66.Unknown	Water Quality Assessment, <i>Fort Peck Tribes</i> , Contract # 51166	NAA	21,854
66.Unknown	2002 Arizona Children's Water Festival with Project WET	UAA	3,500
	Total Environmental Protection Agency		\$ 27,877,403
<b><u>NUCLEAR REGULATORY COMMISSION</u></b>			
77.NRC-32-83-681	Radiation Monitoring Program	AEA	\$ 62,094
	Total Nuclear Regulatory Commission		\$ 62,094
<b><u>DEPARTMENT OF ENERGY</u></b>			
81.041	State Energy Program	EPA	\$ 651,000
81.042	Weatherization Assistance for Low-Income Persons	EPA	1,024,194
81.049	Office of Science Financial Assistance Program	ASA	166,699
81.049	Office of Science Financial Assistance Program	UAA	969,000
81.049	Office of Science Financial Assistance Program, <i>Sandia National Laboratory</i>	ASA	17,650
	81.049 Subtotal		1,153,349
81.086	Conservation Research and Development	ASA	17,862
81.086	Conservation Research and Development	EPA	53,771

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
81.086	Conservation Research and Development, <i>University City Science Center</i>	ASA	74,513
	81.086 Subtotal		<u>146,146</u>
81.087	Renewable Energy Research and Development	EPA	13,417
81.105	National Industrial Competitiveness through Energy, Environment, and Economics	EPA	30,498
81.106	States and Tribal Concerns, Proposed Solutions	AEA	87,316
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	EPA	9,424
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	NAA	11,995
	81.117 Subtotal		<u>21,419</u>
81.119	State Energy Program Special Projects	EPA	528,976
81.1440-0-0004	National Renewable Energy Laboratory	NAA	6,586
81.DE-AP51-02R021409, ADK-2-32617-01	National Renewable Energy Laboratory	NAA	17,000
81.970088	Strategies for Reaching American Indian/Alaska Native Women—A Case Study, <i>Battelle Memorial Institute</i> , Contract # 146545	UAA	2,186
81.Unknown	Petroleum Escrow Funds	EPA	726,063
81.Unknown	Petroleum Escrow Funds	SFA	1,332,056
	Total Department of Energy		<u>\$ 5,740,206</u>
<b><u>FEDERAL EMERGENCY MANAGEMENT AGENCY</u></b>			
83.551	Project Impact—Building Disaster Resistant Communities	MAA	\$ 331,651
83.Unknown	Workshops for Homeland Security Geospatial Data Management in Arizona	UAA	97
	Total Federal Emergency Management Agency		<u>\$ 331,748</u>
<b><u>DEPARTMENT OF EDUCATION</u></b>			
<b><u>Special Education Cluster</u></b>			
84.027	Special Education—Grants to States	ASA	\$ 206,913
84.027	Special Education—Grants to States	DCA	80,731
84.027	Special Education—Grants to States	DJA	200,406
84.027	Special Education—Grants to States	EDA	115,609,766
84.027	Special Education—Grants to States	HSA	1,332
84.027	Special Education—Grants to States	NAA	180,642
84.027	Special Education—Grants to States	SDA	676,124
84.027	Special Education—Grants to States	SPA	48,088
84.027	Special Education—Grants to States	UAA	159,405
	84.027 Subtotal		<u>117,163,407</u>
84.173	Special Education—Preschool Grants	EDA	5,833,106
84.173	Special Education—Preschool Grants	SDA	68,522
	84.173 Subtotal		<u>5,901,628</u>
	Special Education Cluster Subtotal		<u>123,065,035</u>
<b><u>TRIO Cluster</u></b>			
84.042	TRIO—Student Support Services	ASA	460,165
84.042	TRIO—Student Support Services	NAA	291,027
	84.042 Subtotal		<u>751,192</u>
84.044	TRIO—Talent Search	NAA	369,783
84.047	TRIO—Upward Bound	ASA	926,355
84.047	TRIO—Upward Bound	NAA	795,613
	84.047 Subtotal		<u>1,721,968</u>
84.066	TRIO—Educational Opportunity Centers	ASA	567,488
84.066	TRIO—Educational Opportunity Centers	NAA	421,330
	84.066 Subtotal		<u>988,818</u>
84.217	TRIO—McNair Post-Baccalaureate Achievement	UAA	201,122
	Trio Cluster Subtotal		<u>4,032,883</u>
<b><u>Other Department of Education Programs</u></b>			
84.002	Adult Education—State Grant Program	EDA	6,942,963
84.010	Title I Grants to Local Educational Agencies	EDA	157,154,521
84.010	Title I Grants to Local Educational Agencies	SDA	70,247
	84.010 Subtotal		<u>157,224,768</u>

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
84.011	Migrant Education—State Grant Program	ASA	124,705
84.011	Migrant Education—State Grant Program	EDA	6,818,145
	84.011 Subtotal		6,942,850
84.013	Title I Program for Neglected and Delinquent Children	DCA	300,228
84.013	Title I Program for Neglected and Delinquent Children	DJA	837,641
84.013	Title I Program for Neglected and Delinquent Children	EDA	11,211
84.013	Title I Program for Neglected and Delinquent Children	SPA	646,311
	84.013 Subtotal		1,795,391
84.015	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	ASA	190,654
84.015	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	UAA	1,017,386
	84.015 Subtotal		1,208,040
84.016	Undergraduate International Studies and Foreign Language Programs	ASA	55,321
84.017	International Research and Studies	UAA	114,544
84.021	Overseas—Group Projects Abroad	UAA	68,000
84.025	Services for Children with Deaf-Blindness	SDA	45,163
84.048	Vocational Education—Basic Grants to States	ASA	431,765
84.048	Vocational Education—Basic Grants to States	DJA	226,766
84.048	Vocational Education—Basic Grants to States	EDA	23,160,011
84.048	Vocational Education—Basic Grants to States	NAA	320,018
84.048	Vocational Education—Basic Grants to States	UAA	326,705
	84.048 Subtotal		24,465,265
84.069	Leveraging Educational Assistance Partnership	ASA	82,258
84.069	Leveraging Educational Assistance Partnership	NAA	36,357
84.069	Leveraging Educational Assistance Partnership	PEA	513,862
	84.069 Subtotal		632,477
84.116	Fund for the Improvement of Postsecondary Education	ASA	125,262
84.116	Fund for the Improvement of Postsecondary Education	NAA	137,352
84.116	Fund for the Improvement of Postsecondary Education	UAA	555,087
84.116	Fund for the Improvement of Postsecondary Education, <i>Baylor University</i>	ASA	451
84.116	Fund for the Improvement of Postsecondary Education, <i>Pennsylvania State University</i>	ASA	10,277
84.116	Fund for the Improvement of Postsecondary Education, <i>University of California at Irvine</i>	ASA	25,657
84.116	Fund for the Improvement of Postsecondary Education, <i>American String Teachers Association with National School Orchestra Association, Contract # CK3225</i>	UAA	13,752
	84.116 Subtotal		867,838
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	ASA	435,177
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	DEA	38,013,639
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	DJA	59,678
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	SDA	332,467
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	UAA	54,724
	84.126 Subtotal		38,895,685
84.129	Rehabilitation Long-Term Training	UAA	594,332
84.129	Rehabilitation Long-Term Training, <i>Navajo Nation, Contract # C22341</i>	UAA	43,114
	84.129 Subtotal		637,446
84.158	Secondary Education and Transitional Services for Youth with Disabilities	EDA	143,043
84.160	Training Interpreters for Individuals Who Are Deaf and Individuals Who Are Deaf-Blind, <i>El Camino College, Contract # CK24350752</i>	UAA	3,138
84.162	Immigrant Education	EDA	1,711,338
84.169	Independent Living—State Grants	DEA	253,939
84.170	Javits Fellowships	ASA	64,344
84.170	Javits Fellowships	UAA	203,576
	84.170 Subtotal		267,920
84.177	Rehabilitation Services—Independent Living Services for Older Individuals Who Are Blind	DEA	605,903
84.181	Special Education—Grants for Infants and Families with Disabilities	DEA	9,051,812
84.181	Special Education—Grants for Infants and Families with Disabilities	HSA	1,163,565
84.181	Special Education—Grants for Infants and Families with Disabilities	SDA	501,837
	84.181 Subtotal		10,717,214
84.184	Safe and Drug-Free Schools and Communities—National Programs	ASA	12,430
84.184	Safe and Drug-Free Schools and Communities—National Programs	EDA	97,372
84.184	Safe and Drug-Free Schools and Communities—National Programs	UAA	4,067
84.184	Safe and Drug-Free Schools and Communities—National Programs, <i>Tucson Unified School District, Contract #s CK42077209, TUSD1000067468</i>	UAA	387,554
	84.184 Subtotal		501,423

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84.185	Byrd Honors Scholarships	EDA	742,875
84.185	Byrd Honors Scholarships	UAA	267,750
	84.185 Subtotal		1,010,625
84.186	Safe and Drug-Free Schools and Communities—State Grants	ASA	212,642
84.186	Safe and Drug-Free Schools and Communities—State Grants	AGA	111,000
84.186	Safe and Drug-Free Schools and Communities—State Grants	DCA	1,824
84.186	Safe and Drug-Free Schools and Communities—State Grants	DJA	4,512
84.186	Safe and Drug-Free Schools and Communities—State Grants	EDA	5,391,842
84.186	Safe and Drug-Free Schools and Communities—State Grants	GVA	1,111,816
84.186	Safe and Drug-Free Schools and Communities—State Grants	MAA	34,159
84.186	Safe and Drug-Free Schools and Communities—State Grants	SDA	4,248
84.186	Safe and Drug-Free Schools and Communities—State Grants	SPA	4,526
84.186	Safe and Drug-Free Schools and Communities—State Grants	UAA	14,962
84.186	Safe and Drug-Free Schools and Communities—State Grants, <i>Maricopa County Regional School District</i>	ASA	1,155
84.186	Safe and Drug-Free Schools and Communities—State Grants, <i>Osborn Elementary School District</i>	ASA	10,162
	84.186 Subtotal		6,902,848
84.187	Supported Employment Services for Individuals with Severe Disabilities	DEA	421,664
84.194	Bilingual Education Support Services	EDA	31,289
84.195	Bilingual Education—Professional Development	ASA	409,311
84.195	Bilingual Education—Professional Development	NAA	1,019,602
84.195	Bilingual Education—Professional Development	UAA	700,791
	84.195 Subtotal		2,129,704
84.196	Education for Homeless Children and Youth	EDA	552,059
84.200	Graduate Assistance in Areas of National Need	ASA	128,201
84.203	Star Schools, <i>Gallup McKinley County School</i>	ASA	152,361
84.203	Star Schools, <i>Gallup McKinley County School</i> , Contract #s 221991, 233670	NAA	168,476
	84.203 Subtotal		320,837
84.213	Even Start—State Educational Agencies	EDA	3,323,346
84.214	Even Start—Migrant Education	EDA	118,850
84.215	Fund for the Improvement of Education, <i>Dysart</i>	ASA	66,221
84.215	Fund for the Improvement of Education, <i>Phoenix Union High School District</i>	ASA	50,824
84.215	Fund for the Improvement of Education, <i>Washington Public Schools</i>	ASA	565,814
84.215	Fund for the Improvement of Education, <i>Council of Chief State School Officers</i> , Contract # R215U60011-98	EDA	316,431
84.215	Fund for the Improvement of Education, <i>Tucson Unified School District</i> , Contract #s TUSD10000069412, TUSD10000062229	UAA	19,079
	84.215 Subtotal		1,018,369
84.216	Private School—Capital Expenses	EDA	18,212
84.224	Assistive Technology	NAA	559,062
84.243	Tech-Prep Education	EDA	1,899,613
84.246	Rehabilitation Short-Term Training	NAA	216,108
84.264	Rehabilitation Training—Continuing Education, <i>San Diego State University Foundation</i> , Contract #s 52010BP12087806212, E0000805	UAA	71,667
84.265	Rehabilitation Training—State Vocational Rehabilitation Unit In-Service Training	DEA	105,113
84.265	Rehabilitation Training—State Vocational Rehabilitation Unit In-Service Training, <i>Management Planning Research</i>	ASA	4,189
	84.265 Subtotal		109,302
84.276	Goals 2000—State and Local Education Systemic Improvement Grants	EDA	216,454
84.276	Goals 2000—State and Local Education Systemic Improvement Grants, <i>Tempe Union High School District</i>	ASA	511,663
	84.276 Subtotal		728,117
84.281	Eisenhower Professional Development State Grants	BRA	982,408
84.281	Eisenhower Professional Development State Grants	DCA	2,800
84.281	Eisenhower Professional Development State Grants	DJA	72,813
84.281	Eisenhower Professional Development State Grants	EDA	2,826,143
84.281	Eisenhower Professional Development State Grants	SDA	27,828
84.281	Eisenhower Professional Development State Grants	SPA	165,165
84.281	Eisenhower Professional Development State Grants	UAA	237,536
	84.281 Subtotal		4,314,693
84.282	Charter Schools	ASA	300,103
84.287	Twenty-First Century Community Learning Centers	ASA	123,434
84.287	Twenty-First Century Community Learning Centers	EDA	2,285,370
	84.287 Subtotal		2,408,804
84.295	Ready-To-Learn Television, <i>Public Broadcasting Service</i>	ASA	20,888

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84.295	Ready-To-Learn Television, <i>Public Broadcasting Service</i> , Contract # R295A000002	UAA	46,092
	84.295 Subtotal		66,980
84.298	Innovative Education Program Strategies	DCA	10,672
84.298	Innovative Education Program Strategies	DJA	13,291
84.298	Innovative Education Program Strategies	EDA	6,327,012
84.298	Innovative Education Program Strategies	SDA	3,924
84.298	Innovative Education Program Strategies	SPA	12,418
	84.298 Subtotal		6,367,317
84.299	Indian Education—Special Programs	ASA	471,226
84.299	Indian Education—Special Programs	NAA	216,930
	84.299 Subtotal		688,156
84.303	Technology Innovation Challenge Grants, <i>Creighton School District</i>	ASA	117,636
84.303	Technology Innovation Challenge Grants, <i>Gallup McKinley County School</i> , Contract #s 210568, 225201, 233652	NAA	180,134
84.303	Technology Innovation Challenge Grants, <i>Bridges Demonstration Project</i> , Contract # CK26998	UAA	371
	84.303 Subtotal		298,141
84.310	Parental Assistance Centers, <i>Chandler Education Foundation</i>	ASA	61,880
84.314	Even Start—Statewide Family Literacy Program	EDA	81,618
84.315	Capacity Building for Traditionally Underserved Populations	NAA	229,559
84.318	Education Technology State Grants	EDA	8,953,463
84.318	Education Technology State Grants	SDA	3,135
	84.318 Subtotal		8,956,598
84.323	Special Education—State Program Improvement Grants for Children with Disabilities	EDA	606,300
84.323	Special Education—State Program Improvement Grants for Children with Disabilities	UAA	7,364
	84.323 Subtotal		613,664
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities	ASA	139,620
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities	SDA	18,024
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities, <i>Western Michigan University</i> , Contract # H324R000074	UAA	1,497
	84.324 Subtotal		159,141
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	ASA	463,930
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	NAA	366,566
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	UAA	1,229,650
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities, <i>University of North Carolina</i> , Contract # H325B000003	UAA	39,626
	84.325 Subtotal		2,099,772
84.326	Special Education—Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	EDA	62,769
84.326	Special Education—Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	SDA	109,944
	84.326 Subtotal		172,713
84.329	Special Education—Studies and Evaluations	NAA	58,128
84.331	Grants to States for Incarcerated Youth Offenders	DCA	317,685
84.332	Comprehensive School Reform Demonstration	EDA	2,260,191
84.333	Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education	UAA	242,104
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	NAA	2,874,212
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	SDA	62,863
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	UAA	605,298
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Sacaton School District</i>	ASA	62,249
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Tucson Unified School District</i> , Contract # 000009342	UAA	73,602
	84.334 Subtotal		3,678,224
84.335	Child Care Access Means Parents in School	ASA	141,140
84.335	Child Care Access Means Parents in School	UAA	86,520
	84.335 Subtotal		227,660
84.336	Teacher Quality Enhancement Grants	ASA	1,984,772
84.336	Teacher Quality Enhancement Grants	NAA	436,104
84.336	Teacher Quality Enhancement Grants	UAA	303,715
	84.336 Subtotal		2,724,591
84.339	Learning Anytime Anywhere Partnerships	ASA	486,625
84.339	Learning Anytime Anywhere Partnerships	UAA	215,641
	84.339 Subtotal		702,266
84.340	Class Size Reduction	EDA	2,830,620

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84.341	Community Technology Centers	NAA	40,711
84.342	Preparing Tomorrow's Teachers to Use Technology	ASA	977,628
84.342	Preparing Tomorrow's Teachers to Use Technology	NAA	701,525
	84.342 Subtotal		1,679,153
84.346	Vocational Education—Occupational and Employment Information State Grants	EDA	137,680
84.348	Title I Accountability Grants	EDA	2,979,600
84.350	Transition to Teaching	ASA	2,937
84.350	Transition to Teaching	EDA	2,221
	84.350 Subtotal		5,158
84.352	School Renovation Grants	EDA	13,357,382
84.352	School Renovation Grants	SDA	84,375
	84.352 Subtotal		13,441,757
84.353	Tech-Prep Demonstration Grants	UAA	18,103
84.357	Reading First State Grants	EDA	650,542
84.358	Rural Education	EDA	847,480
84.363	School Leadership	ASA	284,607
84.365	English Language Acquisition Grants	EDA	4,788,127
84.367	Improving Teacher Quality State Grants	BRA	58,416
84.367	Improving Teacher Quality State Grants	EDA	29,693,514
84.367	Improving Teacher Quality State Grants	NAA	35,279
	84.367 Subtotal		29,787,209
84.369	Grants for State Assessments and Related Activities	EDA	2,842,790
84.815	Troops to Teachers	EDA	89,487
84.928	Northern Arizona Writing Project, <i>University of Berkeley at California</i> , Contract # 92-AZ02	NAA	48,430
84.03335ED	Grant Writer/Strategic Planner	ASA	38,616
84.CNTR366	ADE Training Facility, Contract # 221	ASA	17,136
84.ISA 07/01/02	A Comprehensive Evaluation of the Juvenile Corrections Program within DES/RSA	ASA	9,164
84.ED99000072	National Center for Education Statistics	EDA	117,661
84.Unknown	National Elementary Counseling Grant, <i>Dysart Unified School District</i> , Contract # 031813	ASA	22
84.Unknown	Teams Distance Learning Star Schools Grant, <i>Los Angeles County Office of Education</i> , Contract # 1308140203	ASA	7,244
84.Unknown	National Writing Project, <i>National Writing Project</i> , Contract # 92-A201	ASA	27,190
84.Unknown	Assistive Technology Program, <i>Southwest Human Development</i>	NAA	6,958
84.Unknown	Dwight D. Eisenhower Mathematics and Science Education Grant Program	NAA	368,129
84.Unknown	Educational Partnership Between Arizona State Museum and TUSD, <i>Tucson Unified School District</i> , Contract # 4505	UAA	7,891
84.Unknown	Math Education Meeting	UAA	6,544
84.Unknown	National Writing Project Matching Funds, <i>National Writing Project</i> , Contract # 92AZ03	UAA	31,733
84.Unknown	Network of Colleges and Universities, <i>Education Development Center</i> , Contract # CK25823	UAA	2,381
84.Unknown	Professional Development	UAA	24,839
84.Unknown	Santa Cruz County's Cultural Partnerships—La Vida En Artes, <i>Santa Cruz County</i> , Contract # S351B020126	UAA	45,533
	Total Department of Education		\$ 498,969,943
<b>SCHOLARSHIP AND FELLOWSHIP FOUNDATIONS</b>			
85.400	Morris K. Udall Scholarship Program, <i>Morris K. Udall Foundation</i> , Contract # NN1001	UAA	\$ 374,251
	Total Scholarship and Fellowship Foundations		\$ 374,251
<b>NATIONAL ARCHIVES AND RECORDS ADMINISTRATION</b>			
89.003	National Historic Publications and Records Grants	LAA	\$ 15,599
	Total National Archives and Records Administration		\$ 15,599
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			
<b><u>Aging Cluster</u></b>			
93.044	Special Programs for the Aging—Title III, Part B—Grants for Supportive Services and Senior Centers	DEA	\$ 8,633,970
93.045	Special Programs for the Aging—Title III, Part C—Nutrition Services	DEA	9,089,768
	Aging Cluster Subtotal		17,723,738
<b><u>Child Care Cluster</u></b>			
93.575	Child Care and Development Block Grant	DEA	62,862,301
93.575	Child Care and Development Block Grant	GVA	588,086
93.575	Child Care and Development Block Grant	HSA	411,059
	93.575 Subtotal		63,861,446

