



A REPORT
TO THE
ARIZONA LEGISLATURE

Financial Audit Division

Single Audit

State of Arizona

Year Ended June 30, 2004



Debra K. Davenport
Auditor General

The **Auditor General** is appointed by the Joint Legislative Audit Committee, a bipartisan committee composed of five senators and five representatives. Her mission is to provide independent and impartial information and specific recommendations to improve the operations of state and local government entities. To this end, she provides financial audits and accounting services to the State and political subdivisions, investigates possible misuse of public monies, and conducts performance audits of school districts, state agencies, and the programs they administer.



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State of Arizona
Single Audit Reporting Package
Year Ended June 30, 2004

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**STATE OF ARIZONA
OFFICE OF THE
AUDITOR GENERAL**

DEBRA K. DAVENPORT, CPA
AUDITOR GENERAL

WILLIAM THOMSON
DEPUTY AUDITOR GENERAL

**Independent Auditors' Report on Internal Control over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Basic Financial
Statements Performed in Accordance with *Government Auditing Standards***

The Honorable Janet Napolitano, Governor
State of Arizona

The Honorable Ken Bennett, President
Arizona State Senate

The Honorable James P. Weirs, Speaker
Arizona House of Representatives

The Honorable Charles E. Jones, Chief Justice
Arizona Supreme Court

We have audited the financial statements of the governmental activities, business-type activities, aggregate discretely presented component units, each major fund, and aggregate remaining fund information of the State of Arizona as of and for the year ended June 30, 2004, which collectively comprise the State's basic financial statements, and have issued our report thereon dated February 11, 2005. Our report was modified as to consistency because of a change in the application of an accounting principle for an increase in the capitalization threshold for equipment, works of art, and historical treasures at Arizona State University; changes in accounting principles for the implementation of Governmental Accounting Standards Board Statement No. 39 and Technical Bulletin No. 2004-1; and a change in reporting entity for the State Compensation Fund, which was previously reported in the State's basic financial statements as a discretely presented component unit but is no longer financially accountable to the State, and therefore, is not reported in the State's basic financial statements. Finally, our report was modified due to our reliance on the reports of the other auditors. We conducted our audit in accordance with U.S. generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the aggregate discretely presented component units, except for the Water Infrastructure Finance Authority, and the financial statements of the Public Safety Personnel Retirement System, Elected Officials' Retirement Plan, and Corrections Officer Retirement Plan pension trust funds included in the aggregate remaining fund information, were not audited by the other auditors in accordance with *Government Auditing Standards*.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the State's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinions on the basic financial statements and not to provide an opinion on internal control over financial reporting. Our consideration of internal control over financial reporting would not necessarily disclose all matters in internal control that might be material weaknesses. A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements caused by error or fraud in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We and the work of the other auditors noted no matters involving internal control over financial reporting and its operation that we and the other auditors consider to be material weaknesses. However, we noted other matters involving internal control over financial reporting that we will report to various state agencies' management in separate letters at a future date.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests and the work of the other auditors disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*. However, we noted certain immaterial instances of noncompliance or other matters that we will report to various state agencies' management in separate letters at a future date.

This report is intended solely for your information and use and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record, and its distribution is not limited.

Debbie Davenport
Auditor General

February 11, 2005



**STATE OF ARIZONA
OFFICE OF THE
AUDITOR GENERAL**

DEBRA K. DAVENPORT, CPA
AUDITOR GENERAL

WILLIAM THOMSON
DEPUTY AUDITOR GENERAL

**Independent Auditors' Report on Compliance with Requirements
Applicable to Each Major Program and on Internal Control over Compliance in
Accordance with OMB Circular A-133**

The Honorable Janet Napolitano, Governor
State of Arizona

The Honorable Ken Bennett, President
Arizona State Senate

The Honorable James P. Weirs, Speaker
Arizona House of Representatives

The Honorable Charles E. Jones, Chief Justice
Arizona Supreme Court

Compliance

We have audited the compliance of the State of Arizona with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2004, except for that portion of the federal programs administered by the Arizona Health Care Cost Containment System, the Arizona Department of Transportation, and the Water Infrastructure Finance Authority. Those agencies were audited by other auditors, and our opinion, insofar as it relates to the compliance of those agencies with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement*, is based solely on the work of the other auditors. The State's major federal programs are identified in the Summary of Auditors' Results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit and the work of the other auditors.

We conducted our audit of compliance in accordance with U.S. generally accepted auditing standards; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit and the work of the other auditors provide a reasonable basis for our opinion. Our audit does not provide a legal determination of the State's compliance with those requirements.

As described in the following table, the State did not comply with certain compliance requirements that are applicable to the following major federal programs. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to those programs.

Program Title (CFDA Number)	Compliance Requirement	Finding Number
Food Stamp Cluster: Food Stamps (10.551) State Administrative Matching Grants for Food Stamp Program (10.561)	Special Tests and Provisions	04-105, 04-107
Rehabilitation Services—Vocational Rehabilitation Grants to States (84.126)	Procurement	04-112
Special Education Cluster: Special Education—Grants to States (84.027) Special Education—Preschool Grants (84.173)	Level of Effort	04-102
Temporary Assistance for Needy Families (93.558)	Activities Allowed or Unallowed, Allowable Costs/Cost Principles, Eligibility, and Special Tests and Provisions	04-105, 04-106
Child Support Enforcement (93.563)	Special Tests and Provisions	04-115

In our opinion, based on our audit and the work of the other auditors, except for the noncompliance described in the preceding table, the State of Arizona complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2004. The results of our auditing procedures and the work of the other auditors also disclosed other instances of noncompliance with those requirements that are required to be reported in accordance with OMB Circular A-133 and are described in the accompanying Schedule of Findings and Questioned Costs as items 04-101, 04-103, 04-104, 04-108, 04-109, 04-110, 04-111, 04-113, 04-114, and 04-116.

Internal Control over Compliance

The State's management is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the State's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

We and the work of the other auditors noted certain matters involving internal control over compliance and its operation that we and the other auditors consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of internal control over compliance that, in our judgment, could adversely affect the State's ability to administer a major federal program in accordance with the applicable requirements of laws, regulations, contracts, and grants. Reportable conditions are described in the accompanying Schedule of Findings and Questioned Costs as items 04-101, 04-102, 04-103, 04-104, 04-105, 04-106, 04-107, 04-108, 04-109, 04-110, 04-111, 04-112, 04-113, 04-114, 04-115, and 04-116.

A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with the applicable requirements of laws, regulations, contracts, and grants caused by error or fraud that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over compliance would not necessarily disclose all matters in internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, of the reportable conditions described above, we consider items 04-102, 04-105, 04-106, 04-107, 04-112, and 04-115 to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the governmental activities, business-type activities, aggregate discretely presented component units, each major fund, and aggregate remaining fund information of the State of Arizona as of and for the year ended June 30, 2004, and have issued our report thereon dated February 11, 2005. Our report was modified as to consistency because of a change in the application of an accounting principle for an increase in the capitalization threshold for equipment, works of art, and historical treasures at Arizona State University; changes in accounting principles for the implementation of Governmental Accounting Standards Board Statement No. 39 and Technical Bulletin No. 2004-1; and a change in reporting entity for the State Compensation Fund, which was previously reported in the State's basic financial statements as a discretely presented component unit but is no longer financially accountable to the State, and therefore, is not reported in the State's basic financial statements. Finally, our report was modified due to our reliance on the reports of the other auditors. Our audit was performed for the purpose of forming opinions on the financial statements that collectively comprise the State's basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, based on our audit and the work of the other auditors, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

This report is intended solely for your information and use and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record, and its distribution is not limited.

Debbie Davenport
Auditor General

March 23, 2005, except for the
Schedule of Expenditures of Federal
Awards, for which the date is February 11, 2005

State of Arizona
Schedule of Expenditures Of Federal Awards
Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
<u>OFFICE OF NATIONAL DRUG CONTROL POLICY</u>			
07.I3PSAP549, I4PSA549, 01-11-A-130615-1001	High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i>	AGA	\$ 695,984
07.I0PSAP549, I1PSAP549, I2PSAP549, I3PSAP549	High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i>	PSA	1,386,732
	Total Office of National Drug Control Policy		<u>\$ 2,082,716</u>
<u>PEACE CORPS</u>			
08.021812012, 0318120150000,	Peace Corps	ASA	\$ 24,400
08.021812013, 0318120170000	Peace Corps Coordinator	NAA	16,829
	Total Peace Corps		<u>\$ 41,229</u>
<u>DEPARTMENT OF AGRICULTURE</u>			
<u>Food Stamp Cluster</u>			
10.551	Food Stamps	DEA	\$ 566,106,540
10.561	State Administrative Matching Grants for Food Stamp Program	DEA	23,411,774
10.561	State Administrative Matching Grants for Food Stamp Program	HSA	3,433,062
10.561	State Administrative Matching Grants for Food Stamp Program	UAA	27,565
	10.561 Subtotal		<u>26,872,401</u>
	Food Stamp Cluster Subtotal		<u>592,978,941</u>
<u>Child Nutrition Cluster</u>			
10.553	School Breakfast Program	DCA	54,240
10.553	School Breakfast Program	DJA	394,665
10.553	School Breakfast Program	EDA	29,530,399
	10.553 Subtotal		<u>29,979,304</u>
10.555	National School Lunch Program	DCA	46,395
10.555	National School Lunch Program	DJA	620,898
10.555	National School Lunch Program	EDA	125,140,536
10.555	National School Lunch Program	SDA	153,029
	10.555 Subtotal		<u>125,960,858</u>
10.556	Special Milk Program for Children	EDA	118,336
10.559	Summer Food Service Program for Children	ASA	20,566
10.559	Summer Food Service Program for Children	EDA	1,199,117
	10.559 Subtotal		<u>1,219,683</u>
	Child Nutrition Cluster Subtotal		<u>157,278,181</u>
<u>Emergency Food Assistance Cluster</u>			
10.568	Emergency Food Assistance Program (Administrative Costs)	DEA	1,136,962
10.569	Emergency Food Assistance Program (Food Commodities)	DEA	8,119,319
	Emergency Food Assistance Cluster Subtotal		<u>9,256,281</u>
<u>Other Department of Agriculture Programs</u>			
10.025	Plant and Animal Disease, Pest Control, and Animal Care	AHA	919,420
10.025	Plant and Animal Disease, Pest Control, and Animal Care	GFA	59,417
	10.025 Subtotal		<u>978,837</u>
10.200	Grants for Agricultural Research, Special Research Grants	UAA	48,892
10.200	Grants for Agricultural Research, Special Research Grants, <i>Michigan State University</i> , Contract # 614075J	UAA	500
10.200	Grants for Agricultural Research, Special Research Grants, <i>University of Washington</i> , Contract # 748855	UAA	4,501
	10.200 Subtotal		<u>53,893</u>
10.206	Grants for Agricultural Research—Competitive Research Grants	ASA	12,788

State of Arizona
Schedule of Expenditures Of Federal Awards
Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ Pass-Through Grantor /Contract Number	Grantee (Appendix)	Expenditures
10.217	Higher Education Challenge Grants	UAA	3,347
10.217	Higher Education Challenge Grants, <i>University of Vermont</i> , Contract # EG3401	UAA	19,476
	10.217 Subtotal		<u>22,823</u>
10.220	Higher Education Multicultural Scholars Program	NAA	34,684
10.224	Fund for Rural America—Research, Education, and Extension Activities	ASA	48,810
10.224	Fund for Rural America—Research, Education, and Extension Activities	UAA	90,901
	10.224 Subtotal		<u>139,711</u>
10.303	Integrated Programs	UAA	290,629
10.304	Homeland Security—Agricultural	AHA	30,601
10.350	Technical Assistance to Cooperatives	EPA	40,251
10.435	State Mediation Grants	ASA	115,728
10.450	Crop Insurance	UAA	53,552
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection	AHA	165,555
10.500	Cooperative Extension Service	UAA	3,429,762
10.500	Cooperative Extension Service, <i>Michigan State University</i> , Contract # 614055A	UAA	9,700
10.500	Cooperative Extension Service, <i>National 4H Council</i> , Contract # 20024520101528	UAA	103,450
10.500	Cooperative Extension Service, <i>Utah State University</i> , Contract #s C027235, C029398, C029762, C031336, C031370	UAA	44,155
10.500	Cooperative Extension Service, <i>Washington State University</i> , Contract #s G001175, 101669G001511WSUWETAASAL/HP	UAA	31,921
	10.500 Subtotal		<u>3,618,988</u>
10.550	Food Donation	EDA	160,249
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children	EDA	2,760
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children	HSA	126,765,626
	10.557 Subtotal		<u>126,768,386</u>
10.558	Child and Adult Care Food Program	EDA	42,164,291
10.560	State Administrative Expenses for Child Nutrition	EDA	2,284,465
10.565	Commodity Supplemental Food Program	HSA	4,617,091
10.572	WIC Farmers' Market Nutrition Program (FMNP)	HSA	220,193
10.574	Team Nutrition Grants	EDA	9,924
10.652	Forestry Research	ASA	7,512
10.652	Forestry Research	GFA	46,832
	10.652 Subtotal		<u>54,344</u>
10.664	Cooperative Forestry Assistance	LDA	3,146,437
10.664	Cooperative Forestry Assistance	NAA	634,597
10.664	Cooperative Forestry Assistance	UAA	287,319
	10.664 Subtotal		<u>4,068,353</u>
10.769	Rural Business Enterprise Grants	EPA	126,714
10.769	Rural Business Enterprise Grants	NAA	117,476
	10.769 Subtotal		<u>244,190</u>
10.771	Rural Cooperative Development Grants	ASA	45,348
10.902	Soil and Water Conservation	AHA	90,029
10.912	Environmental Quality Incentives Program	GFA	60,268
10.914	Wildlife Habitat Incentive Program	GFA	15,303
10.962	International Training—Foreign Participant	ASA	24,131
10.01JV1122165288	U.S. Forest Service	GSA	16,687
10.03DG11030300042	Forest Service	NAA	80,523
10.CK08340699	Delivery of AG Programs	UAA	39,570
10.PNW04JV11261988092	RBSIM: A Workshop on Multi-Agent Simulation of Outdoor Recreation	UAA	9,520
10.Unknown	Specialty Crops—Basic State Grants	AHA	20,985
10.Unknown	USDA Promote Agriculture	AHA	3,496
10.Unknown	Forest Service	NAA	2,000
10.Unknown	2003 National Teaching Award, <i>University of Wyoming</i> , Contract # CK960793	UAA	2,000
10.Unknown	Farm Filled AG Venture Program	UAA	3,559

State of Arizona
Schedule of Expenditures Of Federal Awards
Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
10.Unknown	Food Stamp Nutritional Education Program	UAA	163,977
10.Unknown	USDA 2000 Team Nutrition Training Grant	UAA	40,182
10.Unknown	Western Sare PDP Baseline Survey, <i>Utah State University</i> , Contract # A267967	UAA	24,987
	Total Department of Agriculture		<u>\$ 946,305,494</u>
<u>DEPARTMENT OF COMMERCE</u>			
<u>Public Works and Economic Development Cluster</u>			
11.300	Grants for Public Works and Economic Development Facilities	NAA	\$ 313,553
	Public Works and Economic Development Cluster Subtotal		<u>313,553</u>
11.302	Economic Development—Support for Planning Organizations	EPA	71,855
11.417	Sea Grant Support	UAA	19,188
11.431	Climate and Atmospheric Research	UAA	98,137
11.550	Public Telecommunications Facilities Planning and Construction	UAA	83,333
11.50SPNA100048	National Weather Service	ASA	17
11.EEE-A-00-02-00041-00	International New Delhi	EPA	4,569
11.077905438	Department of Commerce	NAA	9,180
11.DG133R03SE1133	A National Climate/Fuel Assessment and Outlook for the 2003 Fire Season	UAA	2,983
11.Unknown	Rural Power and Telecom	EPA	21,050
11.Unknown	NAU/EDA Economic Development Program	UAA	28,770
	Total Department of Commerce		<u>\$ 652,635</u>
<u>DEPARTMENT OF DEFENSE</u>			
12.110	Planning Assistance to States	GFA	\$ 166,620
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services	EVA	610,796
12.300	Basic and Applied Scientific Research	ASA	38,477
12.300	Basic and Applied Scientific Research	GFA	29,693
	12.300 Subtotal		<u>68,170</u>
12.400	Military Construction, National Guard	MAA	12,823,942
12.401	National Guard Military Operations and Maintenance (O&M) Projects	ASA	15,334
12.401	National Guard Military Operations and Maintenance (O&M) Projects	GFA	8,464
12.401	National Guard Military Operations and Maintenance (O&M) Projects	MAA	22,490,277
	12.401 Subtotal		<u>22,514,075</u>
12.404	National Guard Civilian Youth Opportunities	MAA	1,843,934
12.420	Military Medical Research and Development	ASA	27,748
12.420	Military Medical Research and Development	UAA	374,098
12.420	Military Medical Research and Development, <i>University of Minnesota</i> , Contract # R6636360302	UAA	102
	12.420 Subtotal		<u>401,948</u>
12.431	Basic Scientific Research, <i>Academy of Applied Science</i>	ASA	14,017
12.610	Community Economic Adjustment Planning Assistance for Joint Land Use Studies	EPA	188,535
12.800	Air Force Defense Research Sciences Program	ASA	5,000
12.800	Air Force Defense Research Sciences Program	GFA	211,423
	12.800 Subtotal		<u>216,423</u>
12.900	Language Grant Program	ASA	29,145
12.910	Research and Technology Development	ASA	143,833
12.DASW0101K0001	Workshop on Leadership at a Distance	ASA	2,806
12.W912L2-04-P-0087	Department of Defense—Army	ASA	2,809
12.DABT63-98-D-0002	Business Enterprise Program/FT Huachuca	DEA	2,684,346
12.DAHA2 00 2 3003, DAHA2 98 3003	Camp Navajo	MAA	7,103,394
12.RODMER078036	SWBSADIS Terminal Deployment	PSA	10,758
12.P1018064	Intergovernmental Personnel Act	UAA	48,358

State of Arizona
Schedule of Expenditures Of Federal Awards
Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
12.Unknown	Frost Heave Affects on Small Objects, <i>Brown & Caldwell</i> , Contract # 23446.270	NAA	43,276
12.Unknown	Support Services for U.S. Army's Mt. Lemmon Radar Facility, <i>NEWTEC</i> , Contract # M001048	UAA	2,051
12.Unknown	Uniform Commutation Fund	UAA	58,683
	Total Department of Defense		<u>\$ 48,977,919</u>
<u>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</u>			
<u>Section 8 Project-Based Cluster</u>			
14.195	Section 8 Housing Assistance Payments Program—Special Allocations	HDA	\$ 30,845,872
	Section 8 Project-Based Cluster Subtotal		<u>30,845,872</u>
<u>Other Department of Housing and Urban Development Programs</u>			
14.228	Community Development Block Grants/State's Program	HDA	11,759,748
14.231	Emergency Shelter Grants Program	DEA	686,522
14.235	Supportive Housing Program	HDA	3,775,138
14.238	Shelter Plus Care	HDA	4,211,504
14.239	HOME Investment Partnerships Program	HDA	5,386,853
14.239	HOME Investment Partnerships Program, <i>Cochise County</i> , Contract # 53203	UAA	5,968
	14.239 Subtotal		<u>5,392,821</u>
14.241	Housing Opportunities for Persons with AIDS	HDA	213,261
14.246	Community Development Block Grants/Brownfields Economic Development Initiative	ASA	402,368
14.401	Fair Housing Assistance Program—State and Local	AGA	256,887
14.511	Community Outreach Partnership Center Program	UAA	89,273
14.512	Community Development Work-Study Program	UAA	45,619
14.514	Hispanic-Serving Institutions Assisting Communities	UAA	11,331
14.871	Section 8 Housing Choice Vouchers	HDA	265,092
14.CH-ARIZ-49	Department of Housing and Urban Development	NAA	47,582
14.Unknown	Colonia Economic Development Policy Questions and Concerns, <i>New Mexico State University</i> , Contract # Q00827	UAA	21,417
14.Unknown	Pima County Community Development Block Grant, <i>Pima County</i> , Contract # 0139A1319161102	UAA	141
14.Unknown	South Park Hope VI Revitalization Project, <i>City of Tucson</i> , Contract # 043601	UAA	28,712
	Total Department of Housing and Urban Development		<u>\$ 58,053,288</u>
<u>DEPARTMENT OF THE INTERIOR</u>			
<u>Fish and Wildlife Cluster</u>			
15.605	Sport Fish Restoration	GFA	\$ 5,448,488
15.611	Wildlife Restoration	GFA	4,677,565
	Fish and Wildlife Cluster Subtotal		<u>10,126,053</u>
<u>Other Department of the Interior Programs</u>			
15.130	Indian Education—Assistance to Schools	EDA	394,309
15.219	Wildlife Habitat Management Technical Assistance	GFA	361,686
15.224	Cultural Resource Management	ASA	15,913
15.227	Distribution of Receipts to State and Local Governments	DTA	79,316
15.228	Wildland Urban Interface Community and Rural Fire Assistance	LDA	584,969
15.608	Fish and Wildlife Management Assistance	GFA	89,590
15.612	Endangered Species Conservation	GFA	886,077
15.615	Cooperative Endangered Species Conservation Fund	GFA	571,404
15.623	North American Wetlands Conservation Fund	GFA	67,384
15.625	Wildlife Conservation and Restoration	GFA	322,409
15.626	Hunter Education and Safety Program	GFA	204,004
15.633	Landowner Incentive	GFA	77,403
15.634	State Wildlife Grants	GFA	1,290,212
15.808	U.S. Geological Survey—Research and Data Collection	GFA	334,673
15.808	U.S. Geological Survey—Research and Data Collection	GSA	206,197
15.808	U.S. Geological Survey—Research and Data Collection	LDA	270
	15.808 Subtotal		<u>541,140</u>

State of Arizona
Schedule of Expenditures Of Federal Awards
Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ Pass-Through Grantor /Contract Number	Grantee (Appendix)	Expenditures
15.904	Historic Preservation Fund Grants-In-Aid	ASA	44,743
15.904	Historic Preservation Fund Grants-In-Aid	PRA	75,629
	15.904 Subtotal		120,372
15.915	Technical Preservation Services	ASA	2,419
15.916	Outdoor Recreation—Acquisition, Development and Planning	PRA	694,080
15.922	Native American Graves Protection and Repatriation Act	UAA	16,023
15.923	National Center for Preservation Technology and Training	ASA	191
15.01FG320110	Bureau of Reclamation	ASA	69,123
15.02FG810807	Bureau of Reclamation	ASA	189,291
15.507-30-W0330	Bureau of Reclamation Restricted Endowment	ASA	5,999,802
15.02FG300038	Training and Technical Assistance—Indian Tribal Governments	ASA	2,377
15.N005003452	Training and Technical Assistance—Indian Tribal Governments	ASA	25,764
15.03-FG-30-0037	Augmentation of Razorback Sucker Population	GFA	6,695
15.00-FG-32-0070	Bald Eagle Surveys	GFA	40,182
15.99-FC-32-0070	Fish Monitoring Salt & Gila Rivers	GFA	8,350
15.99-FG-34-0015	Hunter's Hole	GFA	7,187
15.02-FC-40-8390, 02-FC-CU-AZ010	Kanab Ambersnail Refugia Population	GFA	27,701
15.00-FC-32-0160	Limnological Fisheries/Lake Pleasant	GFA	93,196
15.02-FG-32-0080	Mapping & Monitoring Southwestern Willow Flycatcher	GFA	17,371
15.03-FG-34-0009	Monitoring of Flat-tailed Horned Lizard Distribution	GFA	18,822
15.1-07-34-C0165	Mumme Farm Maintenance	GFA	2,041
15.02-FC-32-0100	Propagation Techniques Loach Minnow	GFA	95,364
15.H8660040001	Pygmy Owl Surveys	GFA	16,885
15.00-GF-34-0009	Razorback Sucker Flathead	GFA	80,312
15.98-FC-30-01630	Razorback Sucker—Parker Dam	GFA	57,598
15.KR89-0802-CIV	SRP Diversion Dam	GFA	944
15.98-FC-32-0050	Southwestern Willow Flycatcher Study	GFA	676,004
15.PAA-01-7002	Bureau of Land Management	NAA	483,816
15.R-0308736	Bureau of Land Management	NAA	5,000
15.JMP031033	Bureau of Land Management	NAA	5,000
15.02-FG-32-0180	Bureau of Reclamation	NAA	3,905
15.CA267097001, 1200-99-009, P7700033017	National Park Service	NAA	182,642
15.Unknown	Improving Communities Practice, <i>University of New Mexico</i> , Contract #s 4-57753-7810, 4-57754-7810	NAA	74,997
15.Unknown	Indian Children Program, <i>Utah State University</i> , Contract # C028604	NAA	282,474
15.01-FG-32-0100	USDOI/BOR Site Steward Support FFY01	PRA	5,000
15.AGKR940020LNRPAR	SHPO Site Steward IGA Multipartner	PRA	7,094
15.03FG340010	Yuma Valley Irrigator's Handbook	UAA	26,617
15.14482018101G951	Arizona CRM Rangeland Monitoring Workshops	UAA	375
15.201814G900	Arizona Firewise Communities 2003 Workshop in Tucson, Arizona	UAA	156
15.CA124800002UAZ32MDER020025	Cesu Operations/Security Systems	UAA	398
15.CA124800002UAZ40, CA124800002UAZ52	Editing and Printing the Padre Island NS Marine Debris Study	UAA	2,085
15.CA124800002UAZ41	National Register Rewrite of the Civilian Conservation Corps Historic District	UAA	1,806
15.CA124800002UAZ48	Planning and Design of Ruins Shelter for Convento Ruins	UAA	15,514
15.CA124800002UAZ80	Desert Southwest Cesu Operations at Historic Smith House	UAA	3,614
15.CA124800002UAZ81	Publication of Strategic Plan & Website Design for Mission Parks Initiative	UAA	10,003
15.CA124800002UAZ86	The Desert Speaks Programs on El Camino Real Del Terreno Adentro - Spanish Colonial Research Center	UAA	9,000
15.CA124800002UAZ92	Great Sand Dunes National Monument: Filming for Inclusion of KUAT/PBS Program The Desert Speaks	UAA	2,500
15.CA124800002UAZ94	NAGPRA Compliance with Nine Tribes Associated	UAA	23,920
15.CA235000010T0202	Traditional Properties Workshop	UAA	7,712
15.CMK0E020148	Professional Development for Personnel Teaching Indian Children with Special Needs	UAA	370,373
15.Unknown	Fire Ecology Workshops for PLT, <i>Project Learning Tree</i> , Contract # CK023935	UAA	10,244
15.Unknown	Hazardous Fuels Removal by Partners	UAA	16,076
15.Unknown	Wildfire Risk Reduction Instructional Specialist for Gila County, <i>Gila County</i> , Contract # CK025325181	UAA	38,738
15.09-FG-32-0090	State of Arizona Drought Planning	WCA	22,780

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15.99FC320140	Arizona Flood Warning System	WCA	45,922
	Total Department of the Interior		<u>\$ 25,537,724</u>
<u>DEPARTMENT OF JUSTICE</u>			
16.202	Offender Reentry Program	ASA	\$ 57,190
16.202	Offender Reentry Program	DJA	318,047
	16.202 Subtotal		<u>375,237</u>
16.523	Juvenile Accountability Incentive Block Grants	DJA	179,417
16.523	Juvenile Accountability Incentive Block Grants	GVA	3,315,106
16.523	Juvenile Accountability Incentive Block Grants	HDA	86,773
16.523	Juvenile Accountability Incentive Block Grants	HSA	23,265
16.523	Juvenile Accountability Incentive Block Grants	SPA	123,820
	16.523 Subtotal		<u>3,728,381</u>
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	GVA	1,119,903
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	HDA	72,803
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	NAA	111
16.540	Juvenile Justice and Delinquency Prevention—Allocation to States	UAA	2,524
	16.540 Subtotal		<u>1,195,341</u>
16.547	Victims of Child Abuse, <i>National CASA</i>	SPA	48,351
16.548	Title V—Delinquency Prevention Program	GVA	428,369
16.549	Part E— State Challenge Activities	GVA	100,753
16.550	State Justice Statistics Program for Statistical Analysis Centers	JCA	19,641
16.554	National Criminal History Improvement Program (NCHIP)	JCA	510,952
16.554	National Criminal History Improvement Program (NCHIP)	PSA	68,212
16.554	National Criminal History Improvement Program (NCHIP)	SPA	68,861
	16.554 Subtotal		<u>648,025</u>
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants	JCA	54,361
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants	PSA	42,651
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants	UAA	281,428
	16.560 Subtotal		<u>378,440</u>
16.564	Crime Laboratory Improvement—Combined Offender DNA Index System Backlog Reduction	PSA	1,336,582
16.575	Crime Victim Assistance	AGA	109,839
16.575	Crime Victim Assistance	ASA	2,357
16.575	Crime Victim Assistance	DCA	20,101
16.575	Crime Victim Assistance	GVA	32,190
16.575	Crime Victim Assistance	PSA	6,900,357
	16.575 Subtotal		<u>7,064,844</u>
16.576	Crime Victim Compensation	JCA	1,336,083
16.579	Byrne Formula Grant Program	ASA	31,749
16.579	Byrne Formula Grant Program	AGA	1,021,694
16.579	Byrne Formula Grant Program	DCA	37,619
16.579	Byrne Formula Grant Program	JCA	6,827,225
16.579	Byrne Formula Grant Program	LLA	3,580
16.579	Byrne Formula Grant Program	PSA	13,415
16.579	Byrne Formula Grant Program, <i>City of Tucson</i> , Contract # 026690	UAA	108,098
	16.579 Subtotal		<u>8,043,380</u>
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	AGA	239,196
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	JCA	73,651
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	PSA	515,233
	16.580 Subtotal		<u>828,080</u>

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
16.582	Crime Victim Assistance/Discretionary Grants	GVA	296
16.586	Violent Offender Incarceration and Truth in Sentencing Incentive Grants	DCA	131,880
16.588	Violence Against Women Formula Grants	GVA	1,882,725
16.588	Violence Against Women Formula Grants	PSA	33,000
16.588	Violence Against Women Formula Grants	SPA	58,776
	16.588 Subtotal		<u>1,974,501</u>
16.589	Rural Domestic Violence and Child Victimization Enforcement Grant Program	GVA	303,103
16.589	Rural Domestic Violence and Child Victimization Enforcement Grant Program	UAA	42,372
	16.589 Subtotal		<u>345,475</u>
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders	GVA	70,021
16.592	Local Law Enforcement Block Grants Program	JCA	215,309
16.593	Residential Substance Abuse Treatment for State Prisoners	DCA	417,511
16.593	Residential Substance Abuse Treatment for State Prisoners	DJA	577,946
16.593	Residential Substance Abuse Treatment for State Prisoners	JCA	401,359
	16.593 Subtotal		<u>1,396,816</u>
16.597	Motor Vehicle Theft Protection Act Program	ATA	49,470
16.606	State Criminal Alien Assistance Program	DCA	7,229,922
16.607	Bulletproof Vest Partnership Program	ADA	1,976
16.607	Bulletproof Vest Partnership Program	NAA	2,175
	16.607 Subtotal		<u>4,151</u>
16.609	Community Prosecution and Project Safe Neighborhoods	JCA	85,542
16.610	Regional Information Sharing Systems	PSA	4,198,219
16.710	Public Safety Partnership and Community Policing Grants	AGA	94,482
16.710	Public Safety Partnership and Community Policing Grants	ASA	82,821
16.710	Public Safety Partnership and Community Policing Grants	DCA	1,937
16.710	Public Safety Partnership and Community Policing Grants	PSA	780,080
16.710	Public Safety Partnership and Community Policing Grants, <i>Arizona Peace Officers Standards and Training Board (POST)</i>	ASA	22,632
	16.710 Subtotal		<u>981,952</u>
16.727	Enforcing Underage Drinking Laws Program	LLA	80,101
16.727	Enforcing Underage Drinking Laws Program	PSA	55,984
	16.727 Subtotal		<u>136,085</u>
16.733	National Incident Based Reporting System	JCA	62,112
16.733	National Incident Based Reporting System	PSA	107,392
	Subtotal 16.733		<u>169,504</u>
16.198A-PX-C619918	FBI Ft. Apache Safe Trails Task Force	PSA	9,228
16.DPS2001-199	U.S. Customs High Intensity Drug Trafficking Area Task Force	PSA	7,734
16.DPS99-326	FBI Violent Street Gang Task Force	PSA	8,540
16.S31-303202	FBI Forensics IGA	PSA	478,311
16.1999WAVX0004	Project to Combat Violent Crimes Against Women on Campus	UAA	88,940
16.Unknown	RICO Funds	AGA	141,495
16.Unknown	RICO Funds	LLA	15,324
16.Unknown	DEA Marijuana Eradication 2003	PSA	23,000
16.Unknown	DEA Organized Crime and Drug Enforcement Task Force	PSA	1,132
16.Unknown	FBI Desert Hawk Task Force	PSA	1,235
16.Unknown	FBI-Phoenix Joint Terrorism Task Force	PSA	18,292
16.Unknown	Homeland Security Grant Program 2003 First Responder Preparedness	UAA	12,363
16.Unknown	State Preparedness Program	UAA	49,944
	Total Department of Justice		<u>\$ 43,376,188</u>