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund	DEA	38,573,636
	Child Care Cluster Subtotal		102,435,082
	<b><u>Medicaid Cluster</u></b>		
93.775	State Medicaid Fraud Control Units	AGA	716,028
93.777	State Survey and Certification of Health Care Providers and Suppliers	BNA	364,700
93.777	State Survey and Certification of Health Care Providers and Suppliers	HSA	3,588,074
	93.777 Subtotal		3,952,774
	Medicaid Cluster Subtotal		4,668,802
	<b><u>Other Department of Health and Human Services Programs</u></b>		
93.003	Public Health and Social Services Emergency Fund	HSA	556,149
93.041	Special Programs for the Aging—Title VII, Chapter 3—Programs for Prevention of Elder Abuse, Neglect, and Exploitation	DEA	145,270
93.042	Special Programs for the Aging—Title VII, Chapter 2—Long Term Care Ombudsman Services for Older Individuals	DEA	299,364
93.043	Special Programs for the Aging—Title III, Part D—Disease Prevention and Health Promotion Services	DEA	480,958
93.048	Special Programs for the Aging—Title IV—and Title II—Discretionary Projects	DEA	791,433
93.052	National Family Caregiver Support	DEA	2,823,890
93.052	National Family Caregiver Support, <i>Pima Council on Aging</i> , Contract # CK5768	UAA	11,572
	93.052 Subtotal		2,835,462
93.053	Nutrition Services Incentive Program	DEA	390,653
93.103	Food and Drug Administration—Research	AHA	9,688
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)	HSA	1,112,901
93.107	Model State-Supported Area Health Education Centers	UAA	345,070
93.110	Maternal and Child Health Federal Consolidated Programs	HSA	163,664
93.110	Maternal and Child Health Federal Consolidated Programs	UAA	647,484
93.110	Maternal and Child Health Federal Consolidated Programs, <i>University of Colorado</i> , Contract # FY02003018	UAA	73,251
	93.110 Subtotal		884,399
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs	HSA	1,155,106
93.119	Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services—Technical Assistance Centers for Evaluation	HSA	52,079
93.127	Emergency Medical Services for Children	HSA	127,444
93.130	Primary Care Services—Resource Coordination and Development	HSA	242,351
93.136	Injury Prevention and Control Research and State and Community-Based Programs	ASA	7,430
93.136	Injury Prevention and Control Research and State and Community-Based Programs	HSA	810,545
93.136	Injury Prevention and Control Research and State and Community-Based Programs	UAA	336,396
	93.136 Subtotal		1,154,371
93.142	NIEHS Hazardous Waste Worker Health and Safety Training, <i>University of California Los Angeles</i>	ASA	188,538
93.145	AIDS Education and Training Centers, <i>University of California San Francisco</i> , Contract #s 2526SC, 2943SC	UAA	144,206
93.150	Projects for Assistance in Transition from Homelessness (PATH)	HSA	564,728
93.157	Centers of Excellence	UAA	129,451
93.165	Grants for State Loan Repayment	HSA	89,630
93.173	Research Related to Deafness and Communication Disorders	UAA	69,049
93.189	Health Education and Training Centers	UAA	270,036
93.191	Allied Health Special Projects	NAA	147,862
93.192	Quentin N. Burdick Programs for Rural Interdisciplinary Training	ASA	11,550
93.192	Quentin N. Burdick Programs for Rural Interdisciplinary Training	UAA	301,485
	93.192 Subtotal		313,035
93.197	Childhood Lead Poisoning Prevention Projects—State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children	HSA	201,626
93.217	Family Planning—Services, <i>Arizona Family Planning Council</i>	ASA	189,616
93.230	Consolidated Knowledge Development and Application (KD&A) Program	ASA	156,380
93.230	Consolidated Knowledge Development and Application (KD&A) Program	DCA	11,373
93.230	Consolidated Knowledge Development and Application (KD&A) Program	GVA	88,628
93.230	Consolidated Knowledge Development and Application (KD&A) Program	HSA	659,503
93.230	Consolidated Knowledge Development and Application (KD&A) Program	UAA	968,241
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>The Wheel Council, Inc.</i> , Contract # CK3414	UAA	33,106
	93.230 Subtotal		1,917,231
93.234	Traumatic Brain Injury—State Demonstration Grant Program	DEA	43,505
93.235	Abstinence Education	HSA	1,015,434



State of Arizona  
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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.235	Abstinence Education	NAA	53,666
	93.235 Subtotal		<u>1,069,100</u>
93.238	Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement	HSA	212,218
93.239	Policy Research and Evaluation Grants	HSA	20,000
93.240	State Capacity Building	HSA	160,475
93.241	State Rural Hospital Flexibility Program	HSA	725
93.241	State Rural Hospital Flexibility Program	UAA	579,618
	93.241 Subtotal		<u>580,343</u>
93.243	Substance Abuse and Mental Health Services—Projects of Regional and National Significance	UAA	101,328
93.247	Advanced Education Nursing Grant Program	ASA	639,418
93.251	Universal Newborn Hearing Screening	HSA	196,208
93.251	Universal Newborn Hearing Screening	SDA	12,795
	93.251 Subtotal		<u>209,003</u>
93.253	Poison Control Stabilization and Enhancement Grants	UAA	101,996
93.256	State Planning Grant—Health Care Access to the Uninsured	HCA	231,000
93.259	Rural Access to Emergency Devices Grant	HSA	193,098
93.263	Occupational Safety and Health—Training Grants	UAA	35,982
93.268	Immunization Grants	HSA	29,474,629
93.279	Drug Abuse Research Programs	ASA	254,675
93.282	Mental Health National Research Service Awards for Research Training	ASA	99,578
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	HSA	8,617,159
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	SDA	43,056
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	UAA	512,672
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance, <i>Association of Teachers of Preventive Medicine</i> , Contract # TS0733	UAA	28,839
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance, <i>University of Colorado</i> , Contract # U27CCU8131092580118	UAA	61,325
	93.283 Subtotal		<u>9,263,051</u>
93.301	Small Rural Hospital Improvement Grants	UAA	50,833
93.333	Clinical Research	UAA	20,750
93.358	Advanced Education Nursing Traineeships	ASA	73,074
93.358	Advanced Education Nursing Traineeships	NAA	21,701
	93.358 Subtotal		<u>94,775</u>
93.359	Nurse Education, Practice and Retention Grants	ASA	442,603
93.359	Nurse Education, Practice and Retention Grants	UAA	6,913
	93.359 Subtotal		<u>449,516</u>
93.361	Nursing Research	UAA	23,093
93.389	Research Infrastructure	UAA	1,744,226
93.389	Research Infrastructure, <i>University of Medicine &amp; Dentistry of New Jersey</i> , Contract # R25RR15621	UAA	27,895
	93.389 Subtotal		<u>1,772,121</u>
93.393	Cancer Cause and Prevention Research	HSA	33,814
93.393	Cancer Cause and Prevention Research	UAA	6,849
	93.393 Subtotal		<u>40,663</u>
93.398	Cancer Research Manpower	UAA	374,927
93.399	Cancer Control	HSA	42,586
93.399	Cancer Control, <i>Mayo Clinic</i> , Contract # U01CA86098	UAA	96,697
93.399	Cancer Control, <i>University of Alabama</i> , Contract # R01CA86311	UAA	13,272
	93.399 Subtotal		<u>152,555</u>
93.556	Promoting Safe and Stable Families	DEA	8,528,207
93.558	Temporary Assistance for Needy Families	DEA	255,186,505
93.558	Temporary Assistance for Needy Families	HSA	67,320
93.558	Temporary Assistance for Needy Families	NAA	220,436
	93.558 Subtotal		<u>255,474,261</u>
93.563	Child Support Enforcement	DEA	40,325,895
93.564	Child Support Enforcement Research	DEA	12,103
93.566	Refugee and Entrant Assistance—State-Administered Programs	DEA	6,386,669

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93.568	Low-Income Home Energy Assistance	DEA	7,875,510
93.568	Low-Income Home Energy Assistance	EPA	1,430,964
	93.568 Subtotal		<u>9,306,474</u>
93.569	Community Services Block Grant	DEA	4,968,591
93.570	Community Services Block Grant—Discretionary Awards, <i>National Youth Sports Program Fund</i>	ASA	60,344
93.570	Community Services Block Grant—Discretionary Awards, <i>National Youth Sports Corporation, Contract # 03-211</i>	NAA	4,382
93.570	Community Services Block Grant—Discretionary Awards, <i>National Collegiate Athletic Association, Contract #s NYSPF02109, CK3219</i>	UAA	88,475
	93.570 Subtotal		<u>153,201</u>
93.571	Community Service Block Grant Discretionary Awards—Community Food and Nutrition	DEA	55,412
93.576	Refugee and Entrant Assistance—Discretionary Grants	DEA	254,214
93.576	Refugee and Entrant Assistance—Discretionary Grants	EDA	276,758
	93.576 Subtotal		<u>530,972</u>
93.584	Refugee and Entrant Assistance—Targeted Assistance	DEA	1,198,826
93.585	Empowerment Zones Program	DEA	19,950
93.586	State Court Improvement Program	SPA	183,979
93.590	Community-Based Family Resource and Support Grants	DEA	456,779
93.597	Grants to States for Access and Visitation Programs	DEA	114,466
93.600	Head Start	GVA	185,053
93.603	Adoption Incentive Payments	DEA	458,144
93.623	Runaway and Homeless Youth	DEA	76,978
93.630	Developmental Disabilities Basic Support and Advocacy Grants	DEA	1,001,316
93.631	Developmental Disabilities Projects of National Significance	NAA	61,977
93.631	Developmental Disabilities Projects of National Significance	UAA	6,492
	93.631 Subtotal		<u>68,469</u>
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	NAA	382,865
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	UAA	98,635
	93.632 Subtotal		<u>481,500</u>
93.643	Children's Justice Grants to States	GVA	285,587
93.645	Child Welfare Services—State Grants	DEA	3,887,689
93.648	Child Welfare Services Training Grants	ASA	426,190
93.658	Foster Care—Title IV-E	ASA	1,070,899
93.658	Foster Care—Title IV-E	DEA	43,353,898
	93.658 Subtotal		<u>44,424,797</u>
93.659	Adoption Assistance	DEA	21,522,734
93.667	Social Services Block Grant	ADA	199,062
93.667	Social Services Block Grant	DEA	64,539,257
	93.667 Subtotal		<u>64,738,319</u>
93.669	Child Abuse and Neglect State Grants	DEA	636,961
93.669	Child Abuse and Neglect State Grants	HSA	154,471
	93.669 Subtotal		<u>791,432</u>
93.671	Family Violence Prevention and Services/Grants for Battered Women's Shelters—Grants to States and Indian Tribes	HSA	1,601,721
93.674	Chafee Foster Care Independent Living	DEA	1,986,292
93.767	State Children's Insurance Program	HCA	59,319,000
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	DEA	52,052,780
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	HCA	3,024,410,000
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	HSA	593,454
	93.779 Subtotal		<u>3,077,056,234</u>
93.822	Health Careers Opportunity Program	NAA	422,321
93.822	Health Careers Opportunity Program	UAA	655,256
	93.822 Subtotal		<u>1,077,577</u>
93.837	Heart and Vascular Diseases Research	UAA	285,626
93.846	Arthritis, Musculoskeletal and Skin Diseases Research	UAA	19,730
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	ASA	30,489
93.859	Biomedical Research and Research Training	ASA	535,659
93.859	Biomedical Research and Research Training	NAA	7,370

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93.859	Biomedical Research and Research Training	UAA	405,217
	93.859 Subtotal		948,246
93.884	Grants for Residency Training in General Internal Medicine and/or General Pediatrics	UAA	419,842
93.884	Grants for Residency Training in General Internal Medicine and/or General Pediatrics, <i>Association for Medical Education and Research in Substance Abuse</i>	NAA	40,672
	93.884 Subtotal		460,514
93.912	Rural Health Outreach and Rural Network Development Program, <i>Chiricahua Community Health Centers, Inc.</i> , Contract # CK8537	UAA	18,102
93.913	Grants to States for Operation of Offices of Rural Health	UAA	189,065
93.914	HIV Emergency Relief Project Grants	HSA	299,974
93.917	HIV Care Formula Grants	HSA	8,976,466
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	HSA	2,401,037
93.923	Disadvantaged Health Professions Faculty Loan Repayment and Fellowship Program	NAA	35,150
93.926	Healthy Start Initiative	HCA	10,000
93.933	Demonstration Projects for Indian Health, <i>Hualapai Tribe</i> , Contract # CK38591	UAA	30,538
93.933	Demonstration Projects for Indian Health, <i>Inter Tribal Council of Arizona, Incorporated</i> , Contract # U269400011	UAA	271,127
	93.933 Subtotal		301,665
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	ASA	30,724
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	EDA	220,035
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	HSA	87,544
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems, <i>Association of American Colleges and Universities</i> , Contract # CK55949	UAA	2,176
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems, <i>National 4H Council</i> , Contract # CK211174	UAA	328,396
	93.938 Subtotal		668,875
93.940	HIV Prevention Activities—Health Department Based	HSA	3,169,343
93.940	HIV Prevention Activities—Health Department Based, <i>Southern Arizona Aids Foundation, Inc.</i> , Contract # CK12346	UAA	2,616
	93.940 Subtotal		3,171,959
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance	HSA	508,226
93.945	Assistance Programs for Chronic Disease Prevention and Control	HSA	166,067
93.952	Improving EMS/Trauma Care in Rural Areas	HSA	34,105
93.958	Block Grants for Community Mental Health Services	HSA	6,760,863
93.958	Block Grants for Community Mental Health Services	SPA	13,467
	93.958 Subtotal		6,774,330
93.959	Block Grants for Prevention and Treatment of Substance Abuse	ASA	23,380
93.959	Block Grants for Prevention and Treatment of Substance Abuse	HDA	155,300
93.959	Block Grants for Prevention and Treatment of Substance Abuse	HSA	27,930,617
	93.959 Subtotal		28,109,297
93.962	Health Administration Traineeships and Special Projects Program	ASA	4,342
93.969	Geriatric Education Centers	ASA	38,264
93.969	Geriatric Education Centers	UAA	270,665
	93.969 Subtotal		308,929
93.970	Health Professions Recruitment Program for Indians	ASA	265,391
93.970	Health Professions Recruitment Program for Indians	UAA	240,362
93.970	Health Professions Recruitment Program for Indians, <i>Phoenix Area Indian Health Service</i>	ASA	3,064
	93.970 Subtotal		508,817
93.977	Preventive Health Services—Sexually Transmitted Diseases Control Grants	HSA	1,243,031
93.982	Mental Health Disaster Assistance and Emergency Mental Health	HSA	442,787
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	HSA	244,589
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	UAA	207,769
	93.988 Subtotal		452,358
93.991	Preventive Health and Health Services Block Grant	ASA	8,112
93.991	Preventive Health and Health Services Block Grant	HSA	1,613,637
93.991	Preventive Health and Health Services Block Grant	PSA	30,000
	93.991 Subtotal		1,651,749
93.994	Maternal and Child Health Services Block Grant to the States	ASA	5,141
93.994	Maternal and Child Health Services Block Grant to the States	GVA	124,324
93.994	Maternal and Child Health Services Block Grant to the States	HSA	8,277,146