State of Arizona
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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
<u>DEPARTMENT OF LABOR</u>			
<u>Employment Services Cluster</u>			
17.207	Employment Service	AFA	\$ 54,947
17.207	Employment Service	ASA	33,944
17.207	Employment Service	DEA	11,404,445
17.207	Employment Service	DFA	2,532
17.207	Employment Service	GVA	1,175,350
	17.207 Subtotal		<u>12,671,218</u>
17.801	Disabled Veterans' Outreach Program (DVOP)	DEA	1,111,406
17.804	Local Veterans' Employment Representative Program	DEA	773,979
	Employment Services Cluster Subtotal		<u>14,556,603</u>
<u>WIA Cluster</u>			
17.258	WIA Adult Program	DEA	14,308,305
17.258	WIA Adult Program	EDA	314,062
17.258	WIA Adult Program, <i>Pima County</i> , Contract # 1139P1307040702	UAA	113
	17.258 Subtotal		<u>14,622,480</u>
17.259	WIA Youth Activities	DEA	19,049,871
17.259	WIA Youth Activities	EDA	201,897
	17.259 Subtotal		<u>19,251,768</u>
17.260	WIA Dislocated Workers	DEA	13,793,473
17.260	WIA Dislocated Workers	EDA	44,866
17.260	WIA Dislocated Workers	GVA	174,361
	17.260 Subtotal		<u>14,012,700</u>
	WIA Cluster Subtotal		<u>47,886,948</u>
<u>Other Department of Labor Programs</u>			
17.002	Labor Force Statistics	DEA	1,054,453
17.005	Compensation and Working Conditions	ICA	81,762
17.203	Labor Certification for Alien Workers	DEA	339,038
17.225	Unemployment Insurance	DEA	429,727,597
17.235	Senior Community Service Employment Program, <i>National Council on Aging</i>	DEA	1,449,464
17.245	Trade Adjustment Assistance—Workers	DEA	2,367,628
17.245	Trade Adjustment Assistance—Workers	EDA	3,237,071
	17.245 Subtotal		<u>5,604,699</u>
17.249	Employment Services and Job Training Pilots—Demonstrations and Research	SDA	7,705
17.250	Job Training Partnership Act, <i>Yuma Private Industry Council</i>	EPA	442,641
17.253	Welfare-to-Work Grants to States and Localities	DEA	133,879
17.261	Employment and Training Administration Pilots, Demonstrations, and Research Projects	DEA	275,471
17.266	Work Incentives Grant	DEA	97,253
17.503	Occupational Safety and Health—State Program	ICA	1,435,033
17.504	Consultation Agreements	ICA	646,093
17.600	Mine Health and Safety Grants	MIA	252,784
17.108203	Assessing and Meeting Business Needs in Arizona's Rural Tourism Community	ASA	27,003
17.Unknown	Case Management Staff for One Stop Center, <i>Pima County</i> , Contract # 0139A1328020703	UAA	127,174
17.Unknown	Provide Workforce Services to Female Ex-Offenders and Displaced Homemakers, <i>Pima County</i> , Contract # 0139A1329120703	UAA	96,822
	Total Department of Labor		<u>\$ 504,242,422</u>
<u>DEPARTMENT OF STATE</u>			
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>American Council of Learned Societies</i>	ASA	\$ 12,643
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>Social Science Research Council</i>	ASA	8,960

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>National Council for Eurasian & East European Research</i>	ASA	5,999
	19.300 Subtotal		27,602
19.415	Professional Exchanges—Annual Open Grant	ASA	71,568
19.418	Educational Exchange—Fulbright American Studies Institutes, <i>Council for International Exchange of Scholars</i>	ASA	6,927
19.424	Educational Partnerships Program	ASA	35,461
19.Unknown	Cooperative Grants, <i>National Association for Foreign Student Affairs</i> , Contract # CK70950	UAA	4,812
	Total Department of State		\$ 146,370
<u>DEPARTMENT OF TRANSPORTATION</u>			
<u>Highway Planning and Construction Cluster</u>			
20.205	Highway Planning and Construction	ADA	\$ 125,628
20.205	Highway Planning and Construction	DTA	419,569,426
20.205	Highway Planning and Construction	GFA	40,365
20.205	Highway Planning and Construction	PRA	926
20.205	Highway Planning and Construction	PSA	49,290
	Highway Planning and Construction Cluster Subtotal		419,785,635
<u>Highway Safety Cluster</u>			
20.600	State and Community Highway Safety	DTA	1,004,896
20.600	State and Community Highway Safety	GHA	1,569,646
20.600	State and Community Highway Safety	LLA	45,927
20.600	State and Community Highway Safety	PSA	674,997
20.600	State and Community Highway Safety	UAA	12,700
	20.600 Subtotal		3,308,166
20.601	Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	GHA	521,004
20.601	Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	PSA	10,135
	20.601 Subtotal		531,139
20.604	Safety Incentive Grants for Use of Seatbelts	GHA	492,119
20.604	Safety Incentive Grants for Use of Seatbelts	PSA	918
	20.604 Subtotal		493,037
20.605	Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons	GHA	432,155
	Highway Safety Cluster Subtotal		4,764,497
<u>Other Department of Transportation Programs</u>			
20.100	Aviation Education	ASA	150,610
20.106	Airport Improvement Program	DTA	479,940
20.109	Air Transportation Centers of Excellence	ASA	1,000
20.215	Highway Training and Education	DTA	179,343
20.215	Highway Training and Education, <i>South Carolina State University</i>	ASA	32,585
	20.215 Subtotal		211,928
20.217	Motor Carrier Safety	DTA	433,908
20.218	National Motor Carrier Safety	PSA	3,407,499
20.219	Recreational Trails Program	DTA	70,645
20.219	Recreational Trails Program	PRA	526,728
	20.219 Subtotal		597,373
20.505	Federal Transit—Metropolitan Planning Grants	DTA	814,027
20.509	Formula Grants for Other Than Urbanized Areas	DTA	2,779,590
20.513	Capital Assistance Program for Elderly Persons and Persons with Disabilities	DTA	1,955,000
20.700	Pipeline Safety	CCA	315,313
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants	MAA	131,643
20.04010108	Northern Taiga Ventures Inc.	ASA	49,606
20.KR031090TRN	Arizona Department of Transportation	ASA	26,826

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20.DTCG3799P656001	Coast Guard Water Safety Center	PRA	4,263
20.DTFH6102P00359	Traffic Model Conference	UAA	17,903
20.Unknown	Arizona Cyberport Project Study	UAA	35,386
20.Unknown	Buckle-Up Safety, <i>National Rural Health Association</i> , Contract # CK8401	UAA	1,651
	Total Department of Transportation		<u>\$ 435,963,598</u>
<u>DEPARTMENT OF THE TREASURY</u>			
21.Unknown	Jobs and Growth Tax Relief Reconciliation Act of 2003, P. L. 108-27—Temporary State Fiscal Relief	ADA	\$ 87,234,115
21.Unknown	ATF Black Biscuit Task Force	PSA	20,311
	Total Department of the Treasury		<u>\$ 87,254,426</u>
<u>EQUAL EMPLOYMENT OPPORTUNITY COMMISSION</u>			
30.001	Employment Discrimination—Title VII of the Civil Rights Act of 1964	AGA	\$ 254,910
	Total Equal Employment Opportunity Commission		<u>\$ 254,910</u>
<u>GENERAL SERVICES ADMINISTRATION</u>			
39.003	Donation of Federal Surplus Personal Property	ADA	\$ 1,435,458
39.011	Election Reform Payments	STA	3,662,058
39.P02040589	Planning and Initial Design Phase for the Institute of Biomedical Science and Biotechnology	UAA	1,675,938
	Total General Services Administration		<u>\$ 6,773,454</u>
<u>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</u>			
43.001	Aerospace Education Services Program	ASA	\$ 106,028
43.NAG26074	A Series of "Astrobiology Online" Courses for Teachers	UAA	33,906
43.NAG510267	Rock Spectra Corrected for Sky Illumination	UAA	8,945
43.NAG1302025	National Aeronautics and Space Administration	WCA	399,142
43.Unknown	NASA Work Force Program	NAA	21,030
43.Unknown	Curriculum and Training Support for Navigators Extra-Solar Planet Research, <i>Jet Propulsion Laboratory</i> , Contract # 1251186	UAA	79,765
43.Unknown	Integration of Hubble Space Telescope Science in the K-5 Science Curriculum, <i>Space Telescope Science Institute</i> , Contract # HSTGO0917306A	UAA	41,664
	Total National Aeronautics and Space Administration		<u>\$ 690,480</u>
<u>NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES</u>			
45.024	Promotion of the Arts—Grants to Organizations and Individuals	ASA	\$ 31,689
45.024	Promotion of the Arts—Grants to Organizations and Individuals	UAA	18,235
45.024	Promotion of the Arts—Grants to Organizations and Individuals, <i>Arizona Commission on the Arts</i>	ASA	29,315
45.024	Promotion of the Arts—Grants to Organizations and Individuals, <i>New England Foundation for the Arts</i>	ASA	9,190
	45.024 Subtotal		<u>88,429</u>
45.025	Promotion of the Arts—Partnership Agreements	HUA	687,518
45.129	Promotion of the Humanities—Federal/State Partnership	PRA	27,539
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i>	ASA	7,757
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i>	PRA	655
45.129	Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , Contract #s GG1938312003, GG4439472003, GG2240772004, GG1940762004, GG3341352004, GG5541462004	UAA	15,929
	45.129 Subtotal		<u>51,880</u>
45.130	Promotion of the Humanities—Challenge Grants	UAA	61,524

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45.149	Promotion of the Humanities—Division of Preservation and Access	ASA	438
45.149	Promotion of the Humanities—Division of Preservation and Access	UAA	6,736
	45.149 Subtotal		7,174
45.160	Promotion of the Humanities—Fellowships and Stipends	ASA	1,400
45.162	Promotion of the Humanities—Teaching and Learning Resources and Curriculum Development	ASA	71,778
45.163	Promotion of the Humanities—Professional Development	ASA	241
45.163	Promotion of the Humanities—Professional Development	UAA	121,993
	45.163 Subtotal		122,234
45.310	State Library Program	HIA	5,861
45.310	State Library Program	LAA	3,046,426
45.310	State Library Program	NAA	2,864
45.310	State Library Program	UAA	164,076
	45.310 Subtotal		3,219,227
45.312	National Leadership Grants	LAA	63,533
45.312	National Leadership Grants	UAA	73,878
	45.312 Subtotal		137,411
45.313	Librarians for the 21st Century	UAA	76,584
45.0177003002	Saving Southwest Traditions: The Pottery Project	UAA	50,052
45.0344001091	Ceramic Artist-in-Residency Program	UAA	9,296
45.0433005046	Movement Revolution: New African Dance	UAA	20,000
45.IG0002055602	General Operating Support 2002-2004	UAA	63,801
45.ND0002400	The Ages Project: A Binational Effort to Preserve and Share the Historical Archives of Sonora, Mexico	UAA	47,317
45.NE10008	Knowledge River Institute: Professional Education for Hispanic and Native Americans	UAA	62,873
45.Unknown	Community Engagement Project	UAA	7,644
45.Unknown	Weaving Workshop	UAA	2,000
45.Unknown	Visiting Poets and Writer's Reading Series	UAA	3,825
45.Unknown	Public Health Information Centers, Arizona Turning Point Project	UAA	7,284
45.Unknown	LARC Editing & Archive Collections	UAA	15,263
45.Unknown	National Leadership Grant	UAA	14,074
45.Unknown	Creative Photo Term Endowment	UAA	43,032
45.Unknown	NEA Challenge Permanent Endowment	UAA	64,258
45.Unknown	NEH/ASM Educational Endowment	UAA	162,602
45.Unknown	Akram Khan, <i>New England Foundation for the Arts</i> , Contract # FY04296	UAA	1,400
45.Unknown	Shen Wei Dance Arts/Rite of Spring, <i>New England Foundation for the Arts</i> , Contract # FY04309	UAA	6,250
45.Unknown	Movement Revolution, <i>New England Foundation for the Arts</i> , Contract # FY04279	UAA	8,000
45.Unknown	Reggie Wilson Fist and Heel Performance, <i>New England Foundation for the Arts</i> , Contract # FY04237	UAA	4,350
45.Unknown	Rennie Harris Puremovement, <i>New England Foundation for the Arts</i> , Contract # FY03376	UAA	2,000
45.Unknown	Ronald K. Brown, <i>Western States Arts Federation</i> , Contract # CK4737	UAA	2,000
45.Unknown	Rennie Harris Puremovement, <i>Western States Arts Federation</i> , Contract # CK4787	UAA	2,000
	Total National Foundation on the Arts and the Humanities		\$ 5,124,480
<u>NATIONAL SCIENCE FOUNDATION</u>			
47.041	Engineering Grants	ASA	\$ 190,028
47.041	Engineering Grants	NAA	100,050
47.041	Engineering Grants	UAA	35,848
47.041	Engineering Grants, <i>Texas A & M University</i>	ASA	156,877
47.041	Engineering Grants, <i>Texas Engineering Experiment Station</i>	ASA	112,818
	47.041 Subtotal		595,621
47.049	Mathematical and Physical Sciences	ASA	29,934
47.049	Mathematical and Physical Sciences	UAA	119,129
	47.049 Subtotal		149,063
47.050	Geosciences	UAA	79,074

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
47.070	Computer and Information Science and Engineering	ASA	25,491
47.070	Computer and Information Science and Engineering	UAA	58,737
	47.070 Subtotal		84,228
47.074	Biological Sciences	NAA	238,928
47.074	Biological Sciences	UAA	39,348
	47.074 Subtotal		278,276
47.075	Social, Behavioral, and Economic Sciences	ASA	277,416
47.075	Social, Behavioral, and Economic Sciences	UAA	62,886
47.075	Social, Behavioral, and Economic Sciences, <i>Florida State-Association Institutional Research</i>	ASA	37,039
	47.075 Subtotal		377,341
47.076	Education and Human Resources	ASA	5,120,741
47.076	Education and Human Resources	NAA	369,550
47.076	Education and Human Resources	UAA	1,678,073
47.076	Education and Human Resources, <i>University of Miami</i>	ASA	113,734
47.076	Education and Human Resources, <i>University of Nebraska</i> , Contract # 2505360001002	UAA	19,924
	47.076 Subtotal		7,302,022
47.Unknown	Physics Teacher Education Coalition (PhysTEC), <i>American Physical Society</i> , Contract # CK096771	UAA	75,304
47.Unknown	Graduate Research Assistantship, <i>AURA Inc.</i> , Contract # C10436A	UAA	9,290
47.Unknown	Editorial Services for Reviews Project Linguist - The Linguist List, <i>Eastern Michigan University</i> , Contract # P0002068	UAA	5,149
	Total National Science Foundation		\$ 8,955,368
<u>SMALL BUSINESS ADMINISTRATION</u>			
59.SBAHQ-01-I-0044	SBA Tucson Lab Infrastructure	PSA	\$ 24,094
59.Unknown	AZ-FAST	EPA	69,711
	Total Small Business Administration		\$ 93,805
<u>DEPARTMENT OF VETERANS AFFAIRS</u>			
64.124	All-Volunteer Force Educational Assistance	VSA	\$ 327,639
64.P0626D31851	Disbursement Agreement for Residents	UAA	4,054,544
64.Unknown	Veterans Reporting Fee	NAA	42,246
64.Unknown	VA Headcount	UAA	4,385
	Total Department of Veterans Affairs		\$ 4,428,814
<u>ENVIRONMENTAL PROTECTION AGENCY</u>			
66.001	Air Pollution Control Program Support	EVA	\$ 6,421,855
66.032	State Indoor Radon Grants	AEA	52,777
66.034	Survey Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act	EVA	410,409
66.034	Survey Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act	NAA	77,588
	66.034 Subtotal		487,997
66.454	Water Quality Management Planning	EVA	149,775
66.458	Capitalization Grants for Clean Water State Revolving Funds	WFA	527,687
66.460	Nonpoint Source Implementation Grants	EVA	3,530,298
66.460	Nonpoint Source Implementation Grants	UAA	97,460
	66.460 Subtotal		3,627,758
66.463	Water Quality Cooperative Agreements	EVA	215,645
66.463	Water Quality Cooperative Agreements	NAA	91,997
	66.463 Subtotal		307,642

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66.467	Wastewater Operator Training Grant Program (Technical Assistance)	NAA	3,696
66.468	Capitalization Grants for Drinking Water State Revolving Funds	EVA	1,118,140
66.468	Capitalization Grants for Drinking Water State Revolving Funds	WFA	1,169,228
	66.468 Subtotal		<u>2,287,368</u>
66.474	Water Protection Grants to the States	EVA	122,736
66.500	Environmental Protection—Consolidated Research	GFA	150,603
66.605	Performance Partnership Grants	EVA	5,555,247
66.606	Surveys, Studies, Investigations and Special Purpose Grants	AGA	24,441
66.606	Surveys, Studies, Investigations and Special Purpose Grants	AHA	1,973
66.606	Surveys, Studies, Investigations and Special Purpose Grants	EVA	438,241
66.606	Surveys, Studies, Investigations and Special Purpose Grants	UAA	21,248
	66.606 Subtotal		<u>485,903</u>
66.607	Training and Fellowships for the Environmental Protection Agency	AGA	294,095
66.607	Training and Fellowships for the Environmental Protection Agency	ASA	18,323
66.607	Training and Fellowships for the Environmental Protection Agency	NAA	275,091
	66.607 Subtotal		<u>587,509</u>
66.608	Environmental Information Exchange Network Grant Program	EVA	228,109
66.608	Environmental Information Exchange Network Grant Program	NAA	60,487
	66.608 Subtotal		<u>288,596</u>
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	AHA	334,474
66.700	Consolidated Pesticide Enforcement Cooperative Agreements	SBA	109,500
	66.700 Subtotal		<u>443,974</u>
66.708	Pollution Prevention Grants Program	EVA	147,337
66.709	Capacity Building Grants and Cooperative Agreements for States and Tribes	EVA	125,141
66.709	Capacity Building Grants and Cooperative Agreements for States and Tribes	NAA	85,726
	66.709 Subtotal		<u>210,867</u>
66.801	Hazardous Waste Management State Program Support	EVA	2,199,863
66.802	Superfund State, Political Subdivision, and Indian Tribe Site—Specific Cooperative Agreements	EVA	1,039,287
66.804	State and Tribal Underground Storage Tanks Program	EVA	318,001
66.805	Leaking Underground Storage Tank Trust Fund Program	EVA	1,247,152
66.808	Solid Waste Management Assistance	EVA	2,898
66.810	Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program	MAA	24,269
66.817	State and Tribal Response Program Grants	EVA	361,347
66.3W2774NAEX	Crop Time Line on Vegetables and Melons in Arizona	UAA	3,164
66. X-82911201-0, X-82811501-0, XA-97953001, T-83038801-0, X1-98062001-0, X-82850901-0, U-91591901-0	Environmental Protection Programs	NAA	2,039,919
66.NE97977401	Environmental Education - Ecostart Program and the San Pedro River	UAA	8,044
66.Unknown	Water Quality Assessment, <i>Fort Peck Tribes</i> , Contract # 51166	NAA	126,347
	Total Environmental Protection Agency		<u>\$ 29,229,618</u>
<u>DEPARTMENT OF ENERGY</u>			
81.041	State Energy Program	EPA	\$ 671,396
81.042	Weatherization Assistance for Low-Income Persons	EPA	1,066,282
81.049	Office of Science Financial Assistance Program	ASA	224,497
81.049	Office of Science Financial Assistance Program	UAA	1,039,501
81.049	Office of Science Financial Assistance Program, <i>Sandia National Laboratory</i>	ASA	23,692
	81.049 Subtotal		<u>1,287,690</u>
81.086	Conservation Research and Development	EPA	2,903