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93.994	Maternal and Child Health Services Block Grant to the States	NAA	5,000
	93.994 Subtotal		<u>8,411,611</u>
93.270-94-0026, 270-97-7008, 277-98-6021	State Demands and Needs Assessment	HSA	211,771
93.0600-98-32781, 0600-03-60032	Enumeration at Birth	HSA	151,741
93.200-96-7255, 200-1998-07255	National Death Index	HSA	9,054
93.200-95-7202, 200-2000-07202	Vital Statistics Cooperative Program	HSA	263,402
93.Unknown	AIRPEM, <i>Indian Health Services</i> , Contract # 005-E37-1-0011	NAA	2,732
93.230010042	Border Vision Fronteriza Project (BVF) Children's Health Project	UAA	340,784
93.021PA9344	Senior Malaria Advising at UNICEF for RBM Program Planning Implementation for Sub-Saharan Africa	UAA	94,897
93.FULGINIIPA	IPA—Author for Small Pox CDC Website Housing Reactions to Small Pox Vaccinations	UAA	38,640
93.Unknown	Access to Health Information for Arizona's Tribal Nations, <i>University of California Los Angeles</i> , Contract # 5415GDB064	UAA	16,312
93.Unknown	SMI Services, <i>La Frontera, Inc.</i> , Contract # CK0005774	UAA	16,740
93.Unknown	Tribal Connections Four Corners, <i>University of Utah</i> , Contract # 200615008	UAA	19,572
	Total Department of Health and Human Services		<u>\$ 3,860,006,969</u>
<b><u>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE</u></b>			
<b><u>Foster Grandparent/Senior Companion Cluster</u></b>			
94.011	Foster Grandparent Program	DEA	\$ 364,438
94.011	Foster Grandparent Program	NAA	124,385
	94.011 Subtotal		<u>488,823</u>
94.016	Senior Companion Program	NAA	197,477
	Foster Grandparent/Senior Companion Cluster Subtotal		<u>686,300</u>
<b><u>Other Corporation for National and Community Service Programs</u></b>			
94.002	Retired and Senior Volunteer Program	NAA	57,525
94.003	State Commissions	GVA	240,234
94.003	State Commissions	NAA	6,805
	94.003 Subtotal		<u>247,039</u>
94.004	Learn and Serve America—School and Community Based Programs	ASA	46,892
94.004	Learn and Serve America—School and Community Based Programs	EDA	279,821
	94.004 Subtotal		<u>326,713</u>
94.006	AmeriCorps	GVA	1,652,968
94.006	AmeriCorps	NAA	404,092
94.006	AmeriCorps, <i>Jumpstart</i>	ASA	50,145
	94.006 Subtotal		<u>2,107,205</u>
94.007	Planning and Program Development Grants	GVA	103,487
94.009	Training and Technical Assistance	GVA	44,740
94.009	Training and Technical Assistance	NAA	61,722
94.009	Training and Technical Assistance	UAA	74,999
	94.009 Subtotal		<u>181,461</u>
	Total Corporation for National and Community Service		<u>\$ 3,709,730</u>
<b><u>SOCIAL SECURITY ADMINISTRATION</u></b>			
96.001	Social Security—Disability Insurance	DEA	\$ 21,006,712
	Total Social Security Administration		<u>\$ 21,006,712</u>
<b><u>DEPARTMENT OF HOMELAND SECURITY</u></b>			
97.004	State Domestic Preparedness Equipment Support Program	MAA	\$ 3,396,310
97.004	State Domestic Preparedness Equipment Support Program	PSA	146,753
	97.004 Subtotal		<u>3,543,063</u>
97.012	Boating Safety Financial Assistance	GFA	646,033
97.021	Hazardous Materials Assistance Program	MAA	20,919
97.023	Community Assistance Program—State Support Services Element (CAP-SSSE)	MAA	24,772
97.029	Flood Mitigation Assistance	MAA	23,318
97.032	Crisis Counseling	HSA	471,762
97.032	Crisis Counseling	UAA	9,612
	97.032 Subtotal		<u>481,374</u>

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97.035	Individual and Family Grants	MAA	247,429
97.036	Public Assistance Grants	MAA	4,501,562
97.039	Hazard Mitigation Grant	MAA	1,905,663
97.041	National Dam Safety Program	WCA	42,904
97.042	Emergency Management Performance Grants	MAA	2,488,886
97.046	Fire Management Assistance Grant	MAA	28,684
97.047	Pre-Disaster Mitigation	MAA	122,840
97.051	State and Local All Hazards Emergency Operations Planning	MAA	540,514
97.052	Emergency Operations Centers	MAA	36,443
97.053	Citizens Corps	MAA	254,191
	Total Department of Homeland Security		<u>\$ 14,908,595</u>
<b><u>MISCELLANEOUS FEDERAL AGENCIES</u></b>			
99.Unknown	Digital Distribution Fund, <i>Public Broadcasting Service</i> , Contract # CK269028	UAA	\$ 332,143
	Total Miscellaneous Federal Agencies		<u>\$ 332,143</u>
<b><u>STUDENT FINANCIAL AID CLUSTER</u></b>			
<b><u>Department of Education</u></b>			
84.007	Federal Supplemental Educational Opportunity Grants	ASA	\$ 2,244,080
84.007	Federal Supplemental Educational Opportunity Grants	NAA	518,733
84.007	Federal Supplemental Educational Opportunity Grants	UAA	1,302,298
	84.007 Subtotal		<u>4,065,111</u>
84.033	Federal Work-Study Program	AGA	39,045
84.033	Federal Work-Study Program	ASA	1,647,498
84.033	Federal Work-Study Program	NAA	934,766
84.033	Federal Work-Study Program	UAA	2,237,896
	84.033 Subtotal		<u>4,859,205</u>
84.038	Federal Perkins Loan Program—Federal Capital Contributions	ASA	362,511
84.038	Federal Perkins Loan Program—Federal Capital Contributions	NAA	127,085
84.038	Federal Perkins Loan Program—Federal Capital Contributions	UAA	182,479
	84.038 Subtotal		<u>672,075</u>
84.063	Federal Pell Grant Program	ASA	26,144,318
84.063	Federal Pell Grant Program	NAA	11,406,047
84.063	Federal Pell Grant Program	UAA	18,008,672
	84.063 Subtotal		<u>55,559,037</u>
<b><u>Department of Health and Human Services</u></b>			
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	UAA	55,515
93.364	Nursing Student Loans	UAA	67,764
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	ASA	309,078
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	NAA	71,386
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	UAA	358,781
	93.925 Subtotal		<u>739,245</u>
	Total Student Financial Aid Cluster		<u>\$ 66,017,952</u>
<b><u>RESEARCH AND DEVELOPMENT CLUSTER</u></b>			
<b><u>Agency for International Development</u></b>			
02.RD	Agency for International Development	UAA	\$ 535,291
02.RD	<i>Council of State Government</i> , Contract # AEP0015A00403400	ASA	59,063
02.RD	<i>International Institute of Tropical Agriculture</i> , Contract # AGMT DTD 11/14/02	UAA	5,727
02.RD	<i>Virginia Polytechnic Institute</i> , Contract # CR19101425215	UAA	15,524
	Subtotal Agency for International Development		<u>615,605</u>
<b><u>Department of Agriculture</u></b>			
10.RD	Agricultural Research Service	ASA	4,517
10.RD	Cooperative State Research, Education, and Extension Service	ASA	472,823
10.RD	Cooperative State Research, Education, and Extensive Service	NAA	213,385
10.RD	Department of Agriculture	UAA	8,111,813
10.RD	Forest Service	ASA	89,426
10.RD	Forest Service	NAA	867,936
10.RD	Forest Service	UAA	1,245,609
10.RD	Valles Caldera Trust	UAA	32,160
10.RD	<i>American Distance Education Consortium</i> , Contract # 6302	UAA	28,585

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Schedule of Expenditures of Federal Awards  
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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
10.RD	Arizona Department of Agriculture, Contract # 12-25-G-0352	ASA	2,594
10.RD	Arizona Department of Health Services, Contract #s 932012, 261080	UAA	18,607
10.RD	Arizona Iceberg Lettuce Research Council, Contract #s 122LETTUCECSC1RD1, 122LETTUCECSC2RD1, 122LETTUCECSC2RD2, 122LETTUCECSC3RD1	UAA	331,412
10.RD	Arizona State Land Department, Contract #s G06, H8	UAA	4,519
10.RD	Arizona State University, Contract # 02050	UAA	6,042
10.RD	Ben-Gurion University, Contract # 88839	UAA	2,705
10.RD	Colorado State University, Contract # G14061	UAA	2,159
10.RD	Dine College, Contract # S01012	UAA	10,451
10.RD	Michigan State University, Contract #s 614296D, 614075J	UAA	89,230
10.RD	Mississippi State University, Contract # 32094601050003	UAA	1,362
10.RD	National Fish and Wildlife Foundation, Contract # 20010439000	UAA	70,783
10.RD	National Pork Producers Council, Contract # 02102	UAA	22,267
10.RD	New Mexico State University, Contract #s SWC01N04Q00649, NMSUD10, SWC02R04, NMSUD12000, SWC01R03Q00653, SWC01N03Q00651, Q00778SWC02R03	UAA	100,336
10.RD	Northern Arizona University, Contract # 2001-34465-10795	ASA	1,206
10.RD	Oceanic Institute, Contract #s 99388087431, CK057901, 20023880801345	UAA	390,460
10.RD	Oregon State University, Contract # RD01A11	UAA	19,976
10.RD	Purdue University, Contract # 590261801	UAA	1,967
10.RD	Quantifying Sheetwash, CASE Western Reserve University, #2002-35102-12489	NAA	7,856
10.RD	Reprotec, Incorporated, Contract # 00336109449	UAA	16,271
10.RD	Rutgers, The State University of New Jersey, Contract # CK023935	UAA	1,630
10.RD	Rutgers University, Contract # 02IE08310229	ASA	22,839
10.RD	Seaphire International, Contract # 20023361011846	UAA	36,863
10.RD	Texas A&M University, Contract #s 570118, 570115	UAA	78,206
10.RD	University of California—Davis, Contract #s 00RA2416AZ, 0200777IC	UAA	57,880
10.RD	University of Missouri-Columbia, Contract # 00-34318-9237	ASA	94,759
10.RD	University of Tennessee, Contract # OR113930101	UAA	41,586
10.RD	University of Washington, Contract #s 237030, 423387, 423298, 567888, 567962	UAA	18,309
10.RD	University of Wisconsin, Contract #s 2001-34101-10526, SRS 02-Ca-11330145-073	ASA	26,418
10.RD	Utah State University, Contract #s C026020, C025887, C027004, C019078, 99035, C026031, C029347, C029398, 99040	UAA	312,316
	Subtotal Department of Agriculture		12,857,263
<b>Department of Commerce</b>			
11.RD	Department of Commerce—National Climatic Data Center	ASA	5,000
11.RD	Economic Development Administration	UAA	104,783
11.RD	National Institute of Standards and Technology	UAA	15,127
11.RD	National Oceanic and Atmospheric Administration	UAA	2,275,716
11.RD	U.S. Census Bureau	UAA	9,149
11.RD	Advanced Tissue Science, Incorporated, Contract # 762000	UAA	59,017
11.RD	Indiana University, Contract # NA06GP0344	UAA	34,170
11.RD	Yale University, Contract # NA16FX1412	NAA	400
11.RD	Motorola, Inc., Contract # 70NANB9H3012-004	ASA	50,006
11.RD	National Storage Industry Consortium, Contract # 70NANB1H3056	UAA	168,613
11.RD	Texas A&M University, Contract # NA06RG0072	UAA	158
11.RD	University Corp. for Atmospheric Research, Contract #s S0132793, S0238659	UAA	47,152
11.RD	University of Massachusetts, Contract #s 002707400, 0207400	UAA	57,405
11.RD	University of Southern Mississippi, Contract # USM0315001101B10	UAA	13,482
11.RD	University of Washington, Contract #s 156823, 293139	UAA	59,824
	Subtotal Department of Commerce		2,900,002
<b>Department of Defense</b>			
12.RD	Advance Research Projects Agency	ASA	2,790,863
12.RD	Ballistic Missile Defense Organization	UAA	35,685
12.RD	Defense Advanced Research Projects Agency	UAA	117,163
12.RD	Defense Contracting Command—Washington	UAA	229,750
12.RD	Defense Threat Reduction Agency	UAA	55,359
12.RD	Department of the Air Force	UAA	7,530,849
12.RD	Department of the Air Force, Materiel Command	ASA	595
12.RD	Department of the Army	NAA	45,669
12.RD	Department of the Army, Army Research Office	ASA	108,967
12.RD	Department of Defense	NAA	107,475
12.RD	Department of the Air Force	ASA	6,984
12.RD	Department of Defense—Air Force Office of Scientific Research	ASA	2,062,991
12.RD	Department of Defense—Air Force Research Laboratory	ASA	26,475
12.RD	Department of the Army	ASA	1,994
12.RD	Department of Defense—Maryland Procurement Office	ASA	117,106
12.RD	Department of Defense—Naval Command Central Service Center	ASA	1,953
12.RD	Department of the Navy	ASA	720
12.RD	Department of the Navy	UAA	2,016,470
12.RD	Department of the Navy, Office of the Chief of Naval Research	ASA	4,197,422
12.RD	Maryland Procurement Office	UAA	242,937
12.RD	National Imagery and Mapping Agency	UAA	111,825
12.RD	National Security Agency	ASA	214,645

State of Arizona  
 Schedule of Expenditures of Federal Awards  
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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
12.RD	SPAWAR Systems Center—San Diego	UAA	278,971
12.RD	U.S. Army Communications—Electronics Command	UAA	91,460
12.RD	U.S. Army Engineer and Support Center, Huntsville	UAA	1,444
12.RD	U.S. Army Materiel Command	ASA	1,144,235
12.RD	U.S. Army Medical Research Acquisition Activity	UAA	1,267,671
12.RD	U.S. Army Robert Morris Acquisition	UAA	1,600,685
12.RD	Academy of Applied Sciences, Contract # DAAG55-98-1-0468	ASA	7,390
12.RD	Advanced Ceramics Research Incorporated, Contract #s 001557, 001963, 296955	UAA	179,716
12.RD	Battelle Columbus Division, Contract # DAAD19-02-D-0001	ASA	11,701
12.RD	Booz, Allen, and Hamilton, Contract # 31771CV202DO30	UAA	43,552
12.RD	California Institute of Technology, Contract # 67SA1054551	UAA	48,197
12.RD	California State University, Long Beach, Contract # DAAD19-02-1-0355	ASA	38,256
12.RD	Call/Recall Incorporated, Contract # UFO092502	UAA	20,996
12.RD	Charles River Analytics Incorporated, Contract # SC102701	UAA	25,733
12.RD	GULde, Contract # F33615-01-C-2186	ASA	143,911
12.RD	Harvard University, Contract # DAAD19-01-1-0607	ASA	28,101
12.RD	I Technology Applications, Contract # F0863000C0043	UAA	95,276
12.RD	Imperial College, Contract # CK19787	UAA	461,707
12.RD	L3 COMM, Contract # F41624-97-D-5000	ASA	26,815
12.RD	Lite Cycles, Incorporated, Contract # A0020755	UAA	23,072
12.RD	Lockheed, Contract #s DAAB07-03-C-K420, F3361500D3053, MDA972-01-9-0002, MDA97201C0064	ASA	239,991
12.RD	Massachusetts Institute of Technology, Contract # N000140110758	ASA	109,083
12.RD	Mayo Foundation, Contract # N66001-99-C8605	ASA	167,229
12.RD	Microfab Technologies, Incorporated, Contract # BA60027	UAA	23,000
12.RD	Microfab Inc, Contract #s DAAH0101CR171, F3361500C1712, F2960101C0032	ASA	133,651
12.RD	Mission Research Corporation, Contract #s SC6599020002UAZ01, SC0013970035, 020205575	UAA	24,573
12.RD	Mississippi State University, Contract # N00014-02-1-0120	ASA	41,940
12.RD	Motorola, Inc., Contract # MDA9720130001	ASA	69,909
12.RD	National Medical Technology Testbed, Contract # 200172UOFARIZONAE	UAA	141,109
12.RD	Neotech, Contract # N00173-01-C-2011	ASA	57,797
12.RD	New York University, Contract #s N66001-00-1-8920-01, N66001-00-1-8929-01	ASA	258,470
12.RD	North Carolina State University, Contract # 2001116202	UAA	77,333
12.RD	North Carolina State University, Contract #s F49620-951-0447, N00014-01-1-0716	ASA	177,738
12.RD	Northrop Grumman, Contract # N0001400C0173	ASA	2,081
12.RD	Northwestern University, Contract # N00014-02-1-0444	ASA	10,535
12.RD	Physical Sciences, Inc., Contract # SC25627137403	UAA	57,382
12.RD	Post Processing Requirements, Intelligent Light, Contract # IL020228	NAA	1,907
12.RD	Princeton University, Contract # N000140110741	ASA	131,076
12.RD	Purdue University, Contract # F496200010016	ASA	11,324
12.RD	Raytheon, Contract #s F30602-02-C-0191, F4962002C0055	ASA	64,066
12.RD	Raytheon Company, Contract #s 6840649SN4, 6840649SN4	UAA	953,616
12.RD	Rush-Presbyterian-St. Luke's Contract # F30602-01-2-0584	ASA	23,850
12.RD	Sandia National Laboratories, Contract # N0001402IP20016	ASA	37,000
12.RD	Sandia National Laboratories, Contract # A0334140850	UAA	32,203
12.RD	Signal Corporation, Contract # 01091UOFATEISO157	UAA	194,026
12.RD	Stanford University, Contract #s EMAIL 06/26/03, N66001-00-C-8077	ASA	14,781
12.RD	Technical & Devices, Contract # N00014-02-M-0288	ASA	30,000
12.RD	Thomas Jefferson University, Contract # 08031000X08001	UAA	14,661
12.RD	Titan Systems Corporation, Contract #s F19628-99-C-0080, N0001403C0228	ASA	82,392
12.RD	University of Illinois, Contract # 01128	UAA	79,533
12.RD	University of Illinois-Champaign/Urbana, Contract # N00014-01-1-1062	ASA	114,755
12.RD	University of Illinois-Chicago, Contract # DAAD190110462	ASA	44,933
12.RD	University of Iowa, Contract # 4000086892	UAA	65,961
12.RD	University of Kansas, Contract # F49620-98-1-0400	ASA	110,272
12.RD	University of Massachusetts, Contract # F33615-02-C-4031	ASA	44,001
12.RD	University of Michigan, Contract # F005004	UAA	51,316
12.RD	University of Minnesota, Contract # N00014-00-1-0928	ASA	47
12.RD	University of Minnesota, Contract # R6636360302	UAA	16,013
12.RD	University of New Mexico, Contract # 8916017811	UAA	88,754
12.RD	University of Oregon, Contract # 235751B	UAA	95,063
12.RD	University of Pennsylvania, Contract # 534683	UAA	57,984
12.RD	University of Rhode Island, Contract # 052201535231	UAA	52,642
12.RD	University of South Florida, Contract #s N00039-01-1-2248, N00039-02-C-3244	ASA	84,994
12.RD	University of Southern California, Contract #s 073489, 080120	UAA	111,783
12.RD	University of Texas, Contract # UTA023364300059044	UAA	93,474
12.RD	University of Texas MD Anderson Cancer Center, Contract # DAMD179919505	UAA	67,226
12.RD	University of Vermont, Contract #s DAAD190010377, DAAD19-01-1-0406	ASA	69,831
12.RD	University of Virginia, Contract # F496020110352	ASA	213,884
12.RD	Vanderbilt University, Contract # 14761S3	UAA	26,759
12.RD	Ventana Research Company, Contract # DAAD1902C0014	UAA	381
12.RD	Virginia Commonwealth University, Contract # 52868213	ASA	1,271
12.RD	Yale University, Contract # Y000004	UAA	215,576
12.RD	Zona Technology, Inc., Contract #s F33615-02-M-3244, ZTASU010612	ASA	123,699
	Subtotal Department of Defense		30,575,661