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81.087	Renewable Energy Research and Development	EPA	22,000
81.089	Fossil Energy Research and Development	GSA	22,146
81.105	National Industrial Competitiveness through Energy, Environment, and Economics	EPA	168,204
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions	AEA	52,264
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	EPA	17,998
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	NAA	987
	81.117 Subtotal		18,985
81.119	State Energy Program Special Projects	EPA	419,776
81.119	State Energy Program Special Projects	NAA	5,084
	81.119 Subtotal		424,860
81.DEAC36-99-GO10337	NREL 03004: MURA (REAP) Conference and Review Meeting at Arizona State University East, <i>National Renewable Energy Laboratory (formerly SERI)</i>	ASA	23,855
81.LAM-2-32215	National Renewable Energy Laboratory	NAA	5,318
81.DEFG65-03WA23843	Department of Energy	NAA	29,408
81.Unknown	Petroleum Escrow Funds	EPA	762,207
81.Unknown	Petroleum Escrow Funds	SFA	6,667,944
81.Unknown	National Library of Medicine Second Year, <i>Oak Ridge Associated Universities</i> , Contract # CK204294	UAA	5,036
	Total Department of Energy		\$ 11,230,498
<u>FEDERAL EMERGENCY MANAGEMENT AGENCY</u>			
83.551	Project Impact—Building Disaster Resistant Communities	MAA	\$ 16,186
83.Unknown	Workshops for Homeland Security Geospatial Data Management in Arizona	UAA	7,222
	Total Federal Emergency Management Agency		\$ 23,408
<u>DEPARTMENT OF EDUCATION</u>			
<u>Special Education Cluster (IDEA)</u>			
84.027	Special Education—Grants to States	ASA	\$ 113,260
84.027	Special Education—Grants to States	DCA	9,719
84.027	Special Education—Grants to States	DJA	172,989
84.027	Special Education—Grants to States	EDA	132,566,946
84.027	Special Education—Grants to States	HSA	1,512
84.027	Special Education—Grants to States	NAA	152,656
84.027	Special Education—Grants to States	SDA	715,899
84.027	Special Education—Grants to States	SPA	59,582
84.027	Special Education—Grants to States	UAA	170,091
84.027	Special Education—Grants to States, <i>Southwest Human Development</i>	ASA	58,471
	84.027 Subtotal		134,021,125
84.173	Special Education—Preschool Grants	EDA	5,555,525
84.173	Special Education—Preschool Grants	SDA	60,941
	84.173 Subtotal		5,616,466
	Special Education Cluster Subtotal		139,637,591
<u>TRIO Cluster</u>			
84.042	TRIO—Student Support Services	ASA	550,556
84.042	TRIO—Student Support Services	NAA	255,028
84.042	TRIO—Student Support Services	UAA	201,345
	84.042 Subtotal		1,006,929
84.044	TRIO—Talent Search	NAA	325,452
84.047	TRIO—Upward Bound	ASA	765,630

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84.047	TRIO—Upward Bound	NAA	801,864
	84.047 Subtotal		<u>1,567,494</u>
84.066	TRIO—Educational Opportunity Centers	ASA	629,711
84.066	TRIO—Educational Opportunity Centers	NAA	397,879
	84.066 Subtotal		<u>1,027,590</u>
84.217	TRIO—McNair Post-Baccalaureate Achievement	UAA	297,651
	Trio Cluster Subtotal		<u>4,225,116</u>
	<u>Other Department of Education Programs</u>		
84.002	Adult Education—State Grant Program	EDA	8,502,586
84.010	Title I Grants to Local Educational Agencies	EDA	175,394,603
84.010	Title I Grants to Local Educational Agencies	SDA	199,140
	84.010 Subtotal		<u>175,593,743</u>
84.011	Migrant Education—State Grant Program	ASA	36,588
84.011	Migrant Education—State Grant Program	EDA	6,015,039
	84.011 Subtotal		<u>6,051,627</u>
84.013	Title I Program for Neglected and Delinquent Children	DCA	252,641
84.013	Title I Program for Neglected and Delinquent Children	DJA	841,899
84.013	Title I Program for Neglected and Delinquent Children	EDA	21,623
84.013	Title I Program for Neglected and Delinquent Children	SPA	734,580
	84.013 Subtotal		<u>1,850,743</u>
84.015	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	ASA	175,792
84.015	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	UAA	987,094
	84.015 Subtotal		<u>1,162,886</u>
84.016	Undergraduate International Studies and Foreign Language Programs	ASA	29,793
84.017	International Research and Studies	UAA	181,349
84.021	Overseas—Group Projects Abroad	ASA	51,261
84.021	Overseas—Group Projects Abroad	UAA	54,245
	84.021 Subtotal		<u>105,506</u>
84.048	Vocational Education—Basic Grants to States	ASA	236,166
84.048	Vocational Education—Basic Grants to States	DJA	205,294
84.048	Vocational Education—Basic Grants to States	EDA	22,064,836
84.048	Vocational Education—Basic Grants to States	NAA	113,615
84.048	Vocational Education—Basic Grants to States	UAA	239,943
	84.048 Subtotal		<u>22,859,854</u>
84.069	Leveraging Educational Assistance Partnership	NAA	38,230
84.069	Leveraging Educational Assistance Partnership	PEA	568,637
	84.069 Subtotal		<u>606,867</u>
84.116	Fund for the Improvement of Postsecondary Education	ASA	131,769
84.116	Fund for the Improvement of Postsecondary Education	NAA	76,026
84.116	Fund for the Improvement of Postsecondary Education	UAA	1,034,577
84.116	Fund for the Improvement of Postsecondary Education, <i>Baylor University</i>	ASA	3,939
84.116	Fund for the Improvement of Postsecondary Education, <i>Pennsylvania State University</i>	ASA	1,715
84.116	Fund for the Improvement of Postsecondary Education, <i>University of California at Irvine</i>	ASA	5,385
84.116	Fund for the Improvement of Postsecondary Education, <i>Baylor University</i> , Contract # 4600437695	UAA	5,000
	84.116 Subtotal		<u>1,258,411</u>
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	ASA	446,318
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	DEA	40,327,459
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	DJA	59,731

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84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	SDA	348,978
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States	UAA	59,932
	84.126 Subtotal		41,242,418
84.129	Rehabilitation Long-Term Training	UAA	500,331
84.153	Business and International Education Projects	NAA	69,404
84.158	Secondary Education and Transitional Services for Youth with Disabilities	EDA	136,307
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind, <i>El Camino College</i> , Contract # Ck24350752	UAA	2,856
84.162	Immigrant Education	EDA	3,674
84.169	Independent Living—State Grants	DEA	345,731
84.170	Javits Fellowships	ASA	76,888
84.170	Javits Fellowships	UAA	131,184
	84.170 Subtotal		208,072
84.177	Rehabilitation Services—Independent Living Services for Older Individuals Who are Blind	DEA	617,517
84.181	Special Education—Grants for Infants and Families with Disabilities	DEA	7,597,388
84.181	Special Education—Grants for Infants and Families with Disabilities	HSA	1,080,090
84.181	Special Education—Grants for Infants and Families with Disabilities	SDA	483,230
	84.181 Subtotal		9,160,708
84.184	Safe and Drug-Free Schools and Communities—National Programs	ASA	4,210
84.184	Safe and Drug-Free Schools and Communities—National Programs	EDA	440,867
84.184	Safe and Drug-Free Schools and Communities—National Programs	UAA	198,519
84.184	Safe and Drug-Free Schools and Communities—National Programs, <i>Washington Elementary School District (WESD)</i>	ASA	149,138
84.184	Safe and Drug-Free Schools and Communities—National Programs, <i>Tucson Unified School District</i> , Contract #s TUSD10000076089, TUSD10000059738	UAA	424,073
	84.184 Subtotal		1,216,807
84.185	Byrd Honors Scholarships	EDA	887,750
84.185	Byrd Honors Scholarships	UAA	345,750
	84.185 Subtotal		1,233,500
84.186	Safe and Drug-Free Schools and Communities—State Grants	AGA	163,587
84.186	Safe and Drug-Free Schools and Communities—State Grants	EDA	5,463,789
84.186	Safe and Drug-Free Schools and Communities—State Grants	GVA	1,201,108
84.186	Safe and Drug-Free Schools and Communities—State Grants	MAA	2,130
84.186	Safe and Drug-Free Schools and Communities—State Grants	NAA	5,373
84.186	Safe and Drug-Free Schools and Communities—State Grants	SDA	3,595
84.186	Safe and Drug-Free Schools and Communities—State Grants	SPA	3,759
84.186	Safe and Drug-Free Schools and Communities—State Grants	UAA	4,879
	84.184 Subtotal		6,848,220
84.187	Supported Employment Services for Individuals with Severe Disabilities	DEA	633,424
84.195	Bilingual Education—Professional Development	ASA	338,926
84.195	Bilingual Education—Professional Development	NAA	659,268
84.195	Bilingual Education—Professional Development	UAA	660,424
	84.195 Subtotal		1,658,618
84.196	Education for Homeless Children and Youth	EDA	592,960
84.203	Star Schools, <i>Gallup McKinley County School</i>	ASA	227,503
84.203	Star Schools, <i>Gallup McKinley County School</i> , Contract #s 245902, 233652	NAA	180,893
	84.203 Subtotal		408,396
84.213	Even Start—State Educational Agencies	EDA	3,248,066
84.214	Even Start—Migrant Education	EDA	171,282
84.215	Fund for the Improvement of Education	EDA	203,829
84.215	Fund for the Improvement of Education	UAA	77,402
84.215	Fund for the Improvement of Education, <i>Dysart Unified School District</i>	ASA	13,520

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84.215	Fund for the Improvement of Education, <i>Phoenix Union High School District</i>	ASA	4,779
84.215	Fund for the Improvement of Education, <i>State of Washington Superintendent of Public Schools</i>	ASA	235,082
84.215	Fund for the Improvement of Education, <i>Tucson Unified School District</i> , Contract #s TUSD10000069412, TUSD10000077373	UAA	18,039
	84.215 Subtotal		552,651
84.224	Assistive Technology	NAA	496,003
84.235	Rehabilitation Services Demonstration and Training Programs	NAA	147,155
84.243	Tech-Prep Education	EDA	2,264,585
84.246	Rehabilitation Short-Term Training	NAA	270,442
84.264	Rehabilitation Training—Continuing Education, <i>San Diego State University Foundation</i> , Contract #s 52010BP12087806212, 52010CP12087806212JLS	UAA	76,800
84.265	Rehabilitation Training—State Vocational Rehabilitation Unit In-Service Training	DEA	114,234
84.276	Goals 2000—State and Local Education Systemic Improvement Grants, <i>Tempe Union High School District</i>	ASA	520,699
84.281	Eisenhower Professional Development State Grants	BRA	406,444
84.281	Eisenhower Professional Development State Grants	DCA	570
84.281	Eisenhower Professional Development State Grants	DJA	241,395
84.281	Eisenhower Professional Development State Grants	EDA	853,971
84.281	Eisenhower Professional Development State Grants	NAA	88,228
84.281	Eisenhower Professional Development State Grants	SDA	30,305
84.281	Eisenhower Professional Development State Grants	SPA	160,584
84.281	Eisenhower Professional Development State Grants	UAA	76,544
	84.186 Subtotal		1,858,041
84.282	Charter Schools	ASA	385,313
84.287	Twenty-First Century Community Learning Centers	ASA	45,434
84.287	Twenty-First Century Community Learning Centers	EDA	6,306,018
	84.287 Subtotal		6,351,452
84.295	Ready-To-Learn Television, <i>Corporation for Public Broadcasting</i>	ASA	41,892
84.295	Ready-To-Learn Television, <i>Public Broadcasting Service</i>	ASA	34,112
84.295	Ready-To-Learn Television, <i>Public Broadcasting Service</i> , Contract # R295A000002	UAA	48,824
	84.295 Subtotal		124,828
84.298	State Grants for Innovative Programs	DCA	1,312
84.298	State Grants for Innovative Programs	DJA	6,594
84.298	State Grants for Innovative Programs	EDA	6,232,177
84.298	State Grants for Innovative Programs	SDA	5,598
84.298	State Grants for Innovative Programs	SPA	10,868
	84.298 Subtotal		6,256,549
84.299	Indian Education—Special Programs	ASA	536,804
84.299	Indian Education—Special Programs	NAA	505,203
	84.299 Subtotal		1,042,007
84.303	Technology Innovation Challenge Grants, <i>Creighton School District</i>	ASA	101,345
84.303	Technology Innovation Challenge Grants, <i>Gallup McKinley County Schools</i> , Contract #s 233670, 247327	NAA	151,083
84.303	Technology Innovation Challenge Grants, <i>Bridges Demonstration Project</i> , Contract # CK26998	UAA	129
	84.303 Subtotal		252,557
84.314	Even Start—Statewide Family Literacy Program	EDA	33,207
84.315	Capacity Building for Traditionally Underserved Populations	NAA	227,084
84.318	Education Technology State Grants	EDA	8,667,880
84.318	Education Technology State Grants	SDA	75,010
84.318	Education Technology State Grants	SPA	22,456
	84.318 Subtotal		8,765,346
84.323	Special Education—State Program Improvement Grants for Children with Disabilities	ASA	34,551

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84.323	Special Education—State Program Improvement Grants for Children with Disabilities	EDA	1,108,124
84.323	Special Education—State Program Improvement Grants for Children with Disabilities	NAA	13,373
84.323	Special Education—State Program Improvement Grants for Children with Disabilities	UAA	5,800
	84.323 Subtotal		1,161,848
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities	ASA	320,807
84.324	Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities	SDA	13,574
	84.324 Subtotal		334,381
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	ASA	277,496
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	NAA	168,941
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities	UAA	1,579,578
84.325	Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities, <i>University of North Carolina</i> , Contract # H325B000003	UAA	24,229
	84.325 Subtotal		2,050,244
84.326	Special Education—Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	EDA	19,444
84.326	Special Education—Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	SDA	160,292
	84.326 Subtotal		179,736
84.329	Special Education—Studies and Evaluations	NAA	66,683
84.330	Advanced Placement Program	EDA	4,260
84.330	Advanced Placement Program, <i>Western Interstate Commission for Higher Education</i>	EDA	37,688
	84.330 Subtotal		41,948
84.331	Grants to States for Incarcerated Youth Offenders	DCA	228,266
84.332	Comprehensive School Reform Demonstration	EDA	3,284,917
84.333	Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education	UAA	361,485
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	NAA	4,978,626
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	SDA	58,617
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs	UAA	798,833
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Sacaton School District</i>	ASA	103,645
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Tucson Unified School District</i> , Contract # TUSD10000065100	UAA	98,128
	84.334 Subtotal		6,037,849
84.335	Child Care Access Means Parents in School	ASA	233,514
84.335	Child Care Access Means Parents in School	UAA	131,947
	84.335 Subtotal		365,461
84.336	Teacher Quality Enhancement Grants	ASA	2,229,356
84.336	Teacher Quality Enhancement Grants	GVA	25,842
84.336	Teacher Quality Enhancement Grants	NAA	529,305
84.336	Teacher Quality Enhancement Grants	UAA	333,863
	84.336 Subtotal		3,118,366
84.339	Learning Anytime Anywhere Partnerships	ASA	56,777
84.339	Learning Anytime Anywhere Partnerships	UAA	90,734
	84.339 Subtotal		147,511
84.340	Class Size Reduction	EDA	5,103,159
84.342	Preparing Tomorrow's Teachers to Use Technology	ASA	355,833
84.342	Preparing Tomorrow's Teachers to Use Technology	NAA	332,921