State of Arizona  
Schedule of Expenditures of Federal Awards  
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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<b><u>Department of Housing and Urban Development</u></b>			
14.RD	Department of Housing and Urban Development	UAA	13,114
14.RD	City of Tucson, Contract # 018299	UAA	11,755
	Subtotal Department of Housing and Urban Development		24,869
<b><u>Department of the Interior</u></b>			
15.RD	Bureau of Land Management	ASA	99,735
15.RD	Bureau of Land Management	NAA	2,386,599
15.RD	Bureau of Land Management	UAA	243,630
15.RD	Bureau of Reclamation	ASA	176,661
15.RD	Bureau of Reclamation	NAA	3,615
15.RD	Bureau of Reclamation	UAA	666,811
15.RD	Geological Survey	ASA	15,038
15.RD	National Park Service	ASA	69,257
15.RD	National Park Service	NAA	760,376
15.RD	National Park Service	UAA	1,630,399
15.RD	U.S. Fish and Wildlife Service	ASA	22,801
15.RD	U.S. Fish and Wildlife Service	NAA	20,369
15.RD	U.S. Fish and Wildlife Service	UAA	54,478
15.RD	U.S. Geological Survey	NAA	1,453,357
15.RD	U.S. Geological Survey	UAA	2,017,139
15.RD	U.S. Department of Agriculture-Apache-Sitgreaves Forest	ASA	3,008
15.RD	Arizona Department of Game and Fish, Contract # 031298	ASA	9,996
15.RD	Arizona Department of Game and Fish, Contract # E0054191	UAA	10,010
15.RD	University of Arizona, Contract #s Y712611, P692112	NAA	9,281
15.RD	State of Utah, Contract # 976475	NAA	8,028
15.RD	Louisiana State University and Agricultural and Mechanical College, Contract # R122941	UAA	162,105
15.RD	Montana State University, Contract # GC16902Z1138	UAA	532
15.RD	National Fish and Wildlife Foundation, Contract #s 98083005, 20010028037	UAA	72,882
15.RD	Southwest Parks and Monuments Association, Contract # 007	UAA	1,442
15.RD	University of Arizona Water Resource Center, Contract # 01HQGR0113	ASA	6,145
15.RD	University of California at Davis, Contract # DCN 11330-1-J084	ASA	34,236
	Subtotal Department of the Interior		9,937,930
<b><u>Department of Justice</u></b>			
16.RD	Department of Justice	NAA	523,316
16.RD	National Institute of Justice	UAA	370,096
16.RD	Arizona Governor's Community Policy Office, Contract # JBISA0332730030300	UAA	42,662
16.RD	Arizona Governor's Office-Children, Contract # JBISA01224400	ASA	119,656
16.RD	City of Mesa, Contract # AGR 02/15/00-001	ASA	77
16.RD	National Opinion Research Center, Contract # OJP-2001-C-003	ASA	274,194
16.RD	University of Pittsburg, Contract # 2000-MU-0007	ASA	737,327
	Subtotal Department of Justice		2,067,328
<b><u>Department of State</u></b>			
19.RD	Council for International Exchange of Scholars, Contract # AIAM64278	ASA	39
	Subtotal Department of State		39
<b><u>Department of Transportation</u></b>			
20.RD	Department of Transportation	UAA	62,599
20.RD	Federal Aviation Administration	ASA	419,910
20.RD	Federal Highway Administration	ASA	3,004
20.RD	Federal Highway Administration	UAA	151,648
20.RD	National Highway Traffic Safety Administration	UAA	97,228
20.RD	Arizona Department of Transportation, Contract #s JPA01182, JPA98156, JPA99166, JPA0082, JPA0281, JPA02165	UAA	792,105
20.RD	Arizona State Parks Board, Contract # ISA99138	UAA	1,669
20.RD	Bre-Fugro, Contract # HR 9-30	ASA	23,580
20.RD	Easter Seal Research Foundation, Contract # 452611501106	UAA	85,411
20.RD	ERES Consultants, Contract # HR 1-37A	ASA	38,948
20.RD	Georgia Institute of Technology, Contract # E20G13S2	UAA	15,071
20.RD	National Academy of Science, Contract #s HR0923, DOT-6100-099	ASA	225,856
20.RD	National Academy of Science, Contract # HR844	UAA	109,510
20.RD	National Research Council, Contract # LTR 03/05/01	ASA	98
20.RD	Ohio State University Research Foundation, Contract #s 739155, 739156	UAA	182,833
20.RD	Siemens Aktiengesellschaft, Contract # DTFH6102C00047	UAA	29,597
20.RD	University of Maryland, Contract # DTFH61-94-C-00045	ASA	348,020
	Subtotal Department of Transportation		2,587,087
<b><u>Office of Personnel Management</u></b>			
27.RD	Office of Personnel Management	ASA	18,219
	Subtotal Office of Personnel Management		18,219



State of Arizona  
 Schedule of Expenditures of Federal Awards  
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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
<b>General Services Administration</b>			
39.RD	General Services Administration	ASA	607,284
	Subtotal General Services Administration		607,284
<b>National Aeronautics and Space Administration</b>			
43.RD	Ames Research Center	UAA	162,010
43.RD	Goddard Space Flight Center	UAA	14,190,187
43.RD	John C. Stennis Space Center	UAA	541,528
43.RD	Johnson Space Center	UAA	216,437
43.RD	Kennedy Space Center	UAA	34,256
43.RD	Langley Research Center	UAA	405,658
43.RD	Lewis Research Center	UAA	263,793
43.RD	Marshall Space Flight Center	UAA	442,337
43.RD	NASA Glenn Research Center	UAA	164,016
43.RD	NASA Headquarters	UAA	3,636
43.RD	National Aeronautics and Space Administration	ASA	4,398,386
43.RD	National Aeronautics and Space Administration	NAA	99,126
43.RD	Arizona State University, Contract #s 02066, 00103SC	UAA	302,221
43.RD	Boeing Aero Division—Seattle, Contract # 793246	ASA	39,983
43.RD	Boeing Aerospace Company, Contract # Y50001	UAA	1,476
43.RD	Carnegie Institution of Washington, Contract # NCC2-1056	ASA	27,797
43.RD	Carnegie Institution of Washington, Contract # NASW00002	UAA	58,461
43.RD	Cornell University, Contract # JPL No. 122396	ASA	117,420
43.RD	University of Texas, Contract # UTA03-066	NAA	32,845
43.RD	Georgia Institute of Technology, Contract # G35674G1	UAA	106,784
43.RD	Jet Propulsion Laboratory, Contract #s NAS7-1407, NAS7-03001	ASA	5,908,531
43.RD	Jet Propulsion Laboratory	NAA	668
43.RD	Jet Propulsion Laboratory, Contract #s 959969, 960164, 960173, 960489, 960785, 960943, 961040, 961057, 961160, 961163, 961165, 961166, 961167, 961223, 961225, 961408, 1207213, 1208566, 1211447, 1216369, 1217713, 1218749, 1219055, 1219132, 1224768, 1224769, 1224959, 1226582, 1226714, 1226986, 1227728, 1228075, 1228128, 1228726, 1230296, 1230836, 1230843, 1231498, 1232230, 1234630, 1235383, 1235621, 1236412, 1236727, 1236728, 1236841, 1238194, 1239175, 1240551, 1241086, 1241125, 1243073, 1243366, 1245833, 1248233, 1250479	UAA	28,423,743
43.RD	Lockheed Martin Corporation, Contract #s GC13226B15, GD13200B15, HC01N2001F, XS1746550E, VXV452210E	UAA	98,770
43.RD	National Space Biomedical Research Institute, Contract # NCC958166MA00204	UAA	165,152
43.RD	Ohio Aerospace Institute, Contract # 021422-001	ASA	33,755
43.RD	Pennsylvania State University, Contract # 2358UANASA2330	UAA	10,850
43.RD	Planetary Science Institute, Contract # NAG512546	UAA	17,990
43.RD	Space Telescope Science Institute, Contract #s HST-GO-08580.06-A, HST-GO-09386.06-A	NAA	5,959
43.RD	Raytheon Company, Contract #s 300063, 300623, 3000623, 884382S90, 012100MJ7, F0470199R0018	UAA	928,448
43.RD	Rice University, Contract # R5170272000002	UAA	8,620
43.RD	Penn State University, Contract # 2302-NAU-NASA-2275	NAA	27,954
43.RD	Science Application International, Contract # NAS9-19180	ASA	106,475
43.RD	Seii Institute, Contract # 5659601-003	ASA	32
43.RD	Seii Institute, Contract # 132803002	UAA	8,160
43.RD	Smithsonian Astrophysics Observatory, Contract #NAS8-39073	ASA	33,305
43.RD	Smithsonian-National Air and Space Museum, Contract # NAG5-12180	ASA	2,673
43.RD	Southwest Research Institute, Contract #s 83818, 83819	UAA	352,233
43.RD	Space Telescope Science Institute, Contract # NAS5-26555	ASA	287,392
43.RD	Space Telescope Science Institute, Contract #s GO078860196A, GO081760197A, GO081960197A, GO082850297A, GO083330197A, HSTAR0920201A, HSTAR0952601A, HSTAR0955601A, HSTED9022501A, HSTED9022601A, HSTGO0671901A, HSTGO0785401A, HSTGO0816913A, HSTGO0826601A, HSTGO0828601A, HSTGO0858008A, HSTGO0858401A, HSTGO0862406A, HSTGO0878904A, HSTGO0903501A, HSTGO0905701A, HSTGO0906402A, HSTGO0907202A, HSTGO0911902A, HSTGO0913305A, HSTGO0913401A, HSTGO0913701A, HSTGO0913801A, HSTGO0916101A, HSTGO0917201A, HSTGO0917301A, HSTGO0928002A, HSTGO0936603A, HSTGO0937503A, HSTGO0938301A, HSTGO0938503A, HSTGO0943305A, HSTGO0944002A, HSTGO0945507, HSTGO0950005A, HSTHF0112801A, HSTHF0113501A	UAA	629,469
43.RD	Stanford University, Contract # NAG5-12673	ASA	5,037
43.RD	University of Alabama, Contract #s SUB2002149, SUB2001079	UAA	46,104
43.RD	University of Arizona, Contract # NGT5-40082	ASA	186,835
43.RD	University of Arizona, NAU Space Grant, Contract # Y702150	NAA	96,658
43.RD	University of California Berkeley, Contract # SA377324009	UAA	9,120
43.RD	University of California Los Angeles, Contract # NCC2-1364	ASA	1,657
43.RD	University of California Los Angeles, Contract #s 0965GCB625, 2090GDC157	UAA	89,249
43.RD	University of Maryland, Contract # Z667706	UAA	11,515
43.RD	University of Michigan, Contract #s F006453, F007004	UAA	57,132
43.RD	University of Minnesota, Contract # NCC3-857	ASA	44,345
43.RD	University of Pennsylvania, Contract #s 536594A, 2336AUNASA2115	UAA	84,947
43.RD	University of Pittsburgh, Contract # #NAG5-9438	ASA	12,654
43.RD	University of Tennessee, Contract # NAG5-11201	ASA	17,811
43.RD	University of Washington, Contract # 426389	UAA	20,979
43.RD	Zona Technology Inc., Contract # NAS1-03021	ASA	17,362
	Subtotal National Aeronautics and Space Administration		59,359,941
<b>National Foundation on the Arts and the Humanities</b>			
45.RD	National Endowment for the Humanities	ASA	49,105
45.RD	National Endowment for the Humanities	UAA	1,482

State of Arizona  
 Schedule of Expenditures of Federal Awards  
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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
45.RD	Arizona Humanities Council, Contract # GG3333572001 Subtotal National Foundation on the Arts and the Humanities	UAA	133 <hr/> 50,720
<b>National Science Foundation</b>			
47.RD	National Science Foundation	ASA	20,717,952
47.RD	National Science Foundation	NAA	2,468,293
47.RD	National Science Foundation	UAA	36,894,087
47.RD	Alaska Pacific University, Contract # SUBNSF	UAA	21,376
47.RD	Alcatel, Contract # AGR 02/23/01	ASA	18,508
47.RD	American Association for the Advancement of Science, Contract # CK309996	UAA	316
47.RD	American Museum of Natural History, Contract # 052002	UAA	49,913
47.RD	Arctic Research Consortium of the United States, Inc., Contract # OPP0101279	UAA	54,148
47.RD	Arizona Science Center, Contract # DMR0120997	ASA	15,374
47.RD	Arizona State University, Contract #s 00112, 03031F02UR003, 03031F02UR004, 03031F02UR016, 03031F02UR017, 03031F02UR018, 03031F02UR021, 03039, 03087S03UR006, 03087S03UR011, 03087S03UR018, 03087S03UR021, 03087S03UR022, 03087S03UR023, KMD52701128S01UR016, KMD52701129V01UR004, KMD52701130F01UR004, KMD52701130F01UR006, KMD52701130F01UR008, KMD52701130F01UR009, KMD52761132V02UR001, KMD52761132V02UR002, KMD52761132V02UR013, KMD52761132V02UR014, KMD52761132V02UR015, KMD52761132V02UR022	UAA	212,734
47.RD	Aura, Inc., Contract #s C10345A, C10360A, PN230773, C22004N	UAA	33,677
47.RD	Carnegie Mellon University, Contract # CCR-9900304	ASA	5,495
47.RD	Carnegie Mellon University, Contract # 54227955123	UAA	34,893
47.RD	Colorado State University, Contract # ANI0196156	ASA	7,779
47.RD	Cornell University, Contract # 397616663	UAA	1,067,643
47.RD	Duke University, Contract #s 01SCNSF1023, 02SCNSF1044	UAA	55,599
47.RD	Eastern Michigan University, Contract # P0002068	UAA	7,532
47.RD	University of Illinois, Contract # 99-156	NAA	52,792
47.RD	Huntington Consulting, Contract # OPP9817923	UAA	15,957
47.RD	Incorporated Institutions for Seismology, Contract # EAR-9529992	ASA	19,461
47.RD	Incorporated Research Institutions for Seismology, Contract # 359	UAA	2,342
47.RD	Iowa State University, Contract # 4206131	UAA	166,941
47.RD	Kansas State University, Contract #s DEB-0218210, IBN-9632851-021	ASA	8,331
47.RD	Lehigh University, Contract # DMR9972670	ASA	54,135
47.RD	North Carolina State University, Contract #s 2001093304, 2001093307	UAA	679,835
47.RD	Northwestern University, Contract # REC9806020	ASA	26,890
47.RD	Ohio State University, Contract # ECS-0105320	ASA	58,725
47.RD	Oregon State University, Contract #OCE-9520956	ASA	13,090
47.RD	Pennsylvania State University, Contract # DBIO112670	ASA	99,141
47.RD	Pennsylvania State University, Contract #s 2205UANSF9156, 1921UANSF8052, 2034UANSF8052, 2250UANSF8961, 2501UANSF3772	UAA	221,109
47.RD	Phoenix Union High School District, Contract # 31100	ASA	211
47.RD	Pima Community College, Contract # SUB011	UAA	74,012
47.RD	Portland State University, Contract # EIA-0085952	ASA	108,886
47.RD	Raytheon Company, Contract # RM8651101	UAA	154,596
47.RD	Rutgers, The State University of New Jersey, Contract # 1494	UAA	745,781
47.RD	Soundprint Media Center, Inc., Contract # ESI012572	UAA	9,809
47.RD	Southern California Earthquake Center, Contract # 083260	ASA	8,342
47.RD	Stanford University, Contract # PR0833	UAA	322,796
47.RD	SUNY—Stony Brook, Contract # EAR-0135554	ASA	141,005
47.RD	Texas A&M Research Foundation, Contract # OCE-9320477	ASA	22,646
47.RD	Vassar College, Contract # BCS-0218609	NAA	45,975
47.RD	University of Arizona, Contract #s DMI-0122227, EAR9876800, EEC9523338, EEC9528813, EEC9815075	ASA	253,616
47.RD	University of California Los Angeles, Contract # DMS-0107428	ASA	4,335
47.RD	University of Chicago, Contract #s 16176, 25340	UAA	15,751
47.RD	University of Delaware, Contract # OCE-0083837	ASA	3,262
47.RD	University of Florida, Contract #s SC00011513, UF99094	UAA	278,356
47.RD	University of Georgia, Contract # RD3730206236467	UAA	59,505
47.RD	University of Illinois, Contract #s 02348C2, 02348C1	UAA	367,631
47.RD	University of Illinois-Champaign/Urbana, Contract # ACI9619019	ASA	9,688
47.RD	University of Maryland, Contract # DEB-0302010	ASA	30,329
47.RD	University of Maryland, Contract # Z367401	UAA	4,098
47.RD	University of Massachusetts, Contract # 01SO4822726	UAA	602
47.RD	University of Michigan, Contract # DMR 024382	ASA	7,970
47.RD	University of Michigan, Contract # F007531	UAA	1,145
47.RD	University of Minnesota, Contract # ECS0099880	ASA	12,248
47.RD	University of Minnesota, Contract # V8616015101	UAA	27,300
47.RD	University of Missouri, Contract #s DBI997580BUAC532798, CG0017241	UAA	364,648
47.RD	University of Missouri-Columbia, Contract # CMS0115828	ASA	64,587
47.RD	University of Nebraska, Contract # 2512150001003	UAA	65,762
47.RD	University of Nevada, Contract # 02449A0	UAA	17,935
47.RD	University of New Mexico, Contract #s DBI-0225665, DUE0101311	ASA	1,711
47.RD	University of Oregon, Contract # 204991A	UAA	27,006
47.RD	University of Tennessee, Contract # OR87800111	ASA	67,132
47.RD	University of Vermont, Contract # EF51	UAA	10,799
47.RD	University of Washington, Contract # LTR 07/01/02	ASA	16,494
47.RD	University of Washington, Contract # 594594	UAA	981,839

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
47.RD	Virginia Polytechnic Institute, Contract # ECS-0224701	ASA	6,614
47.RD	University of Arizona, Contract # Y542104	NAA	58,742
47.RD	Wayne State University, Contract # ANI0086020	ASA	147,920
47.RD	Wayne State University, Contract # WSU01160	UAA	67,097
47.RD	Woods Hole Oceanographic Institute, Contract # OCE9973212	ASA	906
	Subtotal National Science Foundation		67,693,155
<b><u>Smithsonian Institution</u></b>			
60.RD	Smithsonian Astrophysical Observatory	UAA	830,236
60.RD	Smithsonian Institution	UAA	182,514
	Subtotal Smithsonian Institution		1,012,750
<b><u>Department of Veterans Affairs</u></b>			
64.RD	Department of Veterans Affairs	UAA	350,297
	Subtotal Department of Veterans Affairs		350,297
<b><u>Environmental Protection Agency</u></b>			
66.RD	Environmental Protection Agency	ASA	738,429
66.RD	Environmental Protection Agency	NAA	135,556
66.RD	Environmental Protection Agency	UAA	1,003,263
66.RD	American Bird Conservancy, Contract # CK5053	UAA	25,514
66.RD	Arizona Department of Environmental Quality, Contract # EV020149	UAA	14,227
66.RD	Arizona State University, Contract # 99115SG	UAA	323,494
66.RD	City of Tucson, Contract #s 08758, 034701	UAA	7,937
66.RD	Clarkson University, Contract # R827354-01	ASA	33,553
66.RD	Colorado River Indian Tribes (CRIT)	ASA	2,078
66.RD	Kaibab Paiute Tribe	NAA	18,226
66.RD	Kaibab Band of Paiute Indians, Contract # 26607110	UAA	3,784
66.RD	Metropolitan Water District of Southern California, Contract # 44194	UAA	87,283
66.RD	Naco Fire District, Contract # BP989066-01-0	ASA	72,921
66.RD	New Mexico State University, Contract #s X-82899901, X-82971001	ASA	447,198
66.RD	San Diego State University, Contract # 52037AP27217802211-001	ASA	38
66.RD	CASE Western Reserve University, Contract # GL97590101	NAA	9,016
66.RD	University of Houston, Contract # R030220	ASA	16,094
66.RD	University of Rochester, Contract # R827354010	ASA	36,328
66.RD	University of Texas—El Paso, Contract # X82737001-2	ASA	26,654
66.RD	University of Wisconsin, Contract # L158255	UAA	37,331
66.RD	Water Environment Research Foundation, Contract # 02PUM1	ASA	3,365
	Subtotal Environmental Protection Agency		3,042,289
<b><u>Nuclear Regulatory Commission</u></b>			
77.RD	Nuclear Regulatory Commission	UAA	71,182
	Subtotal Nuclear Regulatory Commission		71,182
<b><u>Department of Energy</u></b>			
81.RD	Department of Energy	ASA	1,695,892
81.RD	Department of Energy	NAA	674,405
81.RD	Department of Energy	UAA	3,583,601
81.RD	Alfred P. Sloan Foundation, Contract # 200171CMB	UAA	51,401
81.RD	Argonne National Laboratory, Contract # W31109ENG38	ASA	161,806
81.RD	Arizona Board of Regents, Contract # 99200202	ASA	20,841
81.RD	Battelle Memorial Institute, Pacific Northwest National Laboratory, Contract # DE-AC06-76RL01830	ASA	31,609
81.RD	Battelle Pacific Northwest Laboratories, Contract #s 7099, 401592A3E, 325651AN4411671AB3, 325651AN44131333502	UAA	314,011
81.RD	Bechtel Corporation, Contract #s MC00000062RN00002, 00000062R00003, 00000062R00004	UAA	92,911
81.RD	BP Exploration, Inc., Contract # 4748	UAA	372,394
81.RD	Brookhaven National Laboratory, Contract # 4054	UAA	481,523
81.RD	EWA Government Systems, Inc., Contract # EWAGSI03SC0007	UAA	23,253
81.RD	Fermi National Accelerator Laboratory, Contract #s 502383, 513061, 521692, 546152, 550814	UAA	33,417
81.RD	Georgia Institute of Technology, Contract # G35A01G1	UAA	29,553
81.RD	Clark Atlantic University, Contract # OSP-90-10-668-013	NAA	89,998
81.RD	Lawrence Berkeley Laboratory, Contract # 6496612	UAA	28,119
81.RD	Lawrence Berkeley National Laboratory, Contract # DE-AC03-76SF00098	ASA	3,641
81.RD	Lawrence Livermore Laboratory, Contract #s B506252, B513153, B511331, B513226, B527959, B529385	UAA	227,559
81.RD	Lawrence Livermore National Laboratory, Contract #s W-7405-ENG-48, 98218C	ASA	49,936
81.RD	Los Alamos National Laboratory, Contract # W-7405-ENG-36	ASA	285,784
81.RD	Los Alamos National Laboratory, Contract #s 07574001992G, 47128001022Z, 67198001033C	UAA	68,420
81.RD	Massachusetts Institute of Technology, Contract # DEFC0294ER40818	ASA	2,239
81.RD	National Institute for Global Environmental Change, Contract # DE-FC03-90ER61010	ASA	85,070
81.RD	National Renewable Energy Laboratory, Contract #s DEAC3698GO10337, DEAC3699GO10337	ASA	295,633
81.RD	National Renewable Energy Laboratory, Contract # ACQ13061901	UAA	39,360
81.RD	Sandia National Lab, Contract #s 29921, 1098196, 122248	NAA	19,903
81.RD	Oak Ridge National Laboratory, Contract # DE-AC05-00OR22725	ASA	17,472
81.RD	University of Utah, Contract # 98-E-18	NAA	669
81.RD	Pennsylvania State University, Contract # 2185UAD0E5012	UAA	106,355

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
81.RD	Praxair, Incorporated, Contract # DEFT2600NT40756	UAA	9,951
81.RD	Purdue University, Contract # 54100721	UAA	29,096
81.RD	Rutgers, The State University of New Jersey, Contract # R945117	UAA	2,240
81.RD	Sandia National Laboratories, Contract #s 17441-001, 30944-002, A0349-005, DEAC3698GO10337, 49285, DEAC0494AL85000, 17155	ASA	95,592
81.RD	Sandia National Laboratories, Contract #s 4293, 82003, 165983, A033413664, A033421294, A033427240, A033427258, A033429209, A033429652, A033430141, A033430965, A033481700, A0334154902, A0334165541, A033480962	UAA	895,596
81.RD	Thomas Jefferson National Accelerator Facility, Contract # DEAC0584ER40150	ASA	7,346
81.RD	Harvard University, Contract # 633254-192523	NAA	59,096
81.RD	University of Chicago, Contract #s 15285B341495, B523820	UAA	43,769
81.RD	University of Nevada, Contract #s NV1404900, UNR0224	UAA	160,226
81.RD	University of Texas, Contract # 00012052	UAA	82,595
81.RD	University of Texas—El Paso, Contract #s DEFC0401AL67097, DEFC0401AL67097-001	ASA	122,203
81.RD	University of Wisconsin, Contract # A019493	UAA	59,734
81.RD	U.S. Automotive Materials Partnership, Contract # DEFC0595OR22363	ASA	27,128
	Subtotal Department of Energy		10,481,347
<b>Federal Emergency Management Agency</b>			
83.RD	STAR Tangle, LLC, Contract # EMW-2001-CO-0344	NAA	75,252
	Subtotal Federal Emergency Management Agency		75,252
<b>Department of Education</b>			
84.RD	Department of Education	ASA	1,328,577
84.RD	Department of Education	NAA	687,567
84.RD	Department of Education	UAA	1,530,319
84.RD	Arizona Board of Regents, Contract #s LTR 02/04/02, 99200102, LTR 12/19/01	ASA	118,351
84.RD	Arizona Department of Education, Contract # 0332ED	ASA	78,305
84.RD	Arizona Department of Education, Contract #s 0218ED, 0211ED, 0223ED	UAA	127,091
84.RD	City of Phoenix—Parks Recreation & Library Department, Contract # H128J010006	ASA	2,295
84.RD	Embry Riddle Aeronautical University, Contract # P116D990161	ASA	19,704
84.RD	Arizona State University, Contract # 02-081	NAA	12,189
84.RD	Arizona Department of Education, Contract #s 03-06-ED, 03-07-ED	NAA	122,627
84.RD	Thomas Jefferson University, Contract # H327X010003	ASA	235,602
84.RD	University of Arizona, Contract #s V900808, V970868	NAA	9,556
84.RD	Tucson Unified School District, Contract #s TUSD10000068356, TUSD10000066116, TUSD10000066115	UAA	43,094
84.RD	University of Maryland, Contract # H324J990003	ASA	106,892
84.RD	University of Virginia, Contract # P342A990068	ASA	10,433
84.RD	University of Wisconsin, Contract # 255G780	UAA	18,115
	Subtotal Department of Education		4,450,717
<b>National Archives and Records Administration</b>			
89.RD	National Archives and Records Administration	UAA	46,686
	Subtotal National Archives and Records Administration		46,686
<b>Department of Health and Human Services</b>			
93.RD	Administration for Children and Families	ASA	79,059
93.RD	Administration for Children and Families	UAA	86,342
93.RD	Agency for Health Care Policy and Research	ASA	30,475
93.RD	Agency for Healthcare Research and Quality	UAA	4,012,182
93.RD	Centers for Disease Control and Prevention	UAA	1,995,292
93.RD	Centers for Medicare and Medicaid Services	UAA	73,625
93.RD	Fogarty International Center	UAA	972,015
93.RD	Food and Drug Administration	UAA	215,432
93.RD	Health Care Financing Administration	UAA	33,394
93.RD	Health Resources and Services Administration	UAA	1,682,497
93.RD	Indian Health Service	UAA	79,615
93.RD	National Cancer Institute	UAA	34,156,565
93.RD	National Center for Complementary and Alternative Medicine	UAA	3,165,367
93.RD	National Center for Research Resources	UAA	2,264,506
93.RD	National Center on Minority Health and Health Disparities	UAA	147,435
93.RD	National Eye Institute	UAA	1,238,077
93.RD	National Heart, Lung, and Blood Institute	UAA	16,967,987
93.RD	National Human Genome Research Institute	UAA	77,961
93.RD	National Institute on Aging	UAA	3,208,394
93.RD	National Institute on Alcohol Abuse and Alcoholism	UAA	732,006
93.RD	National Institute of Allergy and Infectious Diseases	UAA	2,853,091
93.RD	National Institute of Arthritis and Musculoskeletal and Skin Diseases	UAA	224,656
93.RD	National Institute of Biomedical Imaging and Bioengineering	UAA	1,309,614
93.RD	National Institute of Child Health and Human Development	UAA	1,274,929
93.RD	National Institute on Deafness and Other Communication	UAA	1,946,703
93.RD	National Institute of Diabetes and Digestive and Kidney Diseases	UAA	3,469,736
93.RD	National Institute on Drug Abuse	UAA	3,238,349
93.RD	National Institute of Environmental Health Sciences	UAA	5,420,705
93.RD	National Institute of General Medical Services	UAA	8,333,137
93.RD	National Institute of Mental Health	UAA	2,079,445

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93.RD	National Institute of Neurological Disorders and Stroke	UAA	3,819,907
93.RD	National Institute of Nursing Research	UAA	1,473,558
93.RD	National Institute of Occupational Safety and Health	UAA	33,726
93.RD	National Institutes of Health	ASA	14,262,752
93.RD	National Institutes of Health	NAA	2,077,694
93.RD	National Library of Medicine	UAA	215,238
93.RD	Substance Abuse and Mental Health Administration	UAA	852,834
93.RD	American College of Gastroenterology , Contract # CK089765	UAA	11,000
93.RD	American College of Obstetricians , Contract # GOG167	UAA	92,092
93.RD	American Health Foundation , Contract # R01CA45504	UAA	85,035
93.RD	American Psychiatric Association , Contract # CK001482	UAA	35,196
93.RD	Aquila Technologies , Contract #s 020606ARZ01, 021205ARZ01	UAA	39,773
93.RD	Arizona Children's Association , Contract #s CK109698, CK11003, 90CO0916	UAA	91,173
93.RD	Arizona Department of Health Services , Contract #s 152055, 832005, 932012, HS361298	UAA	100,388
93.RD	Arizona State University , Contract #s 99145, 98246SG	UAA	107,111
93.RD	Arkansas Children's Hospital Research Institute, Inc. , Contract # 034225	UAA	85,237
93.RD	Association of American Medical Colleges , Contract #s MM05790303	UAA	64,500
93.RD	Association of Teachers of Preventive Medicine , Contract #s TS2921414, TS3521515	UAA	44,490
93.RD	AZ Erx LLC , Contract # 020459	ASA	31,952
93.RD	Baylor University , Contract # U19CA86809	UAA	8,687
93.RD	Beckman Research Institute of the City of Hope , Contract # 306690912478	UAA	4,208
93.RD	Bernard Technologies, Inc. , Contract # 8266584	UAA	43,454
93.RD	Biopsy Sciences , Contract # B01000177	UAA	5,984
93.RD	Carnegie Mellon University , Contract # 1090028	UAA	60,085
93.RD	Clemson University , Contract # 638755820620003097	UAA	336,146
93.RD	Codac Behavioral Health Services of Pima , Contract #s H79TI12145, KD1TI11422, 325	UAA	211,730
93.RD	Collagen Matrix, Incorporated , Contract # R44DE14664	UAA	189,793
93.RD	Colorado School of Mines , Contract # P031926	UAA	891
93.RD	Colorado State University , Contract #s G45801, G77631	UAA	47,507
93.RD	Community Partnership of Southern Arizona , Contract # L0016/L0116	UAA	33,802
93.RD	Creare, Incorporated , Contract # 29586	UAA	22,667
93.RD	Donor Network of Arizona , Contract # CK38627	UAA	51,116
93.RD	Duke University , Contract #s DS698	UAA	47,363
93.RD	Emory University School of Medicine , Contract # 7R01HD4297202	ASA	21,793
93.RD	Empact-SPC , Contract # KD1TI1892	UAA	177,020
93.RD	Family Health International , Contract # N01HD732715009	UAA	24,004
93.RD	Federation of American Societies for Experimental Biology , Contract # DTD 06012002	UAA	2,483
93.RD	Fordham University , Contract # R01AG18436	UAA	48,835
93.RD	Gaia Herbs, Inc. , Contract # 4-R44-AT000771-02	ASA	21,088
93.RD	George Washington University , Contract # 678	UAA	264
93.RD	Georgetown University , Contract # RX4265032UAZ	UAA	227
93.RD	Gila River Indians , Contract # HL50905	UAA	24,665
93.RD	Good Samaritan Regional Medical Center , Contract # MH57899-05	ASA	29,403
93.RD	Griffin Health Service Corporation , Contract # U48CCU115802	UAA	5,831
93.RD	Health Research, Inc. , Contract # A1042836-04	ASA	7,008
93.RD	Health Research Inc. , Contract # CK0127713	UAA	155,610
93.RD	Indiana University , Contract # R01DA13555-20	ASA	68,189
93.RD	Indiana University , Contract #s R01AR43348, R01NS42078, U01GM61373	UAA	97,912
93.RD	Institute for Research on Poverty , Contract # 96ASPE285A	ASA	17,478
93.RD	Jewish Family and Children's Service , Contract # SM02009	UAA	930
93.RD	University of Nebraska-Lincoln , Contract # 24-0506-0008-002	NAA	86,191
93.RD	Lynntech, Incorporated , Contract # R44ES08861	UAA	46,995
93.RD	Marine Biological Laboratory , Contract # 0009564011	UAA	40,379
93.RD	Massachusetts Institute of Technology , Contract # 5-R37-CA04186-43	ASA	3,810
93.RD	Mayo Clinic , Contract # U01CA893893	UAA	172,795
93.RD	McMaster University , Contract # R01 HD37448-01	ASA	426
93.RD	Medical Directions, Incorporated , Contract # R41DK62569	UAA	27,320
93.RD	Medical Research Council—University of Dundee , Contract # AGMT DTD 082602	UAA	4,484
93.RD	MedStar Research Institute , Contract # 1R01HL6703101A1	UAA	24,347
93.RD	Mount Sinai Medical Center , Contract # 1 R01 DA 11116	ASA	41,065
93.RD	National Childhood Cancer Foundation , Contract # 3064	UAA	24,955
93.RD	National Opinion Research Center , Contract # NORC5579UAZ01	UAA	15,343
93.RD	Native American Botanics Corporation , Contract # CK2072	UAA	45,154
93.RD	Navajo Nation—Division of Social Services , Contract # C22251-001	ASA	50,803
93.RD	Niadyne, Incorporated , Contract # CK1017	UAA	8,332
93.RD	Northern Arizona University , Contract # BIO31Q1R21AG18701	UAA	23,255
93.RD	NSABP Foundation, Incorporated , Contract #s MIN18ARZ, MIN17ARZ02, ARZ01P1	UAA	110,385
93.RD	Ohio State University , Contract #s 740196, 742116	UAA	113,926
93.RD	Ohio State University Research Foundation , Contract # 741173RF00906518	UAA	356,386
93.RD	Oregon Health Sciences University , Contract # 1R01DA1201801A1	ASA	114,158
93.RD	Oregon Health Sciences University , Contract # 8401236A	UAA	8,704
93.RD	Pennsylvania State University , Contract # 2R01DA05629	ASA	11,492
93.RD	Phoenix Biotech Inc. , Contract # 1 R41 NS42978-01	ASA	50,734
93.RD	Pima County Juvenile Courts , Contract #s H79TI12449, 0718A1318841102	UAA	95,197