State of Arizona
 Schedule of Expenditures Of Federal Awards
 Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
84.342	Preparing Tomorrow's Teachers to Use Technology	UAA	319,972
	84.342 Subtotal		<u>1,008,726</u>
84.346	Vocational Education—Occupational and Employment Information State Grants	EDA	121,390
84.348	Title I Accountability Grants	EDA	482,214
84.349	Early Childhood Educator Professional Development	ASA	197,467
84.350	Transition to Teaching	ASA	92,331
84.350	Transition to Teaching	EDA	487,717
	84.350 Subtotal		<u>580,048</u>
84.352	School Renovation Grants	EDA	2,819,624
84.357	Reading First State Grants	EDA	15,182,683
84.357	Reading First State Grants, <i>State of Washington Superintendent of Public Schools</i>	ASA	6,864
	84.357 Subtotal		<u>15,189,547</u>
84.358	Rural Education	EDA	704,914
84.359	Early Reading First	ASA	275,701
84.363	School Leadership	ASA	693,640
84.365	English Language Acquisition Grants	EDA	12,287,530
84.366	Mathematics and Science Partnerships	EDA	343,072
84.367	Improving Teacher Quality State Grants	BRA	494,442
84.367	Improving Teacher Quality State Grants	EDA	35,860,823
84.367	Improving Teacher Quality State Grants	NAA	324,102
84.367	Improving Teacher Quality State Grants	UAA	18,883
	84.367 Subtotal		<u>36,698,250</u>
84.369	Grants for State Assessments and Related Activities	EDA	5,676,612
84.815	Troops to Teachers	EDA	87,350
84.928	Northern Arizona Writing Project, <i>University of Berkeley at California</i> , Contract # 92-AZ02	NAA	4,061
84.92AZ01	National Writing Project, <i>National Writing Project</i>	ASA	35,243
84.CNTR366	ADE Training Facility, Contract # 221	ASA	1,069
84.H158A60004	Secondary Education and Transitional Services for Youth and Disabilities	ASA	1,714
84.0026840305	Teams Distance Learning Star Schools Grant, <i>Los Angeles County Office of Education</i>	ASA	26,096
84.021113	School Violence Prevention, <i>Dysart Unified School District</i>	ASA	383,776
84.031813	National Elementary Counseling Grant, <i>Dysart Unified School District</i>	ASA	17,543
84.0335ED	Grant Writer/Strategic Planner	ASA	175,655
84.ED99000072	National Center for Education Statistics	EDA	121,638
84.Unknown	FIPSE Writing Coalition, <i>Tidewater Community College</i>	ASA	6,762
84.Unknown	Crossing Educational Divide, <i>Arizona Western College</i>	NAA	1,544
84.Unknown	School Leadership Program, <i>Sunnyside Unified School District</i> , Contract # S363A020176	NAA	74,413
84.Unknown	Teaching American History, <i>Page Unified School District</i> , Contract # S215X020214	NAA	14,325
84.Unknown	ADE Nontraditional Employment and Training for Secondary Schools	UAA	56,315
84.Unknown	Educational Partnership Between Arizona State Museum and TUSD, <i>Tucson Unified School District</i> , Contract #s TUSD10000057583, TUSD10000016827, TUSD10000057593,	UAA	6,551
84.Unknown	National Writing Project Matching Funds, <i>National Writing Project</i> , Contract # 92AZ03	UAA	35,844
84.Unknown	Network of Colleges and Universities, <i>Education Development Center</i> , Contract # CK25823	UAA	4,668
84.Unknown	Pyramid Power: An Inclusive Physical Activity Program for Middle School Students, <i>Tucson Unified School District</i> , Contract # TUSD10000082295	UAA	7,568
84.Unknown	Santa Cruz County's Cultural Partnerships - La Vida En Artes, <i>Santa Cruz County</i> , Contract # S351B020126	UAA	33,412
	Total Department of Education		<u>\$ 560,759,452</u>
<u>SCHOLARSHIP AND FELLOWSHIP FOUNDATIONS</u>			
85.400	Morris K. Udall Scholarship Program	UAA	\$ 565,715
85.1241	Resolve Issues Related to Recreational Shooting in the Tucson Basin	UAA	49,791
85.Unknown	The Morris K. Udall Foundation Workplan	UAA	155,443
	Total Scholarship and Fellowship Foundations		<u>\$ 770,949</u>

State of Arizona
Schedule of Expenditures Of Federal Awards
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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
<u>NATIONAL ARCHIVES AND RECORDS ADMINISTRATION</u>			
89.003	National Historic Publications and Records Grants	LAA	\$ 8,823
	Total National Archives and Records Administration		<u>\$ 8,823</u>
<u>DEPARTMENT OF HEALTH AND HUMAN SERVICES</u>			
<u>Aging Cluster</u>			
93.044	Special Programs for the Aging—Title III, Part B—Grants for Supportive Services and Senior Centers	DEA	\$ 8,435,812
93.045	Special Programs for the Aging—Title III, Part C—Nutrition Services	DEA	8,329,530
93.053	Nutrition Services Incentive Program	DEA	<u>1,445,264</u>
	Aging Cluster Subtotal		<u>18,210,606</u>
<u>Child Care Cluster</u>			
93.575	Child Care and Development Block Grant	DEA	50,095,695
93.575	Child Care and Development Block Grant	GVA	313,231
93.575	Child Care and Development Block Grant	HSA	323,110
93.575	Child Care and Development Block Grant	NAA	959
	93.575 Subtotal		<u>50,732,995</u>
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund	DEA	74,679,434
	Child Care Cluster Subtotal		<u>125,412,429</u>
<u>Medicaid Cluster</u>			
93.775	State Medicaid Fraud Control Units	AGA	813,844
93.777	State Survey and Certification of Health Care Providers and Suppliers	BNA	358,024
93.777	State Survey and Certification of Health Care Providers and Suppliers	HSA	<u>3,278,453</u>
	93.777 Subtotal		<u>3,636,477</u>
	Medicaid Cluster Subtotal		<u>4,450,321</u>
<u>Other Department of Health and Human Services Programs</u>			
93.003	Public Health and Social Services Emergency Fund	HSA	2,630,676
93.041	Special Programs for the Aging—Title VII, Chapter 3—Programs for Prevention of Elder Abuse, Neglect, and Exploitation	DEA	86,712
93.042	Special Programs for the Aging—Title VII, Chapter 2—Long Term Care Ombudsman Services for Older Individuals	DEA	292,886
93.043	Special Programs for the Aging—Title III, Part D—Disease Prevention and Health Promotion Services	DEA	343,129
93.048	Special Programs for the Aging—Title IV—and Title II—Discretionary Projects	DEA	544,130
93.052	National Family Caregiver Support	DEA	2,770,102
93.103	Food and Drug Administration—Research	AHA	3,006
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)	HSA	1,360,230
93.107	Model State-Supported Area Health Education Centers	UAA	489,832
93.110	Maternal and Child Health Federal Consolidated Programs	GVA	89,150
93.110	Maternal and Child Health Federal Consolidated Programs	HSA	164,968
93.110	Maternal and Child Health Federal Consolidated Programs	NAA	64
93.110	Maternal and Child Health Federal Consolidated Programs	UAA	745,808
93.110	Maternal and Child Health Federal Consolidated Programs, <i>University of Colorado</i> , Contract # FY04003004	UAA	93,574
	93.110 Subtotal		<u>1,093,564</u>
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs	HSA	1,011,430
93.119	Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services—Technical Assistance Centers for Evaluation	HSA	859
93.127	Emergency Medical Services for Children	HSA	94,263
93.130	Primary Care Services—Resource Coordination and Development	HSA	237,325
93.136	Injury Prevention and Control Research and State and Community Based Programs	ASA	75,380

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
93.136	Injury Prevention and Control Research and State and Community Based Programs	HSA	1,081,456
93.136	Injury Prevention and Control Research and State and Community Based Programs	UAA	1,019,854
	93.136 Subtotal		2,176,690
93.142	NIEHS Hazardous Waste Worker Health and Safety Training, <i>Department of the Interior-Bureau of Indian Affairs</i>	ASA	18,671
93.142	NIEHS Hazardous Waste Worker Health and Safety Training, <i>University of California Los Angeles</i>	ASA	246,795
	93.142 Subtotal		265,466
93.145	AIDS Education and Training Centers, <i>University of California San Francisco, Contract # 2943SC</i>	UAA	219,072
93.150	Projects for Assistance in Transition from Homelessness (PATH)	HSA	730,442
93.157	Centers of Excellence	UAA	260,497
93.165	Grants for State Loan Repayment	HSA	77,107
93.173	Research Related to Deafness and Communication Disorders	ASA	39,086
93.189	Health Education and Training Centers	UAA	272,909
93.191	Allied Health Special Projects	NAA	169,349
93.192	Quentin N. Burdick Programs for Rural Interdisciplinary Training	ASA	11,904
93.192	Quentin N. Burdick Programs for Rural Interdisciplinary Training	UAA	264,484
	93.192 Subtotal		276,388
93.197	Childhood Lead Poisoning Prevention Projects—State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children	HSA	135,203
93.211	Telehealth Network Grants	UAA	201,391
93.217	Family Planning—Services, <i>Arizona Family Planning Council</i>	ASA	180,239
93.230	Consolidated Knowledge Development and Application (KD&A) Program	ASA	15,179
93.230	Consolidated Knowledge Development and Application (KD&A) Program	DCA	9,600
93.230	Consolidated Knowledge Development and Application (KD&A) Program	GVA	16,597
93.230	Consolidated Knowledge Development and Application (KD&A) Program	HSA	344,046
93.230	Consolidated Knowledge Development and Application (KD&A) Program	UAA	877,941
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>Johnson, Basin & Shaw, Inc.</i>	ASA	14,963
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>Codac Behavioral Health Services of Pima County, Contract # H79T112145</i>	UAA	33,101
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>Empact - SPC, Contract # KD1T11892</i>	UAA	75,177
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>Pima County Juvenile Courts, Contract # H79T112449</i>	UAA	5,781
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>The Wheel Council, Inc., Contract # CK3414</i>	UAA	31,770
93.230	Consolidated Knowledge Development and Application (KD&A) Program, <i>University of California Los Angeles, Contract # 2000GCW044</i>	UAA	323,111
	93.230 Subtotal		1,747,266
93.234	Traumatic Brain Injury—State Demonstration Grant Program	DEA	8,544
93.235	Abstinence Education	HSA	770,930
93.235	Abstinence Education	NAA	727
	93.235 Subtotal		771,657
93.239	Policy Research and Evaluation Grants	HSA	110,243
93.240	State Capacity Building	HSA	253,093
93.241	State Rural Hospital Flexibility Program	UAA	356,955
93.243	Substance Abuse and Mental Health Services—Projects of Regional and National Significance	UAA	1,298,116
93.247	Advanced Education Nursing Grant Program	ASA	838,078
93.247	Advanced Education Nursing Grant Program	UAA	292,197
	93.247 Subtotal		1,130,275
93.251	Universal Newborn Hearing Screening	HSA	230,368

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
93.251	Universal Newborn Hearing Screening	SDA	8,657
	93.251 Subtotal		<u>239,025</u>
93.252	Healthy Community Access Program	UAA	433,738
93.253	Poison Control Stabilization and Enhancement Grants	UAA	183,271
93.256	State Planning Grant—Health Care Access for the Uninsured	HCA	157,000
93.259	Rural Access to Emergency Devices Grant	HSA	231,041
93.263	Occupational Safety and Health—Training Grants	UAA	71,201
93.268	Immunization Grants	HSA	30,911,749
93.282	Mental Health National Research Service Awards for Research Training	ASA	63
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	GVA	20,774
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	HSA	16,193,261
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	SDA	64,215
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance	UAA	722,602
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance, <i>Association of Teachers of Preventive Medicine, Contract # TS0733</i>	UAA	46,152
93.283	Centers for Disease Control and Prevention—Investigations and Technical Assistance, <i>University of Colorado, Contract # U27CCU8131092580118</i>	UAA	23,796
	93.283 Subtotal		<u>17,070,800</u>
93.286	Discovery and Applied Research	UAA	29,423
93.301	Small Rural Hospital Improvement Grants	UAA	102,438
93.333	Clinical Research	UAA	543,531
93.333	Clinical Research, <i>University of Medicine & Dentistry of New Jersey, Contract # R25RR018490</i>	UAA	264
	93.333 Subtotal		<u>543,795</u>
93.358	Advanced Education Nursing Traineeships	NAA	18,813
93.358	Advanced Education Nursing Traineeships	UAA	60,826
	93.358 Subtotal		<u>79,639</u>
93.359	Nurse Education, Practice and Retention Grants	ASA	271,889
93.389	National Center for Research Resources	UAA	546,154
93.393	Cancer Cause and Prevention Research	HSA	32,795
93.393	Cancer Cause and Prevention Research	UAA	13,281
	93.393 Subtotal		<u>46,076</u>
93.395	Cancer Treatment Research, <i>Duke University, Contract # U10CA76001</i>	UAA	18,000
93.398	Cancer Research Manpower	UAA	119,903
93.399	Cancer Control	HSA	25,031
93.399	Cancer Control	NAA	9,125
93.399	Cancer Control, <i>University of Alabama, Contract # R01CA86311</i>	UAA	1,943
	93.399 Subtotal		<u>36,099</u>
93.556	Promoting Safe and Stable Families	DEA	5,216,396
93.558	Temporary Assistance for Needy Families	DEA	218,028,548
93.558	Temporary Assistance for Needy Families	HSA	2,537
93.558	Temporary Assistance for Needy Families	NAA	59,101
	93.558 Subtotal		<u>218,090,186</u>
93.563	Child Support Enforcement	DEA	42,269,024
93.564	Child Support Enforcement Research	DEA	40,087
93.566	Refugee and Entrant Assistance—State-Administered Programs	DEA	5,024,553
93.568	Low-Income Home Energy Assistance	DEA	6,748,312
93.568	Low-Income Home Energy Assistance	EPA	1,209,556
	93.568 Subtotal		<u>7,957,868</u>
93.569	Community Services Block Grant	DEA	5,246,875

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
93.570	Community Services Block Grant—Discretionary Awards	NAA	64,561
93.570	Community Services Block Grant—Discretionary Awards, <i>National Youth Sports Program Fund</i>	ASA	36,836
93.570	Community Services Block Grant—Discretionary Awards, <i>National Collegiate Athletic Association</i> , Contract #s NYSPF02109, NYSPF03109, NYSPF041010, CK3219	UAA	99,081
	93.570 Subtotal		200,478
93.571	Community Services Block Grant Discretionary Awards—Community Food and Nutrition	DEA	78,150
93.576	Refugee and Entrant Assistance—Discretionary Grants	DEA	561,273
93.576	Refugee and Entrant Assistance—Discretionary Grants	EDA	293,301
	93.576 Subtotal		854,574
93.584	Refugee and Entrant Assistance—Targeted Assistance Grants	DEA	1,618,497
93.586	State Court Improvement Program	SPA	170,540
93.590	Community-Based Family Resource and Support Grants	DEA	188,399
93.597	Grants to States for Access and Visitation Programs	DEA	99,515
93.599	Chafee Education and Training Vouchers Program (ETV)	DEA	322,381
93.600	Head Start	GVA	192,983
93.603	Adoption Incentive Payments	DEA	278,463
93.617	Voting Access for Individuals with Disabilities—Grants to States	STA	106,007
93.623	Basic Center Grant	DEA	61,680
93.623	Basic Center Grant	GVA	106,822
	93.623 Subtotal		168,502
93.630	Developmental Disabilities Basic Support and Advocacy Grants	DEA	977,658
93.631	Developmental Disabilities Projects of National Significance	NAA	74,201
93.631	Developmental Disabilities Projects of National Significance	UAA	2,421
	93.631 Subtotal		76,622
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	NAA	375,627
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	UAA	93,810
	93.632 Subtotal		469,437
93.643	Children's Justice Grants to States	GVA	481,012
93.643	Children's Justice Grants to States	NAA	115
	93.643 Subtotal		481,127
93.645	Child Welfare Services—State Grants	DEA	5,652,812
93.648	Child Welfare Services Training Grants	ASA	361,353
93.658	Foster Care—Title IV-E	ASA	1,092,722
93.658	Foster Care—Title IV-E	DEA	55,437,619
	93.658 Subtotal		56,530,341
93.659	Adoption Assistance	DEA	22,978,802
93.667	Social Services Block Grant	ADA	198,954
93.667	Social Services Block Grant	DEA	55,359,491
	93.667 Subtotal		55,558,445
93.669	Child Abuse and Neglect State Grants	DEA	189,272
93.669	Child Abuse and Neglect State Grants	HSA	141,365
	93.669 Subtotal		330,637
93.671	Family Violence Prevention and Services/Grants for Battered Women's Shelters—Grants to States and Indian Tribes	HSA	1,621,570
93.674	Chafee Foster Care Independent Living	DEA	2,000,369
93.767	State Children's Insurance Program	HCA	76,622,000