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CFDA/Identifying Number	Federal Grantor/Program Title/Pass-Through Grantor	Grantee (Appendix)	Expenditures
93.RD	Public Health Institute, Contract # 1003089	ASA	22,094
93.RD	Rand Corporation, Contract # 4042	UAA	16,588
93.RD	Research Technologies, Inc., Contract # 657U7658	UAA	15,077
93.RD	Salt River Pima-Maricopa Indian Community, Contract # C171006 301B	ASA	98,260
93.RD	Southwest Oncology Group, Contract #s CCOP01005, CCOP02001, CCOP02005, CCOP01009, SELENIUM01000, SELENIUM02001, SWOG02000, SWOG02001, SWOG02044, SWOG02045, SWOG02056, SWOG03016, SWOG03047, 5U10CA3210219	UAA	429,548
93.RD	Stanford University, Contract # PY2268	UAA	89,754
93.RD	STS Biopolymers/MC Tec., Contract # 2R44HL63534	UAA	23,005
93.RD	Sun Health Research Institute, Contract #s 5 U01 AG16976-04, 1 P30 AG19610-01	ASA	38,457
93.RD	Sun Health Research Institute, Contract # 194875P30AG19610	UAA	157,202
93.RD	Superior Court of Maricopa County, Contract # 5UD8T11210	ASA	37
93.RD	The Sharing Network, Contract # 1H39OT0012001UAZ	UAA	20,730
93.RD	The Wheel Council, Incorporated, Contract # 1SP09865	UAA	33,578
93.RD	Texas A&M University, Contact # HD39367	ASA	11,123
93.RD	Three Rivers Holding, LLC, Contract # R43-HD43512-01	ASA	18,012
93.RD	Yale University	NAA	446
93.RD	University of Southern Maine, Contract # 6-5-32707	NAA	8,297
93.RD	University of Arizona, Contract #s 1G92OA00059, 5UDI12640, 1 R01 CA90265-01A1	ASA	99,817
93.RD	University of California Irvine, Contract #s D98NS26862, B97N01CN750191, F99CA81886UA	UAA	231,225
93.RD	University of California Los Angeles, Contract #s 1930GBD627, 1564GCB973, 1564GAB788, 2000GCW044	UAA	224,490
93.RD	University of California San Diego, Contract # 1 R01 HD043221-01	ASA	4,819
93.RD	University of California, San Diego, Contract #s 10154581, 10200872	UAA	185,049
93.RD	University of California San Francisco, Contract # 3100SC	UAA	49,013
93.RD	University of California Santa Barbara, Contract # KK2122	UAA	28,161
93.RD	University of Cincinnati, Contract # R01HL66044	UAA	100,430
93.RD	University of Colorado, Contract #s 2573323FY03001008, 2573349FY03001014, 2573219, U01CA74799	UAA	135,576
93.RD	University of Denver, Contract # 119110	UAA	10,244
93.RD	University of Florida, Contract # R01 NS39687	ASA	143,732
93.RD	University of Florida, Contract # UF02041	UAA	53,612
93.RD	University of Iowa, Contract # 4000082138	UAA	24,745
93.RD	University of Maryland, Contract #s S01079, S01370	UAA	61,636
93.RD	University of Michigan, Contract # F007271	UAA	120,053
93.RD	University of Minnesota, Contract # H6636419101	UAA	46,590
93.RD	University of Missouri-Columbia, Contract # 1R01NS41584-03	ASA	32,547
93.RD	University of North Carolina, Contract # 551755P01HD31921	UAA	625
93.RD	University of Pennsylvania, Contract # 538107	UAA	31,255
93.RD	University of Pittsburgh, Contract # N01-NS-2-2346	ASA	10,666
93.RD	University of South Florida, Contract # 6302087LOA	UAA	90,128
93.RD	University of Southern California, Contract # H25987	UAA	158,020
93.RD	University of Texas, Contract #s U10EY12471, UTA00031, P01AI46029	UAA	431,347
93.RD	University of Texas Health Sciences Center at Houston, Contract # K178115AZ	UAA	2,926
93.RD	University of Texas MD Anderson Center, Contract #s N01CN95040, R01CA089608	UAA	26,601
93.RD	University of Toronto, Contract # 450489	UAA	94,583
93.RD	University of Utah, Contract #s 9903062, 9903093, 98090320, 2004011	UAA	58,169
93.RD	University of Washington, Contract #s 211613, 460411	UAA	53,983
93.RD	University of Wisconsin, Contract #s J033375, 144LD45, 831F681	UAA	112,568
93.RD	Veterans Medical Research Foundation, Contract #s 217975S6B2640503157, P01AI37232	UAA	91,640
93.RD	Virginia Polytechnic Institute, Contract # 1 R21 AI50663-01A1	ASA	46,211
93.RD	Virginia Polytechnic Institute, Contract # CR19101431328	UAA	30,000
93.RD	Viron, Incorporated, Contract # CK1009	UAA	35,522
93.RD	Wake Forest University, Contract # NS34447	UAA	53,977
93.RD	Westat, Inc., Contract # 270977011	UAA	270,000
93.RD	Yeshiva University, Contract # 95263878	UAA	9,001
	Subtotal Department of Health and Human Services		<u>132,276,225</u>
<b>Miscellaneous Federal Agencies</b>			
99.RD	National Reconnaissance Office	UAA	213,678
99.RD	U.S. Government	UAA	450,581
	Subtotal Miscellaneous Federal Agencies		<u>664,259</u>
	Total Research and Development Cluster		<u>\$ 341,766,107</u>
	Total Expenditures of Federal Awards		<u>\$ 6,988,888,951</u>

State of Arizona  
Notes to Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

Note 1 - Significant Accounting Policies

Basis of Presentation—The information in the schedule is presented in accordance with the requirements of OMB Circular A-133.

Reporting Entity—The schedule includes all federal awards activity administered by the State of Arizona and its component units.

Basis of Accounting—The federal awards reported in the schedule were presented in the State's governmental and proprietary funds and discretely presented component units on the basic financial statements of the State of Arizona for the year ended June 30, 2003; and were accounted for using the modified accrual and full accrual basis of accounting, as applicable, in conformity with generally accepted accounting principles.

Expenditures—Certain transactions relating to expenditures of federal awards may appear in the records of more than one state grantee agency. To avoid duplication and the overstatement of the aggregate level of federal awards expended by the State of Arizona, the following policies have been adopted:

1. When monies are received by one state grantee agency and distributed to another state grantee agency, the federal monies are reported in the accounts of the state grantee agency that expends the monies.
2. Purchases of services between state grantee agencies using federal monies are recorded as expenditures on the purchasing agency's records and as revenues for services rendered on the providing agency's records. Therefore, the receipt of federal awards is attributed to the purchasing agency, which is the primary receiving/expending state grantee agency.

Note 2 - Catalog of Federal Domestic Assistance (CFDA)/Identifying Number

The program titles and CFDA numbers were obtained from the federal or pass-through grantor or the *2003 Catalog of Federal Domestic Assistance*. When a CFDA number was nonexistent or unknown, the first two digits applicable to the federal agency, if known, were used; followed by the federal contract number to identify the program. If the federal contract number was also unknown, the identifying number was composed of the first two digits applicable to the federal agency followed by the word "Unknown."

Note 3 - Research and Development Cluster

As provided by OMB Circular A-133, the research and development cluster of programs is summarized by federal agency subdivision or pass-through entity.

State of Arizona  
Notes to Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

Note 4 - Pass-through Grantor Contract Numbers

For federal awards received by the State from a pass-through grantor, the pass-through grantor contract number is included, if applicable, immediately following the pass-through grantor's name. Pass-through grantors are italicized.

Note 5 - Loan and Loan Guarantee Programs

Only administrative costs incurred for loan and loan guarantee programs are included in the schedule. However, OMB Circular A-133, Subpart B, §205(b) requires that the current-year administrative costs and loan distributions, and the balances of the previous year's outstanding student loans and loan guarantees, also be included for these programs when determining federal awards expended for single audit purposes. Further, Subpart B, §310(b)(6) requires that loans or loan guarantees outstanding at year-end be included in either the schedule or a note to the schedule. Loans and loan guarantees outstanding at June 30, 2003, are described below:

Student Loan Programs

The Universities administer the following three federal student loan programs. The current-year loan distributions and the balances of loans outstanding at year-end are shown below:

Loan Program	CFDA Number	Loans Distributed During the Year	Loan Balances Outstanding at June 30, 2003
Federal Perkins Loan Program—Federal Capital Contributions	84.038	\$6,639,123	\$29,989,008
Health Professions Student Loans, including Primary Care Loans/Loans for Disadvantaged Students	93.342	264,785	1,793,862
Nursing Student Loans	93.364	301,149	1,067,171

In addition, the Universities distribute loans from two federal loan programs that are administered by independent third parties. For the year ended June 30, 2003, the Universities distributed \$117,676,725 of Federal Family Education Loans (CFDA number 84.032) and \$193,160,277 of Federal Direct Student Loans (CFDA number 84.268).



State of Arizona  
Notes to Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

Loan Guarantee Programs

The Water Infrastructure Finance Authority (WIFA), a discretely presented component unit on the State's fiscal year 2003 basic financial statements, has entered into capitalization grant agreements with the U.S. Environmental Protection Agency. During fiscal year 2003, the WIFA received capitalization grant monies totaling \$1,015,388 from the Capitalization Grants for Clean Water State Revolving Funds (CFDA number 66.458) program and \$2,267,881 from the Capitalization Grants for Drinking Water State Revolving Funds (CFDA number 66.468) program for deposit into their revolving loan guarantee funds. The balances of the federal guarantee portion of the loans outstanding for these capitalization grants were \$134,424,307 and \$44,999,372, respectively, at June 30, 2003.

Housing Program

The Department of Housing administers the HOME Investment Partnerships Program (CFDA number 14.239), which provides loans to municipalities, nonprofit organizations, and individuals to purchase homes and rental properties. The Department distributed \$888,193 in loans during fiscal year 2003 and had \$12,786,473 in loan balances outstanding at June 30, 2003.

Note 6 - Food Commodities

Food commodities received by the State are disbursed through distributing agencies. Such commodities are included in the definition of federal awards when distributed and, accordingly, are included in the amounts presented on the schedule. The State's food commodity distributions totaled \$26,113,558, and the amounts, by CFDA number, are presented as follows:

<u>CFDA No.</u>	<u>Program</u>	<u>Amount</u>
10.553	School Breakfast Program	\$ 497,143
10.555	National School Lunch Program	13,571,610
10.565	Commodity Supplemental Food Program	4,012,578
10.569	Emergency Food Assistance Program	8,031,227
	(Food Commodities)	

Note 7 - Donation of Federal Surplus Personal Property

The value of the Donation of Federal Surplus Personal Property (CFDA number 39.003) program reported on the schedule is based on a "market basket formula" developed by the U.S. General Services Administration. This market basket formula represents 23.3 percent of the original federal acquisition cost, totaling \$41,496 for the year ended June 30, 2003.

State of Arizona  
Notes to Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

Note 8 - Immunization and HIV Grants

The Immunization Grants (CFDA number 93.268) expenditures of \$29,474,629 include \$24,166,564 worth of vaccines. The HIV Care Formula Grants (CFDA number 93.917) expenditures of \$8,976,466 include \$5,486,359 worth of vaccines. Vaccines administered by the Department of Health Services are included in the definition of federal awards and, accordingly, are included in the amount presented on the schedule.

Note 9 - Unemployment Insurance (CFDA No. 17.225)

The unemployment compensation system is a unique federal-state partnership, founded upon federal law, but implemented through state law. As prescribed by the U.S. Department of Labor in consultation with the Office of Management and Budget, certain state monies, in addition to federal monies, were considered federal awards for determining Type A programs, and were included in the Schedule of Expenditures of Federal Awards.

The amount presented on the schedule consists of the following:

Regular unemployment compensation benefits	\$449,451,454
Unemployment compensation for federal employees	4,218,483
Unemployment compensation for ex-service members	2,015,131
Administrative costs	<u>32,116,580</u>
Total expenditures	<u>\$487,801,648</u>

State of Arizona  
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 Year Ended June 30, 2003

**Summary of Auditors' Results**

***Financial Statements***

Type of auditors' report issued: Unqualified opinion for each financial statement opinion unit except the aggregate discretely presented component units, for which an adverse opinion was expressed.

	<b>Yes</b>	<b>No</b>
Material weakness identified in internal control over financial reporting?	___	<u>X</u>
Reportable condition identified not considered to be a material weakness?	None reported	
Noncompliance material to the financial statements noted?	___	<u>X</u>

***Federal Awards***

Material weaknesses identified in internal control over major programs?	<u>X</u>	___
Reportable conditions identified not considered to be material weaknesses?	<u>X</u>	___
Type of auditors' report issued on compliance for major programs:	Qualified	
Any audit findings disclosed that are required to be reported in accordance with Circular A-133 (section .510[a])?	<u>X</u>	___

Identification of major programs:

<u>CFDA Numbers</u>	<u>Name of Federal Program or Cluster</u>
10.551/10.561	Food Stamp Cluster
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children
12.401	National Guard Military Operations and Maintenance (O&M) Projects
14.195	Section 8 Housing Assistance Payments Program—Special Allocations
17.245	Trade Adjustment Assistance—Workers
17.258/17.259/17.260	WIA Cluster
20.205	Highway Planning and Construction
21.Unknown	Jobs and Growth Tax Relief Reconciliation Act of 2003, P.L. 108-27— Temporary State Fiscal Relief
84.007/84.032/84.033/ 84.038/84.063/84.268/ 93.342/93.364/93.925	Student Financial Aid Cluster
84.010	Title I Grants to Local Educational Agencies

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84.027/84.173	Special Education Cluster
84.048	Vocational Education—Basic Grants to States
84.367	Improving Teacher Quality State Grants
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance
93.558	Temporary Assistance for Needy Families
93.767	State Children’s Insurance Program
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations
93.959	Block Grants for Prevention and Treatment of Substance Abuse
96.001	Social Security—Disability Insurance
RD	Research and Development Cluster

Dollar threshold used to distinguish between Type A and Type B programs: \$22,574,358

**Yes      No**

Auditee qualified as low-risk auditee? \_\_\_\_\_   X  

***Other Matters***

Auditee’s Summary Schedule of Prior Audit Findings required to be reported in accordance with Circular A-133 (section .315[b])?   X   \_\_\_\_\_

State of Arizona  
Schedule of Findings and Questioned Costs  
Year Ended June 30, 2003

**Federal Award Findings and Questioned Costs**

03-101

CFDA No.: 10.551 **Food Stamps**

CFDA No.: 93.558 **Temporary Assistance for Needy Families, #s G0201AZTANF, G0201AZTANG, GO301AZTANF, and G030AZTANG**

**U.S. Department of Agriculture**

Award Period: July 1, 2002 through June 30, 2003

**U.S. Department of Health and Human Services**

Award Period: October 1, 2001 through September 30, 2002 and  
October 1, 2002 through September 30, 2003

Questioned Cost: \$98,696

The Department of Economic Security, Division of Benefits and Medical Eligibility did not enforce its internal control policies and procedures for activating and issuing Electronic Benefits Transfer (EBT) cards for food stamps and cash assistance benefits at its local Family Assistance Administrative (FAA) Offices to ensure food stamps and cash assistance benefits were issued to eligible recipients. We tested 10 of the 94 FAA Offices and noted that these Offices did not always follow their Division's established internal control policies and procedures. Specifically, the following internal control weaknesses were noted at the selected local FAA Offices: allowing the same employee to activate and issue EBT cards; not always requesting identification or documenting identities of recipients; not reconciling EBT cards issued to inventory records and destroying damaged EBT cards, and inadequately safeguarding the pin selection terminals. In addition, several employees manipulated the eligibility computer system and alleged fraud was committed in the amount of \$98,696. These employees authorized both food stamps and cash assistance benefits to eligible recipients and used the benefits issued on the EBT cards for themselves. The cases were forwarded to the Arizona Attorney General's Office.

It was not practical to extend our auditing procedures sufficiently to determine additional questioned costs, if any, that may have resulted from this finding. This finding is a material internal control weakness and material noncompliance with the Food Stamps program's special tests and provisions requirements. It is also a material internal control weakness and material noncompliance for the Temporary Assistance for Needy Families (TANF) program's activities allowed or unallowed, allowable costs and cost principles, and eligibility requirements.

To comply with the Food Stamps program's special tests and provisions requirements stipulated in 7 Code of Federal Regulations (CFR) §§274.11(c), 274.12(i)(3), and the TANF program's activities allowed or unallowed, allowable costs and cost principles, and eligibility requirements specified in 45 CFR §206.10, the Division of Benefits and Medical Eligibility should enforce its internal control policies and procedures over the process of authorizing and issuing EBT cards at the FAA Offices and monitor these Offices regularly to ensure that internal controls are in place to safeguard against unauthorized transactions.

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03-102

CFDA No.: 93.558 **Temporary Assistance for Needy Families, #s G0201AZTANF, G0201AZTANG, G0301AZTANF, and G030AZTANG**

**U.S. Department of Health and Human Services**

Award Period: October 1, 2001 through September 30, 2002 and  
October 1, 2002 through September 30, 2003

Questioned Cost: \$13,753

The Department of Economic Security, Division of Benefits and Medical Eligibility failed to maintain Temporary Assistance for Needy Families (TANF) recipients' case files that contained all required data to ensure compliance with activities allowed or unallowed, allowable costs and cost principals, and eligibility requirements. As a result, the Department could not locate 7 of the 40 cash assistance recipients' case files requested. We were unable to perform additional audit procedures to validate the legitimacy of the recipients. For the audit period July 1, 2002 through June 30, 2003, these clients were awarded \$13,753 in cash assistance.

It was not practical to extend our auditing procedures sufficiently to determine additional questioned costs, if any, that may have resulted from this finding. This finding is a material internal control weakness and material noncompliance with the TANF program's activities allowed or unallowed, allowable costs and cost principals, and eligibility requirements.

To comply with 45 CFR §206.10, the Department should properly maintain and retain its recipients' case files to support program expenditures. Each case file must contain a written application signed by the recipient requesting cash assistance and documentation supporting each decision regarding eligibility.

03-103

CFDA No.: 10.551 **Food Stamps**

**U.S. Department of Agriculture**

Award Period: July 1, 2002 through June 30, 2003

Questioned Cost: Unknown

The Department of Economic Security, Division of Benefits and Medical Eligibility, FAA Offices issued EBT cards using its eligibility computer system as well as issuing EBT cards on an emergency basis. However, when reconciling authorizations, the Division only reconciled the automated EBT issuances and excluded the emergency EBT issuances and cancellations. In addition, the Division relied on the contracted company's EBT reports to prepare its issuance data on the FNS-46 Issuance Reconciliation Report that might contain unresolved reconciling differences from the Division's eligibility computer system. Further, the Department did not report instances of alleged fraud that occurred during the award period on the FNS-46 Report. See finding number 03-101.

This finding is a reportable condition and immaterial noncompliance with the Food Stamps program's reporting and special tests and provisions requirements. It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding.

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To comply with 7 CFR §274.4, the Department should reconcile all authorized issuances generated from its eligibility computer system to the confirmed amounts received from the contracted EBT company; identify and correct reconciling differences; and properly report the issuances on the FNS-46 Issuance Reconciliation Report. Further, the Department should include reconciling differences; improper issuance transactions; and losses related to noncompliance with Food Nutrition Services directives on the FNS-46 Report.

03-104

CFDA No.: 10.551 **Food Stamps**

**U.S. Department of Agriculture**

Award Period: July 1, 2002 through June 30, 2003

Questioned Cost: \$172

The Department of Economic Security, Division of Benefits and Medical Eligibility did not have adequate internal control policies and procedures to ensure that changes made throughout the year to recipients' cash assistance benefits were consistently recorded within the recipients' case files in its eligibility computer system. For example, if a change occurred in a recipient's cash assistance benefit, an eligibility intake worker was required to manually update this information in the food stamp case file. We noted for 2 of 32 recipients that cash assistance benefits changes were not reflected in the food stamp calculation. As a result, one recipient was overpaid \$172 in food stamp benefits, and the overpayment was not referred to the Department's Collections Office.

It was not practical to extend our auditing procedures to determine any additional questioned costs, if any, that may have resulted from this finding. We performed sufficient test work and determined that the Department materially complied with the Food Stamps program's special tests and provisions requirements. This finding is a material internal control weakness and immaterial noncompliance with the program's special tests and provisions requirements.

To comply with 7 CFR §272.10(b)(1), the Department should establish adequate internal control policies and procedures to authorize and issue food stamps benefits. Specifically, the Department should generate exception reports, link the food stamps calculation table to the cash assistance benefits award table, or create an edit check to notify employees when food stamp benefit calculations need to be updated.

03-105

CFDA No.: 96.001 **Social Security—Disability Insurance, #010204AZD100 and #010304AZD100**

**Social Security Administration**

Award Period: October 1, 2001 through September 30, 2002 and  
October 1, 2002 through September 30, 2003

Questioned Cost: \$4,744

The Department of Economic Security, Disability Determination Services Administration (DDSA) did not adequately enforce its internal control policies and procedures to ensure compliance with the program's activities allowed or unallowed and allowable costs and cost principles requirements. Specifically, the

State of Arizona  
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DDSA paid five doctors' \$4,744 for services provided without adequate supporting documentation such as a written contract or vendor invoice and underpaid a vendor for a transportation service. In addition, the DDSA did not void a warrant issued for an incorrect amount for a doctor's service. Instead, the DDSA overpaid the doctor with the incorrect amount, maintained an informal record of the difference, and deducted it from a subsequent payment. It was not practical to extend our auditing procedures sufficiently to determine additional questioned costs, if any, that may have resulted from this finding.

This finding is considered a material internal control weakness. However, we performed additional test work and determined that the DDSA materially complied with the program's activities allowed or unallowed and allowable costs and cost principles requirements for fiscal year 2003.

To comply with 42 CFR §434.6 and OMB Circular A-87—Cost Principles for State, Local, and Indian Tribal Governments, §C(1)(j), the DDSA should enforce its internal control policies and procedures to ensure that all its expenditures are supported by a contract or vendor invoice.

03-106

CFDA No.: 96.001 **Social Security—Disability Insurance, #010204AZD100 and #010304AZD100**

**Social Security Administration**

Award Period: October 1, 2001 through September 30, 2002 and  
October 1, 2002 through September 30, 2003

Questioned Cost: N/A

The Department of Economic Security, Disability Determination Service Administration contracted with 53 doctors to review applicants' medical files and perform eligibility determination for social security disability benefits. These doctors attended the Department's in-house training classes and performed their contracted duties at the Department. Over the last 3 years, eight doctors petitioned the Internal Revenue Service (IRS) requesting a determination of their employment status with the Department for federal employment tax purposes. In December 2000, the IRS ruled that their employment status should be that of an employee and not of an independent contractor. The IRS also requested the Department to pay employer's taxes on these contracted doctors retroactive to calendar year 1997. In April 2001, the Department submitted additional information to the IRS supporting its determination that the doctors should be classified as contractors. However, in May 2001, the IRS reaffirmed its opinion that the doctors should be classified as employees. The Department has not had any further contact with the IRS as of February 2004.

This finding is a reportable condition and immaterial noncompliance with the program's activities allowed or unallowed and allowable costs and cost principles requirements.

To help ensure compliance with 26 U.S. Code §3121(d)-1 and 26 §31.3401(c)-1, the Department should contact the IRS to resolve this matter as soon as possible before additional penalties and interest are assessed onto prior years' unpaid employer social security and Medicare taxes.



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03-107

CFDA No.: 17.245 **Trade Adjustment Assistance—Workers, #TA-11109-01-60 and #TA-11872-02-55**

**U.S. Department of Labor**

Award Period: October 1, 2000 through September 30, 2003 and  
October 1, 2001 through September 30, 2004

Questioned Cost: \$402,255

The Department of Economic Security is responsible for administering the Trade Adjustment Assistance—Workers program and passes through federal monies to the Arizona Department of Education. The Department incorrectly reported the administrative costs incurred by the Arizona Department of Education in fiscal year 2003 as training expenditures on the ETA 9023, Trade Adjustment Assistance, Financial Status Report/Request for Funds and the SF-269, Financial Status Report. As a result, the Department exceeded the allowable administrative costs specified in the fiscal years 2001 and 2002 grant agreements by \$402,255 that resulted in a questioned cost. This finding is a reportable condition and immaterial noncompliance with the program's activities allowed or unallowed and allowable costs and cost principles requirements.

To comply with the program's grant agreements, the Department should accurately report all administrative expenditures incurred within the State on the ETA 9023, Trade Adjustment Assistance, Financial Status Report/Request for Funds or the SF -269, Financial Status Report.

03-108

CFDA No.: 17.245 **Trade Adjustment Assistance—Workers, #TA-11109-01-60 and #TA-11872-02-55**

**U.S. Department of Labor**

Award Period: October 1, 2000 through September 30, 2003 and  
October 1, 2001 through September 30, 2004

Questioned Cost: \$12,364

The Department of Economic Security did not adequately enforce its internal control policies and procedures to ensure compliance with the program's activities allowed or unallowed and allowable costs and cost principles requirements. Specifically, we noted the Department did not require recipients receiving subsistence allowances to provide weekly invoices for lodging expenses. As a result, we found one recipient's subsistence allowance for the year in the amount of \$5,455 was not properly supported by cost documentation. Further, the Department incorrectly classified \$6,909 of training expenditures for the Trade Adjustment Assistance grant to the North American Free Trade Agreement grant resulting in a questioned cost. Although both grants were within the same program, each had its own grant award amount.

It was not practical to extend our auditing procedures sufficiently to determine additional questioned costs from the remaining transactions that we did not test, if any, that may have resulted from these internal control weaknesses. However, we performed additional test work and determined that the Department materially complied with the program's activities allowed or unallowed and allowable costs and cost principles requirements. This finding is a reportable condition and immaterial noncompliance with the program's activities allowed or unallowed and allowable costs and cost principles requirements.

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To comply with OMB Circular A87—Cost Principles for State, Local, and Indian Tribal Governments Attachment A, §C(1)(j), 29 CFR §97.43, the Department of Economic Security should enforce its internal control policies and procedures to classify expenditures properly and retain adequate support for all expenditures.

03-109

CFDA No.: 17.258 **WIA Adult Program, #s AA10344FA, AA11295GQ, AA10244FC, AA11295HP, AA11997JF, and AA11997KC**

CFDA No.: 17.259 **WIA Youth Activities, #s AA10244DX, AA11295GJ, AA11997IZ, and AA12912LO**

CFDA No.: 17.260 **WIA Dislocated Workers, #s AA10244FB, AA11295GR, AA10244FD, AA11295HQ, EM1179EF, AA11997JG, AA11997KD, and EM11179JJ**

**U.S. Department of Labor**

Award Period: April 1, 2000 through June 30, 2005

Questioned Cost: N/A

The Department of Economic Security, Workforce Development Administration did not have adequate procedures to ensure compliance with the program's subrecipient monitoring requirements. Specifically, subrecipients are required to report their compliance with the program's fiscal rules and regulations using a self-assessment monitoring tool developed by the Administration. However, the Administration did not verify that the information contained in the fiscal self-assessments was accurate while performing its on-site visits. Further, the Administration did not have adequate procedures to ensure that its subrecipients who spent \$300,000 or more in federal assistance obtained an annual single audit or program-specific audit as required by OMB Circular A-133, §.400(d)(4).

We extended our auditing procedures sufficiently and determined that the Administration materially complied with the program's subrecipient monitoring requirements. This finding is a reportable condition and immaterial noncompliance with the program's subrecipient monitoring requirements.

To comply with 20 CFR §667.400(c) and OMB Circular A133, §.400(d)(4), the Department should establish adequate internal control policies and procedures to ensure that the information presented on the subrecipients' fiscal self assessments is accurately presented and that its subrecipients expending federal assistance of \$300,000 or more obtain an annual single or program-specific audit.

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03-110

CFDA No.: 10.557 **Special Supplemental Nutrition Program for Women, Infants, and Children,  
#7AZ700AZ7**

**U.S. Department of Agriculture**

Award Period: July 1, 2002 through June 30, 2003

Questioned Cost: Unknown

The Department of Health Services did not have adequate internal control policies and procedures to ensure compliance with the Treasury State Agreement (TSA) when drawing monies to redeem food vouchers. Under the TSA, the State has agreed to draw monies to redeem food vouchers for the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) program using the modified zero-balance accounting method. This method requires the Department to request the actual amount paid by the State's fiscal agent 1 day after it is paid. However, for 5 of 12 cash drawdowns selected for test work, the Department did not comply with the TSA when drawing the monies for the WIC programs. Specifically, amounts totaling \$5,512,509 were drawn even though the Department's fiscal agent had not paid these amounts to redeem WIC food vouchers. Also, the Department drew \$9,799 less than one payment to redeem WIC food vouchers. The Department did not use the excess monies drawn to immediately adjust the amount of subsequent draws and there was an excess balance in the WIC food fund in the amount of \$798,154 that still existed at June 30, 2003.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a material internal control weakness and material noncompliance with the program's cash management requirements.

To comply with the TSA, the Department should ensure that federal monies used to redeem food vouchers are drawn using the modified zero-balance accounting method.

03-111

CFDA No.: 10.557 **Special Supplemental Nutrition Program For Women, Infants, and Children,  
#7AZ700AZ7**

**U.S. Department of Agriculture**

Award Period: July 1, 2002 through June 30, 2003

Questioned Cost: Unknown

The Department of Health Services did not have adequate internal control policies and procedures to ensure compliance with the Treasury State Agreement (TSA) over payroll and other administrative costs. In the TSA, the State has agreed to draw monies for the WIC program administrative costs using the pre-issuance funding method. This method requires the Department to request the monies no more than 2 days prior to the day the monies are disbursed. For 1 of 10 Nutrition Services and Administration (NSA) cash drawdowns selected for test work, the Department did not comply with the TSA when drawing the monies. The Department transferred federal monies totaling \$2,171 from the food fund into the administrative fund and did not expend the monies until 9 days later. In another instance, the Department accumulated an excess balance in the administrative fund of \$362,154 that was transferred to the food fund for payment of food vouchers.

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It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a material internal control weakness and material noncompliance with the program's cash management requirements.

To comply with the TSA, the Department should ensure that federal monies used for administrative costs are drawn using the pre-issuance funding method.

03-112

CFDA No.: 84.010 **Title I Grants to Local Educational Agencies, #S010A000003B**

**U.S. Department of Education**

Award Period: July 1, 2002 through September 30, 2003

Questioned Cost: Unknown

The Arizona Department of Education annually prepares and submits the National Public Education Financial Survey (NPEFS) to the National Center for Educational Statistics. The NPEFS per-pupil expenditures detailed in the survey are used by the U.S. Department of Education to allocate federal monies to the Elementary and Secondary Education Act grants, including the Title I Grants to Local Educational Agencies.

The Department made several errors on its final NPEFS report submitted in 2003. Specifically, prior-year carryover amounts were understated by \$4,400,726 and one school district's other expenditures were improperly recorded on the NPEFS property expenditures line item. The net effect of these errors would potentially overstate per-pupil expenditures and the State's allotment for the 2005 award year. Further, the Department noted errors on its initial NPEFS report and subsequently submitted adjustments to correct those errors; however, the adjustments were not properly supported.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. However, this finding is a reportable condition and immaterial noncompliance with the program's reporting requirements. This instance of noncompliance with the program's reporting requirements is similar to a prior-year finding.

To help ensure compliance with the reporting requirements of the program, the Department should implement policies and procedures to ensure its NPEFS report amounts agree to supporting worksheets and documentation. Further, management should ensure that those policies and procedures are followed.

State of Arizona  
Schedule of Findings and Questioned Costs  
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03-113

CFDA No.: 84.010 **Title I Grants to Local Educational Agencies, #S010A020003A**  
CFDA No.: 84.027 **Special Education—Grants to States, #H027A020007**  
CFDA No.: 84.048 **Vocational Education—Basic Grants to States, #V048A020003A**  
CFDA No.: 84.173 **Special Education—Preschool Grants, #H173A020003**  
CFDA No.: 84.367 **Improving Teacher Quality State Grants, #S367A020049**

**U.S. Department of Education**

Award Period: July 1, 2002 through September 30, 2003

Questioned Cost: Unknown

The U.S. Department of Education's *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments*, 34 CFR §80.37(a)(4) requires states to substantially conform any advances of grant monies to subgrantees to the same standards of timing and amount that apply to cash advances by federal agencies. However, the Arizona Department of Education did not have adequate policies and procedures in place to ensure that its subrecipients were in compliance with cash management compliance requirements. The Department allowed subrecipients to accumulate up to 4 percent of their budgeted awards as excess cash, and this accumulated excess cash was not returned to the Department until the subrecipients submitted their annual completion reports to the Department on September 30.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. However, this finding is a reportable condition and immaterial noncompliance with the program's cash management requirements. This instance of noncompliance with cash management requirements is similar to a prior-year finding.

To help ensure compliance with cash management requirements, the Department should maintain adequate supporting documents, disburse funds within 2 days of receipt, and require subrecipients to conform to the same standards of timing and amount for the drawdown of federal monies as prescribed in the Treasury State Agreement between the State of Arizona and the Secretary of Treasury.

03-114

CFDA No.: 84.010 **Title I Grants to Local Educational Agencies, #S010A020003A**  
CFDA No.: 84.027 **Special Education—Grants to States, #H027A020007**  
CFDA No.: 84.173 **Special Education—Preschool Grants, #H173A020003**  
CFDA No.: 84.367 **Improving Teacher Quality State Grants, #S367A020049**

**U.S. Department of Education**

Award Period: July 1, 2002 through September 30, 2003

Questioned Cost: \$15,963

The No Child Left Behind Act and 34 CFR §300.231(c)(1) requires the Arizona Department of Education to perform maintenance-of-effort calculations before awarding grant monies to its subrecipients for the Title I Grant to Local Educational Agencies, Special Education, and Improving Teacher Quality State Grant programs.

State of Arizona  
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Year Ended June 30, 2003

However, the Department did not have adequate policies and monitoring procedures in place to ensure compliance with the maintenance-of-effort requirements prior to awarding grant monies to subrecipients. Consequently, inaccurate and incomplete data was reflected for the Special Education grants. Also, the Department noted noncompliance for the Title I Grant to Local Educational Agencies and Improving Teacher Quality Grant programs; however, it did not take the necessary steps to either obtain a waiver from the U.S. Department of Education (USDOE) or reduce the funding to those subrecipients, resulting in a total questioned cost of \$15,963. This instance of noncompliance is similar to a prior-year finding.

It was not practical to extend our auditing procedures to determine questioned costs, if any, for the Special Education programs that would have resulted from this finding. However, this finding is a material weakness and material noncompliance with the Special Education Programs' maintenance-of-effort requirements. In addition, the finding is a reportable condition and immaterial noncompliance to the Title I Grants to Local Educational Agencies and Improving Teacher Quality State Grants programs' maintenance-of-effort requirements.

To help ensure compliance with 34 CFR §300.231(c)(1) maintenance-of-effort requirements, the Department should ensure that its procedures for determining maintenance-of-effort are followed. Specifically, when noncompliance is detected, the Department should obtain a waiver from USDOE or reduce the subrecipient's funding.

03-115

CFDA No.: 84.010 **Title I Grants to Local Educational Agencies, #S010A020003A**

CFDA No.: 84.027 **Special Education—Grants to States, #H027A020007**

CFDA No.: 84.048 **Vocational Education—Basic Grants to States, #V048A020003A**

CFDA No.: 84.173 **Special Education—Preschool Grants, #H173A020003**

CFDA No.: 84.367 **Improving Teacher Quality State Grants, #S367A020049**

**U.S. Department of Education**

Award Period: July 1, 2002 through September 30, 2003

Questioned Cost: Unknown

The Department of Education cash management internal control policies and procedures require a reconciliation of the Grant Administration and Payment System (GAPS) to the State's Arizona Financial Information System (AFIS) records on a monthly basis. However, these reconciliations were not complete. Specifically, the AFIS amount was \$680,565 higher than the GAPS amount on the March 2003 reconciliation, and this difference had not been investigated or resolved as of June 30, 2003.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the programs' cash management requirements. This instance of noncompliance is similar to a prior year finding.

State of Arizona  
Schedule of Findings and Questioned Costs  
Year Ended June 30, 2003

To help ensure that all draws of federal monies from the U.S. Department of Education are properly recorded and accounted for, the Department should investigate and correct all differences resulting from its reconciliations.

03-116

CFDA No.: 10.558 **Child and Adult Care Food Program, #s 7F1072, 7F0072, 7F8072, 7F9072, and 7F9094**

**U.S. Department of Agriculture**

Award Period: July 1, 1997 through June 30, 1998  
July 1, 2001 through June 30, 2002  
July 1, 2002 through June 30, 2003

Questioned Cost: Unknown

When performing interest liability calculations for federal programs, the General Accounting Office (GAO) inadvertently excluded 10 grant accounts with cash balances totaling \$33,000 from the calculation.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the Treasury State Agreement and Cash Management Improvement Act.

To comply with 31 CFR §205.19, the GAO should exercise more care to ensure that interest calculations are performed for each program subject to the Cash Management Improvement Act interest calculation under the Treasury State Agreement.

03-117

CFDA No.: 17.258 **WIA Adult Program, #s AA10344FA, AA11295GQ, AA10244FC, AA11295HP, AA11997JF, and AA11997KC**

17.259 **WIA Youth Activities, #s AA10244DX, AA11295GJ, AA11997IZ, and AA12912LO**

17.260 **WIA Dislocated Workers, #s AA10244FB, AA11295GR, AA10244FD, AA11295HQ, EM1179EF, AA11997JG, AA11997KD, and EM11179JJ**

**U.S. Department of Labor**

Award Period: April 1, 2000 through June 30, 2005

Questioned Cost: Unknown

The Department of Economic Security is responsible for administering the Workforce Investment Act and passes through federal monies to other state agencies. The Department incorrectly reported administrative expenditures incurred by the Arizona Department of Education and Arizona State University in fiscal year 2003 as program expenditures on the ETA 9076-A, Workforce Investment Act Statewide Activities, Financial Status Report and did not break out administrative and program expenditures. As a result, the Department may have exceeded the programs' 5 percent administrative cost allowance. Further, a similar finding was noted by the U.S. Department of Labor in a federal report issued to the Department in September 2002.

State of Arizona  
Schedule of Findings and Questioned Costs  
Year Ended June 30, 2003

It was not practical to extend our auditing procedures sufficiently to determine the amount incorrectly reported as program expenditures and the total questioned costs, if any, that may have resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the program's activities allowed or unallowed, allowable costs and cost principles, and reporting compliance requirements.

To comply with the program's grant agreements and the Workforce Investment Act of 1988, §§128(a)(1-2) and 134(a)(1) & (3), the Department should properly report all administrative expenditures incurred by the State on the ETA 9076-A, Workforce Investment Act Statewide Activities, Financial Status Report.