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	DEA	47,926,084
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	HCA	3,583,200,000
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	HSA	654,582
	93.779 Subtotal		3,631,780,666
93.822	Health Careers Opportunity Program	NAA	393,023
93.822	Health Careers Opportunity Program	UAA	813,075
	93.822 Subtotal		1,206,098
93.837	Heart and Vascular Diseases Research	UAA	207,868
93.859	Biomedical Research and Research Training	ASA	153,966
93.865	Child Health and Human Development Extramural Research	ASA	7,000
93.880	Minority Access to Research Careers	NAA	166,854
93.884	Grants for Residency Training in Primary Care Medicine and Dentistry	UAA	599,690
93.886	Physician Assistant Training in Primary Care	NAA	32,667
93.912	Rural Health Outreach and Rural Network Development Program	UAA	311,501
93.912	Rural Health Outreach and Rural Network Development Program, <i>Chiricahua Community Health Centers, Inc.</i> , Contract # CK7815	UAA	817
	93.912 Subtotal		312,318
93.913	Grants to States for Operation of Offices of Rural Health	UAA	150,561
93.917	HIV Care Formula Grants	HSA	10,493,780
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	HSA	46,750
93.923	Disadvantaged Health Professions Faculty Loan Repayment and Fellowship Program	NAA	31,032
93.933	Demonstration Projects for Indian Health, <i>Hualapai Tribe</i> , Contract # CK38591	UAA	24,002
93.933	Demonstration Projects for Indian Health, <i>Inter Tribal Council of Arizona</i> , Incorporated, Contract # U269400011	UAA	227,923
	93.933 Subtotal		251,925
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	EDA	147,838
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems, <i>National 4H Council</i> , Contract # U87CCU318437	UAA	368,002
	93.938 Subtotal		515,840
93.940	HIV Prevention Activities—Health Department Based	HSA	2,974,301
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance	HSA	515,249
93.945	Assistance Programs for Chronic Disease Prevention and Control	HSA	138,620
93.952	Improving EMS/Trauma Care in Rural Areas	HSA	22,646
93.958	Block Grants for Community Mental Health Services	HSA	7,110,962
93.959	Block Grants for Prevention and Treatment of Substance Abuse	HDA	127,024
93.959	Block Grants for Prevention and Treatment of Substance Abuse	HSA	28,073,167
	93.959 Subtotal		28,200,191
93.969	Geriatric Education Centers	ASA	39,642
93.969	Geriatric Education Centers	UAA	449,098
93.969	Geriatric Education Centers, <i>University of Alaska Anchorage</i> , Contract # P0297575	UAA	2,246
	93.969 Subtotal		490,986
93.970	Health Professions Recruitment Program for Indians	ASA	294,162
93.970	Health Professions Recruitment Program for Indians	UAA	293,647
93.970	Health Professions Recruitment Program for Indians, <i>Phoenix Area Indian Health Service</i>	ASA	3,472
	93.970 Subtotal		591,281
93.977	Preventive Health Services—Sexually Transmitted Diseases Control Grants	HSA	1,693,332
93.982	Mental Health Disaster Assistance and Emergency Mental Health	HSA	374,501

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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	HSA	232,018
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	UAA	227,385
	93.988 Subtotal		459,403
93.989	International Research and Research Training	UAA	110,889
93.991	Preventive Health and Health Services Block Grant	ASA	1,500
93.991	Preventive Health and Health Services Block Grant	HSA	1,673,964
93.991	Preventive Health and Health Services Block Grant	PRA	2,299
93.991	Preventive Health and Health Services Block Grant	UAA	2,571
	93.991 Subtotal		1,680,334
93.994	Maternal and Child Health Services Block Grant to the States	GVA	87,914
93.994	Maternal and Child Health Services Block Grant to the States	HSA	8,776,648
93.994	Maternal and Child Health Services Block Grant to the States	PSA	4,197
	93.994 Subtotal		8,868,759
93.0600-98-32781, 0600-03-60032	Enumeration at Birth	HSA	116,766
93.200-1998-07255	National Death Index	HSA	21,243
93.200-2000-07202	Vital Statistics Cooperative Program	HSA	174,434
93.230010042	Border Vision Fronteriza Project (BVF) Children's Health Project	UAA	411,836
93.02IPA9344	Senior Malaria Advising at UNICEF for RBM Program Planning Implementation for Sub-Saharan Africa	UAA	121,823
93.467MZ302261	NLM Support for Knowledge River Library School Internships	UAA	47,458
93.200200010070	Improving Health Care Delivery Systems Along the US-Mexico Border	UAA	377,430
93.233030107	National Centers of Excellence in Women's Health	UAA	92,033
93.Unknown	Mental Health Support for Guardians, <i>Arizona Children's Association</i> , Contract # CK11003	UAA	14,327
93.Unknown	Infant Adoption Awareness Training Program, <i>Arizona Children's Association</i> , Contract # CK109698	UAA	50,233
93.Unknown	School-Based Diabetes Education Activities for the Prevention of Diabetes in American Indian Youth, <i>Association of American Indian Physicians</i> , Contract # CK12583	UAA	3,543
93.Unknown	Partnership in Public Health - Arizona Academic Health Department, <i>Association of Schools of Public Health</i> , Contract # D32962323	UAA	36,756
93.Unknown	Strengthening Communities, <i>Codac Behavioral Health Services of Pima County</i> , Contract # 325	UAA	131,743
93.Unknown	Evaluation of Community-Based Mental Health Services for Elderly, <i>Jewish Family and Children's Service</i> , Contract # SM02009	UAA	97,624
93.Unknown	SMI Services, <i>La Frontera, Inc.</i> , Contract # CK0035276, CK0005774	UAA	68,729
93.Unknown	Develop the Native American Research Center for Health on the Navajo Nation, <i>Navajo Nation</i> , Contract #s C02450, C23417	UAA	72,300
93.Unknown	Family Drug Court and Court Assisted Treatment Services Program, <i>Pima County Juvenile Courts</i> , Contract # 0718A1318841102, 0718A1333011003	UAA	56,128
93.Unknown	Minority Biomedical Research Support, <i>University of Texas at El Paso</i> , Contract # 0203297	UAA	24,282
93.Unknown	Access to Health Information for Arizona's Tribal Nations, <i>University of California Los Angeles</i> , Contract # 5415GDB064	UAA	22,654
93.Unknown	Tribal Connections Four Corners, <i>University of Utah</i> , Contract # 200615008	UAA	47,120
93.Unknown	Drug Abuse Warning Network, <i>Westat, Inc.</i> , Contract # 030064A	UAA	2,506
	Total Department of Health and Human Services		\$ 4,433,766,880
<u>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE</u>			
<u>Foster Grandparent/Senior Companion Cluster</u>			
94.011	Foster Grandparent Program	DEA	\$ 311,619
94.011	Foster Grandparent Program	NAA	174,799
	94.011 Subtotal		486,418
94.016	Senior Companion Program	NAA	186,369
	Foster Grandparent/Senior Companion Cluster Subtotal		672,787

State of Arizona
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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
<u>Other Corporation for National and Community Service Programs</u>			
94.002	Retired and Senior Volunteer Program	NAA	57,563
94.003	State Commissions	GVA	189,703
94.003	State Commissions	NAA	1,740
	94.003 Subtotal		<u>191,443</u>
94.004	Learn and Serve America—School and Community Based Programs	ASA	94
94.004	Learn and Serve America—School and Community Based Programs	EDA	433,350
	94.004 Subtotal		<u>433,444</u>
94.006	AmeriCorps	GVA	1,683,764
94.006	AmeriCorps	NAA	369,738
94.006	AmeriCorps, <i>Jumpstart</i>	ASA	6,688
	94.006 Subtotal		<u>2,060,190</u>
94.007	Planning and Program Development Grants	DFA	3,332
94.007	Planning and Program Development Grants	GVA	63,931
	94.007 Subtotal		<u>67,263</u>
94.009	Training and Technical Assistance	GVA	160,361
94.009	Training and Technical Assistance	NAA	9,770
94.009	Training and Technical Assistance	UAA	81,259
	94.009 Subtotal		<u>251,390</u>
94.013	Volunteers in Service to America	ASA	912
	Total Corporation for National and Community Service		<u>\$ 3,734,992</u>
<u>SOCIAL SECURITY ADMINISTRATION</u>			
<u>Disability Insurance/SSI Cluster</u>			
96.001	Social Security—Disability Insurance	DEA	\$ 20,964,218
	Disability Insurance/SSI Cluster Subtotal		<u>20,964,218</u>
96.E4374103	Community-Based Part-Time MSW Program	ASA	110,966
	Total Social Security Administration		<u>\$ 21,075,184</u>
<u>DEPARTMENT OF HOMELAND SECURITY</u>			
97.004	State Domestic Preparedness Equipment Support Program	AEA	\$ 119,364
97.004	State Domestic Preparedness Equipment Support Program	AHA	99,932
97.004	State Domestic Preparedness Equipment Support Program	DCA	104,824
97.004	State Domestic Preparedness Equipment Support Program	HSA	89,401
97.004	State Domestic Preparedness Equipment Support Program	MAA	8,249,802
97.004	State Domestic Preparedness Equipment Support Program	NAA	26,443
97.004	State Domestic Preparedness Equipment Support Program	PSA	449,893
	97.004 Subtotal		<u>9,139,659</u>
97.012	Boating Safety Financial Assistance	GFA	720,345
97.021	Hazardous Materials Assistance Program	MAA	6,339
97.023	Community Assistance Program State Support Services Element (CAP-SSSE)	WCA	87,748
97.029	Flood Mitigation Assistance	MAA	47,887
97.035	Individual and Family Grants	MAA	3,996
97.036	Public Assistance Grants	MAA	3,152,817
97.039	Hazard Mitigation Grant	MAA	427,871
97.041	National Dam Safety Program	WCA	14,570
97.042	Emergency Management Performance Grants	GVA	340,899
97.042	Emergency Management Performance Grants	MAA	3,551,480
	97.042 Subtotal		<u>3,892,379</u>
97.047	Pre-Disaster Mitigation	MAA	299,101

State of Arizona
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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
97.051	State and Local All Hazards Emergency Operations Planning	MAA	869,037
97.053	Citizens Corps	GVA	18,499
97.053	Citizens Corps	MAA	407,590
	97.053 Subtotal		<u>426,089</u>
97.Unknown	U.S. Customs Task Force	PSA	60,704
	Total Department of Homeland Security		<u>\$ 19,148,542</u>
<u>UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT</u>			
98.HNEA00970005900	Cooperative Development Program (CDP), <i>Association Liaison Office for University Cooperation in Development</i>	ASA	\$ 10,588
98.LTR 05/28/03, LTR 06/13/03	Agency for International Development, <i>World Learning-Transit Europe</i>	ASA	36,833
98.LTR 06/13/03	International Broadcasting Bureau—Voice of America	ASA	16,633
98.Unknown	Development of Human Resource Training, <i>American Council on Education</i> , Contract # 05122002	UAA	118,904
98.Unknown	Rural Primary Education Teacher Training, <i>Georgetown University</i> , Contract #s UARRX205084903DP, UARRX205084602E1	UAA	330,144
	Total United States Agency for International Development		<u>\$ 513,102</u>
<u>MISCELLANEOUS FEDERAL AGENCIES</u>			
99.Unknown	Mexico United States Partnership, <i>American Council on Education</i> , Contract # HNE-A-97-0059-00	NAA	\$ 22,016
99.Unknown	U.S. Government	UAA	22,018
	Total Miscellaneous Federal Agencies		<u>\$ 44,034</u>
<u>STUDENT FINANCIAL AID CLUSTER</u>			
<u>Department of Education</u>			
84.007	Federal Supplemental Educational Opportunity Grants	ASA	\$ 2,190,946
84.007	Federal Supplemental Educational Opportunity Grants	NAA	651,079
84.007	Federal Supplemental Educational Opportunity Grants	UAA	942,682
	84.007 Subtotal		<u>3,784,707</u>
84.033	Federal Work-Study Program	AGA	39,808
84.033	Federal Work-Study Program	ASA	1,580,970
84.033	Federal Work-Study Program	NAA	781,501
84.033	Federal Work-Study Program	UAA	1,698,402
	84.033 Subtotal		<u>4,100,681</u>
84.038	Federal Perkins Loan Program—Federal Capital Contributions	ASA	425,109
84.038	Federal Perkins Loan Program—Federal Capital Contributions	NAA	82,761
84.038	Federal Perkins Loan Program—Federal Capital Contributions	UAA	187,753
	84.038 Subtotal		<u>695,623</u>
84.063	Federal Pell Grant Program	ASA	30,327,804
84.063	Federal Pell Grant Program	NAA	11,763,463
84.063	Federal Pell Grant Program	UAA	18,949,958
	84.063 Subtotal		<u>61,041,225</u>
<u>Department of Health and Human Services</u>			
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	UAA	91,751
93.364	Nursing Student Loans	UAA	72,427
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	ASA	386,981
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds	NAA	53,942
	93.925 Subtotal		<u>440,923</u>
	Total Student Financial Aid Cluster		<u>\$ 70,227,337</u>

State of Arizona
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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
<u>RESEARCH AND DEVELOPMENT CLUSTER</u>			
<u>Department of Agriculture</u>			
10.RD	Agricultural Research Service	ASA	\$ 41,292
10.RD	Animal and Plant Health Inspection Service	NAA	11,678
10.RD	Cooperative State Research, Education, and Extension Service	ASA	863,431
10.RD	Cooperative State Research, Education, and Extensive Service	NAA	280,468
10.RD	Economic Research Service	ASA	9,000
10.RD	Department of Agriculture	UAA	9,376,318
10.RD	Forest Service	ASA	8,816
10.RD	Forest Service	NAA	794,976
10.RD	Forest Service	UAA	1,053,174
10.RD	Santa Fe National Forest	UAA	79,330
10.RD	Valles Caldera Trust	UAA	49,031
10.RD	<i>American Distance Education Consortium, Contract # 6302</i>	UAA	21,126
10.RD	<i>Arizona Department of Agriculture, Contract # 12-25-G-0352</i>	ASA	10,022
10.RD	<i>Arizona Department of Agriculture, Contract # 23007144</i>	UAA	13,675
10.RD	<i>Arizona Department of Education, Contract # 0337ED</i>	ASA	57,223
10.RD	<i>Arizona Department of Health Services, Contract # 932012</i>	UAA	1,592
10.RD	<i>Arizona Iceberg Lettuce Research Council, Contract #s 122LETTUCECSC2RD1, 122LETTUCECSC2RD2</i>	UAA	21,396
10.RD	<i>Arizona State Land Department, Contract # SFA3007</i>	UAA	77,065
10.RD	<i>Ben-Gurion University, Contract # 88839</i>	UAA	24,137
10.RD	<i>Biotechnology Research and Development, Contract # 367567</i>	UAA	153,702
10.RD	<i>Colorado State University, Contract # G14061</i>	UAA	17,109
10.RD	<i>Cornell University, Contract # 44134-7450</i>	ASA	10,000
10.RD	<i>Dine College, Contract # 108674</i>	UAA	29,711
10.RD	<i>Fisher and Wildfire Hazard, Arizona State Land Department, Contract # SFA 2002</i>	NAA	762
10.RD	<i>Kansas State University, Contract # S04067</i>	UAA	5,310
10.RD	<i>Michigan State University, Contract # 614296D</i>	UAA	9,980
10.RD	<i>Mississippi State University, Contract # 32094601050003</i>	UAA	30,637
10.RD	<i>National Pork Producers Council, Contract # 02102</i>	UAA	4,543
10.RD	<i>New Mexico State University, Contract #s SWC03N05, NMSUBD10, SWC02R04, NMSUSUBG01Q00828, Q00778SWC02R03</i>	UAA	82,164
10.RD	<i>Oceanic Institute, Contract # 20023880801345</i>	UAA	287,140
10.RD	<i>Oregon State University, Contract # RD01A11</i>	UAA	49,702
10.RD	<i>Purdue University, Contract # 590261801</i>	UAA	197,915
10.RD	<i>Quantifying Sheetwash, CASE Western Reserve University, Contract # Z99477H</i>	NAA	9,849
10.RD	<i>Rutgers University, Contract # 02IE08310229</i>	ASA	33,745
10.RD	<i>Seaphire International, Contract # 20023361011846</i>	UAA	15,668
10.RD	<i>Texas A&M University, Contract #s 570118, 570115</i>	UAA	51,635
10.RD	<i>University of California —Davis, Contract #s 00RA2416AZ, 0200777IC, 00RA6754, K00779704, SA6834</i>	UAA	113,453
10.RD	<i>University of Tennessee, Contract #s 85706, OR113930101</i>	UAA	4,917
10.RD	<i>University of Vermont, Contract # SARENI04</i>	UAA	3,325
10.RD	<i>University of Washington, Contract #s 423387, 423298, 567888, 567962</i>	UAA	14,693
10.RD	<i>University of Wisconsin, Contract #s 03-CA-11330145-119, 2001-34101-10526, LTR</i>	ASA	12,446
10.RD	<i>Utah State University, Contract #s C026020, C025887, C027004, C019078, C026031</i>	UAA	190,136
	Subtotal Department of Agriculture		<u>14,122,292</u>
<u>Department of Commerce</u>			
11.RD	Economic Development Administration	UAA	117,754
11.RD	National Institute of Standards and Technology	UAA	19,280
11.RD	National Oceanic and Atmospheric Administration	UAA	1,731,626
11.RD	<i>Indiana University, Contract # NA06GP0344</i>	UAA	7,104
11.RD	<i>National Storage Industry Consortium, Contract # 70NANB1H3056</i>	UAA	216,258
11.RD	<i>University of Georgia, Contract # RC7140467513357</i>	UAA	13,601
11.RD	<i>University Corporation for Atmospheric Research, Contract #s S0238659, S0344674</i>	UAA	3,956
11.RD	<i>University of Southern Mississippi, Contract # USM0315001101B10</i>	UAA	722
11.RD	<i>University of Washington, Contract # 293139</i>	UAA	49,434
	Subtotal Department of Commerce		<u>2,159,735</u>
<u>Department of Defense</u>			
12.RD	Advance Research Projects Agency	ASA	3,037,966
12.RD	Defense Advanced Research Projects Agency	UAA	130,301

State of Arizona
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CFDA/Identifying Number	Federal Grantor/Program Title/ Pass-Through Grantor /Contract Number	Grantee (Appendix)	Expenditures
12.RD	Department of Defense	ASA	13,142
12.RD	Department of Defense	NAA	206,355
12.RD	Department of the Air Force	UAA	10,871,805
12.RD	Department of Defense—Air Force Office of Scientific Research	ASA	1,927,867
12.RD	Department of Defense—Air Force Research Laboratory	ASA	372,711
12.RD	Department of Defense—Maryland Procurement Office	ASA	40,853
12.RD	Department of the Army	ASA	3,116
12.RD	Department of the Army	NAA	75,885
12.RD	Department of the Army	UAA	3,404,973
12.RD	Department of the Army, Army Research Office	ASA	105,522
12.RD	Department of the Navy	UAA	1,731,875
12.RD	Department of the Navy, Office of the Chief of Naval Research	ASA	3,646,367
12.RD	Missile Defense Agency	UAA	104,523
12.RD	National Imagery and Mapping Agency	UAA	164,592
12.RD	National Security Agency	ASA	195,120
12.RD	National Security Agency	UAA	39,222
12.RD	U.S. Army Materiel Command	ASA	1,141,508
12.RD	U.S. Army Medical Command	ASA	1,207,817
12.RD	3M-Minnesota Mining and Manufacturing, Contract # DE-FC02-02CH11111	ASA	22,380
12.RD	Academy of Applied Sciences, Contract # DAAG55-98-1-0468	ASA	5,181
12.RD	Advanced Ceramics Research Incorporated, Contract #s 001557, 004812	UAA	34,338
12.RD	Advanced Research and Development Activity, Contract # 2004-H900700-000	ASA	26,560
12.RD	Arete Associates, Contract #S536525101	UAA	12,158
12.RD	Battelle Columbus Division, Contract # DAAD19-02-D-0001	ASA	125,407
12.RD	California Institute of Technology, Contract #s 67SA1054551, 68A1058601	UAA	75,128
12.RD	California State University, Long Beach, Contract # DAAD19-02-1-0355	ASA	63,936
12.RD	Corporation for National Research Initiatives, Contract # NBCH2030002	UAA	264,915
12.RD	Crawdad Technologies, Contract # F49620-03-0082/USAF	ASA	34,202
12.RD	Global Technologies, Inc., Contract # DAAD-1703-C-0121	ASA	175,606
12.RD	Gulde, Contract # F33615-01-C-2186	ASA	99,167
12.RD	Hampton University, Contract # DAAD19-01-01-0339	ASA	39,766
12.RD	Harvard University, Contract # DAAD19-01-1-0607	ASA	27,340
12.RD	High Performance Technologies, Inc., Contract # GS04T01BFC0061	ASA	163,658
12.RD	Imperial College, Contract # CK19787	UAA	290,620
12.RD	L3 COMM, Contract # F41624-97-D-5000	ASA	3,185
12.RD	Lite Cycles, Incorporated, Contract # A0020755	UAA	14,037
12.RD	Lockheed, Contract #s F3361500D3053, DAAB07-03-C-K420	ASA	208,252
12.RD	Massachusetts Institute of Technology, Contract # N000140110758	ASA	102,500
12.RD	Mayo Foundation, Contract # N66001-99-C-8605	ASA	51,613
12.RD	Microlab Inc., Contract #s F2960101C0032, DAAH0101CR171	ASA	60,441
12.RD	Mission Research Corporation, Contract #s SC6599020002UAZ01, SC6599902002UAZ02, 020205575	UAA	81,011
12.RD	Mississippi State University, Contract # N00014-02-1-0120	ASA	16,703
12.RD	National Medical Technology Testbed, Contract # 200172UOFARIZONAEFFKEN	UAA	67,697
12.RD	Neotech, Contract # N00173-01-C-2011	ASA	1,163
12.RD	Newtech, Contract # CK16805	UAA	12,568
12.RD	New York University, Contract #s N66001-00-1-8920-01, N66001-01-1-8929-01	ASA	270,130
12.RD	North Carolina State University, Contract # N00014-01-1-0716	ASA	205,208
12.RD	North Carolina State University, Contract # 2001116202	UAA	183,388
12.RD	Northrop Grumman Space Technology Sector, Contract # ACIMSSC04033	UAA	73,904
12.RD	Northwestern University, Contract # N00014-02-1-0444	ASA	1,205
12.RD	Princeton University, Contract # N000140110741	ASA	178,315
12.RD	Physical Sciences, Inc., Contract # SC25627137403	UAA	53,057
12.RD	Raytheon, Contract # F30602-02-C-0191	ASA	30,195
12.RD	Raytheon Company, Contract #s N0038301G100A2121914AJL, S76AX849	UAA	1,437,091
12.RD	Raytheon Missile Systems, Contract # F4962002C0055	ASA	94,134
12.RD	Ridgetop Group, Inc., Contract # DASG6003P0314	UAA	19,692
12.RD	Rush-Presbyterian-St. Luke's, Contract # F30602-01-2-0584	ASA	288,754
12.RD	San Diego State University Foundation, Contract # N66001-03-D-0042	ASA	8,910
12.RD	Sandia National Laboratories, Contract #s A0334140850, A0334211413	UAA	48,939
12.RD	Spectrum Astro Inc., Contract # 04021	UAA	24,951
12.RD	Stanford University, Contract # N66001-00-C-8077	ASA	93,461
12.RD	Stanford University, Contract # 28841A	UAA	214,380
12.RD	Titan Systems Corporation, Contract # N0001403C0228	ASA	174,761
12.RD	Tryck Nyman Hayes, Inc., Contract # N6600100D5065	UAA	6,698

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CFDA/Identifying Number	Federal Grantor/Program Title/ Pass-Through Grantor /Contract Number	Grantee (Appendix)	Expenditures
12.RD	University of Illinois, Contract # 01128	UAA	136,977
12.RD	University of Illinois-Champaign/Urbana, Contract # N00014-01-1-1062	ASA	39,661
12.RD	University of Illinois-Chicago, Contract # DAAD190110462	ASA	95,472
12.RD	University of Iowa, Contract #s 4000086892, 4000508757	UAA	35,082
12.RD	University of Massachusetts, Contract # F33615-02-C-4031	ASA	100,940
12.RD	University of Michigan, Contract # F005004	UAA	49,564
12.RD	University of Minnesota, Contract # R6636360302	UAA	5,932
12.RD	University of New Mexico, Contract # 8916017811	UAA	157,955
12.RD	University of Oregon, Contract # 235221B	UAA	67,854
12.RD	University of Rhode Island, Contract # 052201535231	UAA	62,504
12.RD	University of South Florida, Contract # N00039-02-C-3244	ASA	330,147
12.RD	University of Southern California, Contract #s 073489, 080120	UAA	64,333
12.RD	University of Texas MD Anderson Cancer Center, Contract # DAMD179919505	UAA	117,161
12.RD	University of Vermont, Contract #s DAAD190010377, DAAD19-01-1-0406	ASA	123,168
12.RD	University of Virginia, Contract # F496020110352	ASA	223,092
12.RD	URS Corp., Contract # LV03A0020S4023A	UAA	85,933
12.RD	Vanderbilt University, Contract # 14761S3	UAA	61,716
12.RD	Waveband Corporation, Contract # DAAD1902C0090	UAA	26,235
12.RD	Zona Technology Inc., Contract # ZTASU010612	ASA	130,615
12.RD	Zonge Engineering & Research Organization, Contract # 202264RD1309	UAA	19,744
	Subtotal Department of Defense		<u>35,842,310</u>
<u>Department of Housing and Urban Development</u>			
14.RD	Department of Housing and Urban Development	UAA	28,463
14.RD	City of Phoenix, Contract #s 105830, 109609	ASA	55,849
	Subtotal Department of Housing and Urban Development		<u>84,312</u>
<u>Department of the Interior</u>			
15.RD	Bureau of Land Management	ASA	141,811
15.RD	Bureau of Land Management	NAA	2,187,524
15.RD	Bureau of Land Management	UAA	208,680
15.RD	Bureau of Reclamation	ASA	271,544
15.RD	Bureau of Reclamation	NAA	6,778
15.RD	Bureau of Reclamation	UAA	506,306
15.RD	Department of the Interior	UAA	450,891
15.RD	Geological Survey	ASA	22,426
15.RD	Geological Survey	NAA	1,631,800
15.RD	Geological Survey	UAA	2,387,028
15.RD	Geological Survey-Flagstaff	ASA	45,211
15.RD	National Park Service	ASA	132,399
15.RD	National Park Service	NAA	1,136,757
15.RD	National Park Service	UAA	1,698,319
15.RD	U.S. Fish and Wildlife Service	ASA	17,783
15.RD	U.S. Fish and Wildlife Service	NAA	7,443
15.RD	U.S. Fish and Wildlife Service	UAA	175,089
15.RD	U.S. Department of Agriculture-Apache Sitgreaves Forest	ASA	1,294
15.RD	Arizona Department of Agriculture, Contract # AZE66	UAA	34,105
15.RD	Arizona Department of Game and Fish, Contract #s 031298, AGR 02/17/04	ASA	11,059
15.RD	Louisiana State University and Agricultural and Mechanical College, Contract # R138636	UAA	50,699
15.RD	Pronatura, Contract # AGMT DTD 10SEP02	UAA	35,284
15.RD	State of Utah, Contract # 976475	NAA	13,115
15.RD	University of Arizona, Contract #s Y712611, P692112	NAA	19,352
15.RD	University of Arizona Water Resource Center, Contract # 01HQGR0113	ASA	9,620
15.RD	University of California at Davis, Contract # DCN 11330-1-J084	ASA	7,852
15.RD	Montana State University, Contract # GC16902Z1138	UAA	14,934
15.RD	Washington Department of Fish and Wildlife, Contract # 041020	UAA	11,978
15.RD	Western National Parks Association, Contract # 0407	UAA	157
	Subtotal Department of the Interior		<u>11,237,238</u>
<u>Department of Justice</u>			
16.RD	Department of Justice	NAA	619,930
16.RD	National Institute of Justice	UAA	377,473
16.RD	National Opinion Research Center	ASA	948,916
16.RD	Arizona Governor's Community Policy Office, Contract # JBISA03327300	UAA	37,338
16.RD	Hopi Youth Wellness Court, Hopi Tribe, Contract # 04-040	NAA	11,211

State of Arizona
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CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
16.RD	<i>National Opinion Research Center, Contract # OJP-2001-C-003</i>	ASA	72,772
16.RD	<i>University of Pittsburgh, Contract # 2000-MU-0007</i>	ASA	578,368
	Subtotal Department of Justice		<u>2,646,008</u>
<u>Department of Labor</u>			
17.RD	<i>Arizona Department of Commerce, Contract # 107803</i>	ASA	109,998
	Subtotal Department of Labor		<u>109,998</u>
<u>Department of State</u>			
19.RD	U.S. Civilian Research and Development Foundation	ASA	1,616
	Subtotal Department of State		<u>1,616</u>
<u>Department of Transportation</u>			
20.RD	Department of Transportation	UAA	18,210
20.RD	Federal Aviation Administration	ASA	329,002
20.RD	Federal Highway Administration	ASA	46,919
20.RD	Federal Highway Administration	UAA	40,746
20.RD	National Highway Traffic Safety Administration	UAA	101,524
20.RD	<i>Arizona Department of Transportation, Contract #s JPA04001T, JPA02165, JPA99166, JPA0281</i>	UAA	473,393
20.RD	<i>National Academy of Science, Contract #s DOT-6100-099, HR0923</i>	ASA	97,646
20.RD	<i>National Academy of Science, Contract # HR844</i>	UAA	290,838
20.RD	<i>Ohio State University Research Foundation, Contract # 739156</i>	UAA	51,774
20.RD	<i>Siemens Aktiengesellschaft, Contract # DTFH6102C00047</i>	UAA	32,029
20.RD	<i>State of Hawaii, Contract # STP-092-1(24)</i>	ASA	2,157
20.RD	<i>University of California-Berkeley, Contract # SA3503PO525384TO4141</i>	UAA	2,134
20.RD	<i>University of Maryland, Contract # DTFH61-94-C-00045</i>	ASA	44,571
	Subtotal Department of Transportation		<u>1,530,943</u>
<u>General Services Administration</u>			
39.RD	General Services Administration	ASA	29,654
39.RD	<i>Howard University, Contract # GS-02P-93-CUC-0071</i>	ASA	19,964
	Subtotal General Services Administration		<u>49,618</u>
<u>National Aeronautics and Space Administration</u>			
43.RD	Ames Research Center	UAA	343,015
43.RD	Goddard Space Flight Center	UAA	23,329,132
43.RD	John C. Stennis Space Center	UAA	434,240
43.RD	Johnson Space Center	UAA	168,240
43.RD	Kennedy Space Center	UAA	14,172
43.RD	Langley Research Center	UAA	419,976
43.RD	Lewis Research Center	UAA	283,636
43.RD	Marshall Space Flight Center	UAA	125,177
43.RD	NASA Glenn Research Center	UAA	273,703
43.RD	NASA Headquarters	UAA	123,002
43.RD	National Aeronautics and Space Administration	ASA	3,942,659
43.RD	National Aeronautics and Space Administration	NAA	59,999
43.RD	<i>Arizona State University, Contract #s 02066, 00103SC</i>	UAA	203,190
43.RD	<i>California Institute of Technology, Contract # 21062198</i>	UAA	79,983
43.RD	<i>Carnegie Institution of Washington, Contract # NCC2-1056</i>	ASA	26,584
43.RD	<i>Carnegie Institution of Washington, Contract # NASW00002</i>	UAA	101,125
43.RD	<i>Cornell University, Contract # JPL No. 1223696</i>	ASA	626,257
43.RD	<i>Georgia Institute of Technology, Contract #s G35674G1</i>	UAA	37,516
43.RD	<i>Jet Propulsion Laboratory, Contract #s mn0710830, 1262699, N/A - Director's Fund, NAS7-03001, NAS7-1407</i>	ASA	6,637,505
43.RD	<i>Jet Propulsion Laboratory, Contract #s 959969, 960164, 960173, 960489, 960785, 960943, 961057, 961160, 961163, 961165, 961166, 961167, 961225, 1207213, 1208566, 1216369, 1218749, 1219055, 1224768, 1224769, 1224959, 1226582, 1227728, 1228726, 1230836, 1234630, 1236412, 1236728, 1236841, 1241086, 1241125, 1243073, 1243366, 1245833, 1248233, 1249621, 1250479, 1251189, 1252566, 1254354, 1254722, 1254724, 1254725, 1255094, 1255219, 1255566, 1255596, 1255670, 1256318, 1256424, 1256789, 1258074, 1258748, 1258909, 1260022, 1260895, 1261947</i>	UAA	30,777,706
43.RD	<i>Lockheed Martin Corporation, Contract #s RF4214274, XVX452210E</i>	UAA	18,729
43.RD	<i>Mississippi Space Services, Contract # 49288</i>	UAA	1,077
43.RD	<i>National Space Biomedical Research Institute, Contract # NCC958166MA00204</i>	UAA	132,549

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43.RD	Ohio Aerospace Institute, Contract #s 021422-001, PO 7112	ASA	67,865
43.RD	Pennsylvania State University, Contract # 2302-NAU-NASA-2275	NAA	31,778
43.RD	Pennsylvania State University, Contract # 2358UANASA2330	UAA	45,989
43.RD	Planetary Science Institute, Contract # NAG512546	UAA	6,180
43.RD	Raytheon Company, Contract #s 3000623, 884382S90, SYNVAUAZ043008854	UAA	483,306
43.RD	Seti Institute, Contract # 132803002	UAA	3,646
43.RD	Smithsonian Astrophysics Observatory, Contract #s NAS8-03060, NAS8-39073	ASA	51,418
43.RD	Smithsonian-National Air and Space Museum, Contract # NAG5-12180	ASA	14,240
43.RD	Southwest Research Institute, Contract #s 83818, 83819	UAA	340,045
43.RD	Space Telescope Science Institute, Contract #s 09780.02-A, NAS5-26555	ASA	269,841
43.RD	Space Telescope Science Institute, Contract #s HST-GO-09386.06-A, HST-GO-09794.97-A	NAA	7,591
43.RD	Space Telescope Science Institute, Contract #s GO081760197A, GO081960197A, HSRGO0974101A, HSTAR0952601A, HSTAR0955601A, HSTAR0991082A, HSTGO0811704A, HSTGO0816913A, HSTGO0828601A, HSTGO0858401A, HSTGO0862406A, HSTGO0903501A, HSTGO0905701A, HSTGO0906402A, HSTGO0907202A, HSTGO0913401A, HSTGO0913701A, HSTGO0913801A, HSTGO0916101A, HSTGO0917201A, HSTGO0917301A, HSTGO0928002A, HSTGO0935401A, HSTGO0936001A, HSTGO0936603A, HSTGO0936604A, HSTGO0937503A, HSTGO0938301A, HSTGO0938503A, HSTGO0943305A, HSTGO0944002A, HSTGO0945507, HSTGO0945701A, HSTGO0950005A, HSTGO0972901A, HSTGO0974405A, HSTGO0974807A, HSTGO0976201A, HSTGO0976802A, HSTGO0976901A, HSTGO0977110A, HSTGO0978103A, HSTGO0980301A, HSTGO0980307A, HSTGO0980308A, HSTGO0982204A, HSTGO0983304A, HSTGO0983901A, HSTGO0984601A, HSTGO1008101A, HSTHF0112801A, HSTHF0113501A, HSTHF0115602A, HSTHF0116001	UAA	862,557
43.RD	Stanford University, Contract # NAG5-12673	ASA	8,218
43.RD	University of Arizona, Contract # NGT5-40082	ASA	208,138
43.RD	University of Arizona, Contract # Y702150	NAA	73,870
43.RD	University of California Berkeley, Contract # SA377324009	UAA	8,304
43.RD	University of California Los Angeles, Contract # NCC2-1364	ASA	112,987
43.RD	University of California Los Angeles, Contract #s 0965GCB625, 0995GEB627, 2090GDC157, 2090GEC366	UAA	122,162
43.RD	University of Colorado, Contract # 1534722	UAA	107,010
43.RD	University of Maryland, Contract # Z667706	UAA	3,374
43.RD	University of Michigan, Contract #s F006453, F007004	UAA	44,153
43.RD	University of New Mexico, Contract #s 895951, 3413517810	UAA	51,548
43.RD	University of Pennsylvania, Contract #s 536594A, 2336UANASA2115	UAA	42,181
43.RD	University of Tennessee, Contract # NAG5-11201	ASA	2,234
43.RD	University of Texas, Contract # UTA03-066	NAA	136,611
43.RD	University of Washington, Contract # 426389	UAA	41,754
43.RD	Zona Technology Inc., Contract #s NNL04AA07C, NAS1-03021	ASA	55,440
	Subtotal National Aeronautics and Space Administration		71,361,612
<u>National Foundation on the Arts and the Humanities</u>			
45.RD	National Endowment for the Humanities	ASA	50,779
45.RD	Promotion of the Humanities—Fellowship and Stipends	ASA	3
45.RD	Space Telescope Science Institute, Contract # NAS5-26555	ASA	33,344
45.RD	The News Media Consortium, Contract # SVCS AGMT DTD 100103	UAA	395
	Subtotal National Foundation on the Arts and the Humanities		84,521
<u>National Science Foundation</u>			
47.RD	National Science Foundation	ASA	21,956,823
47.RD	National Science Foundation	NAA	2,929,291
47.RD	National Science Foundation	UAA	45,606,081
47.RD	Teacher Quality Enhancement Grants	ASA	3,912
47.RD	Alaska Pacific University, Contract # CK011913	UAA	13,473
47.RD	ALGAEN, Contract # 031075	ASA	33,568
47.RD	American Association for the Advancement of Science, Contract #s CK309996, CK310166, CK313943	UAA	10,444
47.RD	American Museum of Natural History, Contract # 052002	UAA	38,911
47.RD	Arctic Research Consortium of the United States, Inc., Contract # OPP0101279	UAA	55,382
47.RD	Arizona Science Center, Contract # DMR0120997	ASA	11,615
47.RD	Arizona State University, Contract #s 04-417, 0335699	NAA	36,802
47.RD	Arizona State University, Contract #s 00112, 03039, 04418, 03031F02UR003,	UAA	256,027
47.RD	Aura, Inc., Contract #s C10345A, C10443A, C10409A, C10439A, C44001A, C44002A, C44003A, P15249A, PN230773	UAA	120,422