## Appendix

State of Arizona  
Appendix  
State of Arizona Agency Codes  
Year Ended June 30, 2003

Agency Code	Agency
ADA	Department of Administration
AEA	Radiation Regulatory Agency
AFA	Governor's Office of Equal Opportunity
AGA	Office of the Attorney General
AHA	Arizona Department of Agriculture
ASA	Arizona State University
ATA	Arizona Automobile Theft Authority
BNA	Arizona State Board of Nursing
BRA	Arizona Board of Regents
CCA	Corporation Commission
DCA	Department of Corrections
DEA	Department of Economic Security
DJA	Department of Juvenile Corrections
DTA	Department of Transportation
EDA	Department of Education
EPA	Department of Commerce
EVA	Department of Environmental Quality
GFA	Arizona Game and Fish Department
GHA	Governor's Office of Highway Safety
GSA	Arizona Geological Survey
GVA	Office of the Governor
HCA	Arizona Health Care Cost Containment System
HDA	Arizona Department of Housing
HIA	Arizona Historical Society
HSA	Department of Health Services
HUA	Arizona Commission on the Arts
ICA	Industrial Commission of Arizona
JCA	Arizona Criminal Justice Commission
LAA	Arizona State Library, Archives and Public Records
LDA	State Land Department
LLA	Department of Liquor Licenses and Control
MAA	Department of Emergency and Military Affairs
MIA	State Mine Inspector
NAA	Northern Arizona University
PEA	Commission for Postsecondary Education
PRA	Arizona State Parks Board
PSA	Department of Public Safety
SBA	Structural Pest Control Commission
SDA	Arizona State Schools for the Deaf and the Blind
SFA	Arizona School Facilities Board
SPA	Arizona Supreme Court
UAA	University of Arizona
VSA	Department of Veterans' Services
WCA	Department of Water Resources
WFA	Water Infrastructure Finance Authority

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## State Corrective Action Plan

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

Federal Award Findings and Questioned Costs

Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps  
93.558 Temporary Assistance for Needy Families

Finding No.: 03-101

Status: Concur

Agency description:

To help ensure food stamps and cash assistance benefits are issued only to eligible recipients, the Department of Economic Security, Family Assistance Administration local office managers will monitor the Electronic Benefits Transfer (EBT) process on a daily basis for compliance with the following internal controls over the process of activating and issuing EBT cards:

- adequate segregation of the responsibilities of authorizing and issuing EBT cards;
- consistent request for and documentation of recipient's identification;
- proper reconciliation of EBT cards;
- adequate destruction of damaged EBT cards; and
- adequate safeguarding of the pin selection terminals.

To prevent fraud, the AZTECS computer subsystem has been modified to generate exception reports for large-dollar transactions; family sizes greater than a specified number; unusual activity by employees; and other case conditions. In addition, the following procedures have been implemented:

1. management approval is required for authorization and issuance of all supplemental payments; and
2. local office managers are required to periodically verify the proper use of logon identification or passwords by employees.

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Agency: Department of Economic Security

CFDA No.: 93.558 Temporary Assistance for Needy Families

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

Federal Award Findings and Questioned Costs

Finding No.: 03-102

Status: Concur

Agency description:

It is critical to the Department of Economic Security that case files are properly maintained. Division of Benefits and Medical Eligibility (DBME) regional program managers are currently reviewing procedures in the local offices for storing, accessing, and accounting for case files. To help ensure adequate space is available to properly file all documents, DBME is purchasing additional filing units and shelving, and making file room reorganization a priority in all local offices. For a long-range solution to the proper maintenance of Temporary Assistance for Needy Families recipients' case files, the Department will issue a Request for Proposals for a document management system by July 1, 2004.

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Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps

Finding No.: 03-103

Status: Concur

Agency description:

The Department of Economic Security (Department), Division of Benefits and Medical Eligibility (DBME) has implemented procedures to reconcile all issuance records generated from its eligibility computer system to the confirmed amounts received from the contracted company. The reconciliation procedures will be implemented by April 1, 2004.

DBME will issue an amended FNS-46 report to include instances of fraud that occurred during the award period. DBME will also coordinate with the U.S. Department of Agriculture, Food and Nutrition Services and the Department's Office of Special Investigations to develop policies and procedures to identify fraudulent amounts, including:

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

### Federal Award Findings and Questioned Costs

- compiling the relevant data on fraudulent activity;
- determining when sufficient information is available to report results; and
- determining amounts to be reported.

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Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps

Finding No.: 03-104

Status: Concur

Agency description:

To help ensure that changes made to the recipients' cash assistance benefits are consistently reflected in the food stamp calculation throughout the fiscal year within the recipients' case files in its eligibility computer system, the Department of Economic Security, Division of Benefits and Medical Eligibility (DBME) will:

1. revise the Arizona Integrated Manual and Benefit Information Guide to include instructions on effecting changes for participants involved in multiple cases; and
2. provide periodic staff training on the policy and procedures regarding the change process.

DBME will also perform assessments to determine whether it would be more beneficial to provide additional training or additional system-generated reports.

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State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

Federal Award Findings and Questioned Costs

Agency: Department of Economic Security

CFDA No.: 96.001 Social Security—Disability Insurance

Finding No.: 03-105

Status: Concur

Agency description:

To help ensure that payments to doctors who travel and provide special services are properly supported by a contract price or vendor invoice, the Department of Economic Security, Disability Determination Service Administration (DDSA) will add an Addendum to the Consultative Examiners Service Agreements that delineates the amount to be paid for examinations, mileage, lodging, and rent (if applicable). This Addendum will be effective May 2004.

To help ensure that vendors are paid correctly, DDSA consistently will enforce its established procedures for payments, which include:

- approval of vendor invoices;
- segregation of the responsibilities for preparation and approval of payment vouchers;
- matching of invoices to payment vouchers; and
- properly voiding warrants with incorrect amounts.

In addition, to correct the \$25 underpayment to a vendor for a transportation service, DDSA will process a payment to the vendor by May 2004.

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Phone: (602) 264-2644

Agency: Department of Economic Security

CFDA No.: 96.001 Social Security—Disability Insurance

Finding No.: 03-106

Status: Not Concur



State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

## Federal Award Findings and Questioned Costs

### Agency description:

The Department of Economic Security (Department), Disability Determination Service Administration is working with the Procurement Administrator and the Arizona Attorney General's Office to determine the appropriate course of action to resolve the issue with the Internal Revenue Service (IRS) regarding classification of its medical consultants as employees. The IRS has not audited any of the Department's records that pertain to this matter and has not issued a formal determination.

To clarify the relationship of the medical consultants as subcontractors or independent contractors, the Department is currently in the process of modifying its contract agreements to ensure the language in the contract supports independent contractor status by:

- developing a revised scope of work;
- clarifying the role of the contractor and "who controls the details" of the work; and
- ensuring that the contract language describing the work provided and under what conditions the work is performed supports that medical consultants are not employees of the State.

The current contracts expire in September 2004. The new contracts will include the revised scope of work and provide more flexibility for contractors.

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Agency: Department of Economic Security

CFDA No.: 17.245 Trade Adjustment Assistance—Workers

Finding No.: 03-107

Status: Not Concur

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

## Federal Award Findings and Questioned Costs

### Agency description:

The Department of Economic Security (Department) agrees that the State must charge costs appropriately to administrative and program activities and functions and interprets the determining factor in the classification of costs as the nature of the activity or function performed by its programs, not by its pass-through entities. In the Department's opinion, all costs for the Trade Adjustment Assistance–Workers program have been correctly reported to the U.S. Department of Labor (DOL). The Department is not aware of any language in the Code of Federal Regulations, the Grant Agreement with DOL, or any other authoritative reference that supports the auditors' interpretation that Arizona Department of Education operating costs must be reported as “administrative costs.”

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Agency: Department of Economic Security

CFDA No.: 17.245 Trade Adjustment Assistance—Workers

Finding No.: 03-108

Status: Concur

### Agency description:

On March 8, 2004, The Department of Economic Security (Department), Division of Employment and Rehabilitation Services began notifying in writing all claimants who are currently receiving or will receive subsistence of the requirement to submit receipts for lodging expenses. These receipts are to be submitted by the 15<sup>th</sup> of the month following the expenditure. The claimant's subsistence payment will be withheld pending these receipts. Further, the Department will implement procedures to ensure that all training expenditures are properly coded.

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State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

Federal Award Findings and Questioned Costs

Agency: Department of Economic Security

CFDA No.: 17.258 WIA Adult Program  
17.259 WIA Youth Activities  
17.260 WIA Dislocated Workers

Finding No.: 03-109

Status: Concur

Agency description:

The Department of Economic Security (Department), Division of Employment and Rehabilitation Services, Workforce Development Administration (WDA) has established a fiscal monitoring tool and has implemented the on-site review process effective July 1, 2004.

The WDA is working with the Department's Audit and Management Services (AMS) to ensure timely receipt by AMS of single audits from the local areas and administrative entities. The WDA has implemented a chart to track the receipt of single audit reports, which is updated as the reports are received from AMS. When necessary, the WDA will work with the local areas to obtain the required audit reports.

Contact person: Dean Grana  
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Phone: (602) 542-2474

Agency: Department of Health Services

CFDA No.: 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

Finding No.: 03-110

Status: Concur

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

## Federal Award Findings and Questioned Costs

Agency description:

The draws as outlined by the Auditor General were made in error resulting in excess cash on the dates indicated. Instructions from the WIC Program were misunderstood by the Controller's Office staff resulting in the excess funds on hand. Instructions and procedures have been reemphasized to monitor grant balances with transactions in progress. In addition, the Grants Manager will review the daily transactions for accuracy and to assure compliance with the TSA agreement.

Contact person: John Lake  
(602) 542-6342

Agency: Department of Health Services

CFDA No.: 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

Finding No.: 03-111

Status: Concur

Agency description:

When the WIC draws involve transfers between the administrative and food accounts, the Grants Manager will monitor the daily draws and cash balances to assure that staff are requesting funds no more than 2 days prior to the day the funds are disbursed, in accordance with the TSA agreement.

Contact person: John Lake  
(602) 542-6342

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 03-112

Status: Concur

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

## Federal Award Findings and Questioned Costs

### Agency description:

The submission of the National Public Education Finance Survey (NPEFS) data for FY 2001-2002 was the first to make use of an automated process, which collected and aggregated values from every Arizona school district's and charter school's annual financial reports (AFRs), according to the definitions provided by the National Center for Education Statistics. The initial process was a manual review of the Arizona Chart of Accounts and a comparison to the federal definitions provided for NPEFS purposes.

Data used in the NPEFS is subject to change, as districts and charters submit corrected AFRs, which may become necessary as the result of audits, making it difficult to document NPEFS-reported values without printing thousands of pages of documentation.

For future NPEFS submissions, additional review and backup will be generated and maintained. Including:

- Increased, ongoing review of the Arizona Chart of Accounts, in comparison to the definitions provided for NPEFS (to be conducted by additional personnel).
- Development and maintenance of database containing district and charter level data used in aggregation of amounts reported on the NPEFS.
- Increased review, including manual calculation to validate automated process of values generated in the automated process for NPEFS purposes.
- Comparison of final NPEFS data values to previous years in order to identify major differences.

Contact person: Steven Race  
(602) 542-4218

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.367 Improving Teacher Quality State Grants

Finding No.: 03-113

Status: Concur

State of Arizona  
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## Federal Award Findings and Questioned Costs

### Agency description:

The Arizona Department of Education implemented on July 1, 2003, a policy that allows subrecipients to request an amount not to exceed 1 month's expenses. Any excess of cash balance must be adjusted or returned to the Department.

Contact person: Steven Race  
(602) 542-4218

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.173 Special Education—Preschool Grants  
84.367 Improving Teacher Quality State Grants

Finding No.: 03-114

Status: Concur

### Agency description:

The agency developed a policy to ensure compliance with the maintenance-of-effort and level-of-effort compliance prior to awarding grant monies to subrecipients in late fiscal year 2003 and has implanted this policy for fiscal year 2004.

The Title I program area has subsequently reduced their amount of funds available for carryover by \$15,963, referenced above assuring the LEA's 2003 expenditures reflect the disallowance for their failure to maintain effort.

Contact person: Steven Race  
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Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.367 Improving Teacher Quality State Grants

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

Federal Award Findings and Questioned Costs

Finding No.: 03-115

Status: Concur

Agency description:

The Arizona Department of Education is modifying and reviewing reports to ensure data is accurate. In the future, the Accounting unit will identify and resolve all variances and include documentation with the reconciling report.

Contact person: Steven Race  
(602) 542-4218

Agency: General Accounting Office

CFDA No.: 10.558 Child and Adult Care Food Program

Finding No.: 03-116

Status: Concur

Agency description:

The Department of Administration (DOA) concurs with the Auditor General's finding that ten grant components from program 10.558 Child and Adult Care Food Program were erroneously excluded from the calculation of interest for the FY03 Cash Management and Information Act Report. This error occurred due to these ten grant components being inactivated in September, prior to running the CMIA-Interest Liability Report in October. This resulted in the inactivated components being left out of the interest calculation for FY03.

The DOA has fully corrected this error by reactivating and calculating the interest owed on the ten omitted grant components for program 10.558. This resulted in an additional \$1,490 interest liability on the FY03 CMIA Report. This adjusted amount will be paid to the U.S. Department of Treasury with our annual CMIA payment for FY04. The DOA has taken steps to ensure that no additional grant components were inactivated prior to running the FY03 interest calculation reports. Additionally, the DOA has taken steps to ensure that all covered programs are included in future interest calculations.

Contact person: Raymond Mauk  
(602) 542-5611

State of Arizona  
Corrective Action Plan  
Year Ended June 30, 2003

Federal Award Findings and Questioned Costs

Agency: Department of Economic Security

CFDA No.: 17.258 WIA Adult Program  
17.259 WIA Youth Activities  
17.260 WIA Dislocated Workers

Finding No.: 03-117

Status: Not Concur

Agency description:

The Department of Economic Security (Department) agrees that the State must charge costs appropriately to administrative and program activities and functions and interprets the determining factor in the classification of costs as the nature of the activity or function performed by its programs, not by its pass-through entities. In the Department's opinion, all costs for the Workforce Investment Act have been correctly reported to the U.S. Department of Labor (DOL). The Department is not aware of any language in the Code of Federal Regulations, the Grant Agreement with DOL, or any other authoritative reference that supports the auditors' interpretation that amounts expended as operating costs by the Arizona Department of Education or Arizona State University must be reported as "administrative costs."

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## State Summary Schedule of Prior Audit Findings

State of Arizona  
Summary Schedule of Prior Audit Findings  
Year Ended June 30, 2003

Status of Federal Award Findings and Questioned Costs

**Agency: Department of Education**

CFDA No.: 10.553 School Breakfast Program  
10.555 National School Lunch Program  
10.558 Child and Adult Care Food Program  
84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.162 Immigrant Education  
84.173 Special Education—Preschool Grants  
84.281 Eisenhower Professional Development State Grants  
84.298 Innovative Education Program Strategies

Finding No.: 99-107

Status: Fully Corrected

**Agency: Department of Education**

CFDA No.: 10.553 School Breakfast Program  
10.555 National School Lunch Program  
10.558 Child and Adult Care Food Program  
84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.213 Even Start—State Educational Agencies  
84.243 Tech-Prep Education  
84.276 Goals 2000—State and Local Education Systemic Improvement Grants  
84.332 Comprehensive School Reform Demonstration  
84.340 Class Size Reduction

Finding No.: 00-105

Status: Fully Corrected

State of Arizona  
Summary Schedule of Prior Audit Findings  
Year Ended June 30, 2003

Status of Federal Award Findings and Questioned Costs

**Agency: Department of Education**

CFDA No.: 10.553 School Breakfast Program  
10.555 National School Lunch Program  
10.558 Child and Adult Care Food Program  
84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.213 Even Start—State Educational Agencies  
84.243 Tech-Prep Education  
84.276 Goals 2000—State and Local Education Systemic Improvement Grants  
84.332 Comprehensive School Reform Demonstration  
84.340 Class Size Reduction

Finding No.: 00-106

Status: Not Corrected

Agency Description:

Regarding sub-recipients allowed to accumulate up to 4 percent of their budgeted awards as excess cash, the agency concurs and a solution was implemented beginning July 1, 2003.

**Agency: Department of Education**

CFDA No.: 10.553 School Breakfast Program  
10.555 National School Lunch Program  
10.558 Child and Adult Care Food Program  
84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.276 Goals 2000—State and Local Education Systemic Improvement Grants  
84.340 Class Size Reduction

Finding No.: 01-104

Status: Not Corrected

State of Arizona  
Summary Schedule of Prior Audit Findings  
Year Ended June 30, 2003

Status of Federal Award Findings and Questioned Costs

Agency Description:

Regarding sub-recipients allowed to accumulate up to 4 percent of their budgeted awards as excess cash, the agency concurs and a solution was implemented beginning July 1, 2003.

**Agency: Department of Education**

CFDA No.: 10.553 School Breakfast Program  
10.555 National School Lunch Program  
10.558 Child and Adult Care Food Program  
84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.276 Goals 2000—State and Local Education Systemic Improvement Grants  
84.340 Class Size Reduction

Finding No.: 01-105

Status: Fully Corrected

**Agency: Department of Education**

CFDA No.: 84.048 Vocational Education—Basic Grants to States

Finding No. 01-106

Status: Fully Corrected

**Agency: Department of Economic Security**

CFDA No.: 10.568 Emergency Food Assistance Program (Administrative Costs)  
10.569 Emergency Food Assistance Program (Food Commodities)

Finding No.: 01-107

Status: Fully Corrected

State of Arizona  
Summary Schedule of Prior Audit Findings  
Year Ended June 30, 2003

Status of Federal Award Findings and Questioned Costs

**Agency: Department of Health Services**

CFDA No.: 93.268 Immunization Grants

Finding No.: 02-101

Status: Fully Corrected

**Agency: Department of Education**

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 02-102

Status: Not Corrected

Agency Description:

The agency has formulated a corrective action to ensure that the data for this survey is as accurate as possible. The agency is in the process of implementing a corrective action plan.

**Agency: Department of Education**

CFDA No.: 84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.340 Class Size Reduction  
84.348 Title I Accountability Grants

Finding No.: 02-103

Status: Not Corrected

State of Arizona  
Summary Schedule of Prior Audit Findings  
Year Ended June 30, 2003

Status of Federal Award Findings and Questioned Costs

Agency Description:

Regarding sub-recipients allowed to accumulate up to 4 percent of their budgeted awards as excess cash, the agency concurs and a solution was implemented beginning July 1, 2003.

**Agency: Department of Education**

CFDA No.: 84.027 Special Education—Grants to States  
84.173 Special Education—Preschool Grants

Finding No.: 02-104

Status: Not Corrected

Agency Description:

Regarding the maintenance of effort requirements, the agency concurs. The agency does have in place a policy to perform maintenance of effort calculations prior to awarding funds to Local Education Agencies. This policy has been reviewed with program staff.

**Agency: Department of Education**

CFDA No.: 84.010 Title I Grants to Local Educational Agencies  
84.027 Special Education—Grants to States  
84.048 Vocational Education—Basic Grants to States  
84.173 Special Education—Preschool Grants  
84.340 Class Size Reduction  
84.348 Title I Accountability Grants

Finding No.: 02-105

Status: Not Corrected

Agency response:

The agency has formulated a corrective action to ensure that any and all variances in reconciliations will be identified and resolved. The agency is in the process of implementing a corrective action plan.

State of Arizona  
Summary Schedule of Prior Audit Findings  
Year Ended June 30, 2003

Status of Federal Award Findings and Questioned Costs

**Agency:** Department of Economic Security

CFDA No.: 10.568 Emergency Food Assistance Program (Administrative Costs)  
10.569 Emergency Food Assistance Program (Food Commodities)

Finding No.: 02-106

Status: Fully Corrected