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47.RD	Case Western Reserve University, Contract # CCR-0329910	ASA	19,324
47.RD	Cold Spring Harbor Lab, Contract # 22140111	UAA	252,383
47.RD	Colorado State University, Contract # ANI0196156	ASA	717
47.RD	Colorado State University, Contract # G30341	UAA	5,652
47.RD	Columbia University, Contract # ONE(1)	UAA	4,427
47.RD	Cornell University, Contract # 397616663	UAA	159,243
47.RD	Duke University, Contract # 02SCNSF1044	UAA	56
47.RD	Edenspace Systems Corporation, Contract # CK3080	UAA	21,012
47.RD	Harvard University, Contract # ATM0304213	ASA	10,393
47.RD	Incorporated Research Institutions for Seismology, Contract # 359	UAA	2,574
47.RD	Iowa State University, Contract # 4206131	UAA	291,581
47.RD	Kansas State University, Contract # DEB-0218210	ASA	12,336
47.RD	Lehigh University, Contract # DMR9972670	ASA	6,519
47.RD	Michigan State University, Contract # DMR-0304391	ASA	30,602
47.RD	Michigan State University, Contract # 612113A	UAA	17,677
47.RD	National Optical Astronomy Observatories, Contract # P15317A	UAA	14,725
47.RD	North Carolina State University, Contract #s 2001093304, 2001093307	UAA	421,590
47.RD	North Dakota State University, Contract # 497102	UAA	103,860
47.RD	Northwestern University, Contract # REC9806020	ASA	8,810
47.RD	Pennsylvania State University, Contract #s 2359ASUNSF3702, DBI0112670	ASA	6,617
47.RD	Pennsylvania State University, Contract #s 1921UANSF8052, 2205UANSF9156, 2250UANSF8961, 2501UANSF3772	UAA	190,195
47.RD	Pima Community College, Contract # SUB011	UAA	90,069
47.RD	Portland State University, Contract # EIA-0085952	ASA	88,401
47.RD	Raytheon Company, Contract # RM8651101	UAA	158,579
47.RD	Rutgers, The State University of New Jersey, Contract # 1494	UAA	742,897
47.RD	Social Science Research Council, Contract # REC0355353	ASA	25,410
47.RD	Southern California Earthquake Center, Contract # PO 083260	ASA	10,459
47.RD	Split Engineering, LLC, Contract # DMI-0239119	UAA	22,944
47.RD	Stanford University, Contract # PR0833	UAA	28,923
47.RD	SUNY Buffalo, Contract # DMR-0305242	ASA	42,067
47.RD	SUNY Stony Brook, Contract # EAR-0135554	ASA	128,812
47.RD	Texas A&M Research Foundation, Contract # OCE-9320477	ASA	4,802
47.RD	Texas Technology University, Contract # DMR-0304640	ASA	52,862
47.RD	University of Arizona, Contract #s DMI-0122227, EAR9876800, EEC9523338, SES-0241559	ASA	315,107
47.RD	University of Arizona, Contract # Y542104	NAA	20,520
47.RD	University of California Berkeley, Contract #s ESI-0334199, SA412123569PG	ASA	34,172
47.RD	University of California Los Angeles, Contract # DMS-0107428	ASA	25,741
47.RD	University of California Riverside, Contract # S00050	UAA	7,040
47.RD	University of Chicago, Contract # 25340	UAA	55,997
47.RD	University of Florida, Contract #s SC00011513, UF99094, UF03070	UAA	225,848
47.RD	University of Georgia, Contract # RR3730206236467	UAA	98,686
47.RD	University of Illinois, Contract # 99-156	NAA	42,703
47.RD	University of Illinois, Contract #s 02348C2, 02348C1	UAA	11,382
47.RD	University of Kentucky Research Foundation, Contract # UKRF46683904024	UAA	5,388
47.RD	University of Maryland, Contract # DEB-0302010	ASA	3,627
47.RD	University of Maryland, Contract # Z367401	UAA	4,107
47.RD	University of Michigan, Contract # DMR 024382	ASA	50,672
47.RD	University of Michigan, Contract # F007531	UAA	50,841
47.RD	University of Minnesota, Contract # V8616015101	UAA	4,536
47.RD	University of Missouri, Contract #s DBI9975808UAC532798, CG0017241	UAA	94,248
47.RD	University of Missouri-Columbia, Contract # CMS0115828	ASA	36,115
47.RD	University of Nebraska, Contract # 2512150001003	UAA	21,531
47.RD	University of Nevada Las Vegas, Contract # 02449A0	UAA	29,946
47.RD	University of New Mexico, Contract # DBI-0225665	ASA	48,962
47.RD	University of New Mexico, Contract # 3-27401-78102	NAA	4,071
47.RD	University of Notre Dame, Contract # 46735	UAA	25,452
47.RD	University of Oregon, Contract # 204991A	UAA	4,162
47.RD	University of South Florida, Contract #s 2500167LOB, 2500016700C	UAA	29,586
47.RD	University of Tennessee, Contract # OR87800111	ASA	38,267
47.RD	University of Texas-Dallas, Contract #s ANI-0105985, ANI-0220001	ASA	19,967
47.RD	University of Washington, Contract #s LTR 07/01/02, ECS-0300537	ASA	132,151
47.RD	University of Washington, Contract # 594594	UAA	866,653
47.RD	Vassar College, Contract # BCS-0218609	NAA	48,953
47.RD	Virginia Polytechnic Institute, Contract # ECS-0224701	ASA	29,336

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47.RD	Wayne State University, Contract # ANI0086020	ASA	164,464
47.RD	Wayne State University, Contract # WSU01160	UAA	53,488
	Subtotal National Science Foundation		76,652,988
<u>Smithsonian Institution</u>			
60.RD	Smithsonian Astrophysical Observatory	UAA	703,231
60.RD	Smithsonian Institution	UAA	95,014
	Subtotal Smithsonian Institution		798,245
<u>Department of Veterans Affairs</u>			
64.RD	Department of Veterans Affairs	UAA	505,200
	Subtotal Department of Veterans Affairs		505,200
<u>Environmental Protection Agency</u>			
66.RD	Environmental Protection—Consolidated Research	ASA	116,905
66.RD	Environmental Protection Agency	ASA	344,037
66.RD	Environmental Protection Agency	NAA	29,340
66.RD	Environmental Protection Agency	UAA	867,071
66.RD	American Bird Conservancy, Contract # CK7100	UAA	835
66.RD	Arizona Department of Agriculture, Contract # CK217589280	UAA	3,985
66.RD	Arizona State University, Contract # 99115SG	UAA	28,629
66.RD	CASE Western Reserve University, Contract # GL97590101	NAA	15,091
66.RD	Clarkson University, Contract # R827354-01	ASA	69,194
66.RD	Colorado River Indian Tribes (CRIT), Contract # SA 01/29/03	ASA	33,362
66.RD	Malcolm Pirnie, Inc., Contract # 04030444	ASA	8,010
66.RD	Massachusetts Institute of Technology, Contract # R-82817201-0	ASA	30,209
66.RD	Naco Fire District, Contract # BP989066-01-0	ASA	21,025
66.RD	New Mexico State University, Contract #s X82899901, X-82971001-0, X82899901-0	ASA	119,792
66.RD	Southwest Center for Environmental Research, Contract # XA 83099401-0	ASA	101,387
66.RD	University of Houston, Contract # R030220	ASA	56,440
66.RD	University of Utah, Contract # XA 83099401-0	ASA	123,379
66.RD	University of Wisconsin, Contract # L158255	UAA	86
66.RD	Washington University, Contract # WUHT021929406A	UAA	725
66.RD	Water Environment Research Foundation, Contract # 02PUM1	ASA	65,095
	Subtotal Environmental Protection Agency		2,034,597
<u>Department of Energy</u>			
81.RD	Department of Energy	ASA	2,315,997
81.RD	Department of Energy	NAA	711,291
81.RD	Department of Energy	UAA	3,797,671
81.RD	Alfred P. Sloan Foundation, Contract # 200171CMB	UAA	20,123
81.RD	Argonne National Laboratory, Contract # W31109ENG38	ASA	142,721
81.RD	Arizona Board of Regents, Contract # 99200202	ASA	23,694
81.RD	Battelle Memorial Institute, Pacific Northwest National Laboratory, Contract #s 68C00185, DE-AC06-76RL01830	ASA	32,208
81.RD	Battelle Pacific Northwest Laboratories, Contract #s 7099, 8705, 401592A3E, 325651AN4411671AB3, 325651AN44131333502	UAA	510,828
81.RD	Bechtel Corporation, Contract #s MC00000062RN00002, 00000062R00003, 00000062R00004, 00020741	UAA	48,462
81.RD	BP Exploration, Inc., Contract # 4748	UAA	308,721
81.RD	Brookhaven National Laboratory, Contract # DE-AC02-98CH10886	ASA	27,572
81.RD	Brookhaven National Laboratory, Contract #s 4054, 74201	UAA	339,959
81.RD	EWA Government Systems, Inc., Contract # EWAGSI03SC0007	UAA	106,720
81.RD	Fermi National Accelerator Laboratory, Contract #s 502383, 546152, 550814	UAA	132,225
81.RD	Georgia Institute of Technology, Contract # G35A01G1	UAA	126,266
81.RD	Harvard University, Contract # 633254-192523	NAA	58,074
81.RD	Lawrence Berkeley National Laboratory, Contract # 6496612	UAA	4,226
81.RD	Lawrence Berkeley National Laboratory, Contract # DE-AC03-76SF00098	ASA	19,499
81.RD	Lawrence Livermore National Laboratory, Contract #s B511331, B527959, B529385, B532968, MEM0503	UAA	343,200
81.RD	Lawrence Livermore National Laboratory, Contract # s 98218C, W-7405-ENG-48	ASA	3,116
81.RD	Los Alamos National Laboratory, Contract # W-7405-ENG-36	ASA	291,358
81.RD	Los Alamos National Laboratory, Contract #s 70926-001-0131, 93061-001-94AA, 94904-001-043F	NAA	19,687
81.RD	Los Alamos National Laboratory, Contract #s 075740019945, 67198001033C,	UAA	127,572

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81.RD	Michigan State University, Contract # DE-FG02-97ER45651	ASA	39,906
81.RD	National Institute for Global Environmental Change, Contract # DE-FC03-90ER61010	ASA	11,166
81.RD	National Renewable Energy Laboratory, Contract #XAM-4-44835-01	NAA	1,093
81.RD	National Renewable Energy Laboratory, Contract # ACQ13061901	UAA	2,276
81.RD	Navajo Nation - Division of Social Services, Contract # DEAC3699GO10337	ASA	54,886
81.RD	New Mexico Institute of Mining and Technology, Contract # DE-FC26-03NT41983	ASA	11,905
81.RD	Oak Ridge National Laboratory, Contract # DE-AC05-00OR22725	ASA	5,864
81.RD	Pennsylvania State University, Contract # 2185UADOE5012	UAA	28,379
81.RD	Purdue University, Contract # 54100721	UAA	3,914
81.RD	S.M. Stoller Corporation, Contract # 22842	UAA	20,547
81.RD	Sandia National Laboratories, Contract #s 49285, 160231, 16230, A0349-005, DEAC3698GO10337	ASA	70,325
81.RD	Sandia National Laboratories, Contract # 122248	NAA	51,224
81.RD	Sandia National Laboratories, Contract #s 82003, 165983, A033413664, A0334154902, A0334165541, A0334188890, A033421294, A0334238152, A0334256458, A033427240, A033427258, A033429209, A033429652, A033430141, A033481700, A0334247952, A0334237537, A0344183391	UAA	1,248,756
81.RD	Science Applications International Corp., Contract # 4400081437	UAA	42,258
81.RD	University of Chicago, Contract #s 15285B341495, B523820	UAA	38,189
81.RD	University of Colorado, Contract # 1531939SPO39883	UAA	7,497
81.RD	University of Nevada Las Vegas, Contract # UNLV 02-01	NAA	38,540
81.RD	University of Nevada Reno, Contract # UNR0224	UAA	17,372
81.RD	University of Nevada Las Vegas, Contract # NV1404900	UAA	29,713
81.RD	University of Texas—El Paso, Contract #s DEFC0401AL67097, DEFC0401AL67097-001	ASA	78,649
81.RD	University of Texas—El Paso, Contract # 00012052	UAA	57,838
81.RD	University of Virginia, Contract # DE-FG02-01ER15203	ASA	14,502
81.RD	University of Wisconsin, Contract # A019493	UAA	15,574
81.RD	Westinghouse Savannah, Contract # DEAC0996SR18500	ASA	20,000
	Subtotal Department of Energy		<u>11,421,563</u>
<u>Federal Emergency Management Agency</u>			
83.RD	STAR Tangle, LLC, Contract # EMW-2001-CO-0344	NAA	127
	Subtotal Federal Emergency Management Agency		<u>127</u>
<u>Department of Education</u>			
84.RD	Department of Education	ASA	936,102
84.RD	Department of Education	NAA	176,802
84.RD	Department of Education	UAA	693,121
84.RD	Arizona Board of Regents, Contract # 99200102	ASA	4,748
84.RD	Arizona Department of Education, Contract # 0332ED	ASA	256,944
84.RD	Arizona Department of Education, Contract #s 03-06-EDD, 03-07-ED	NAA	175,337
84.RD	Arizona Department of Education, Contract #s 0218ED, 0223ED, 0309ED, 0440ED	UAA	45,172
84.RD	Arizona State University, Contract # 04-413, 03-104	NAA	6,882
84.RD	City of Phoenix Parks—Recreation and Library Department, Contract # H128J010006	ASA	7,626
84.RD	Northern Arizona University, Contract # P336B990064	ASA	6,525
84.RD	Southwest Human Development, Contract # S359B030989	ASA	11,847
84.RD	Thomas Jefferson University, Contract # H327X010003	ASA	337,830
84.RD	University of Maryland, Contract # H324J990003	ASA	99,733
84.RD	University of Wisconsin, Contract #s 255G780, F131821	UAA	73,600
84.RD	Wayne State University, Contract # 04-024	ASA	13,063
	Subtotal Department of Education		<u>2,845,332</u>
<u>National Archives and Records Administration</u>			
89.RD	National Archives and Records Administration	UAA	48,263
	Subtotal National Archives and Records Administration		<u>48,263</u>
<u>Department of Health and Human Services</u>			
93.RD	Administration for Children and Families	ASA	84,529
93.RD	Administration for Children and Families	UAA	169
93.RD	Agency for Healthcare Research and Quality	UAA	1,959,793
93.RD	Centers for Disease Control and Prevention	UAA	1,164,464
93.RD	Centers for Medicare and Medicaid Services	UAA	88,479
93.RD	Department of Health and Human Services	NAA	2,332,873
93.RD	Drug Abuse Research Programs	ASA	95,918
93.RD	Food and Drug Administration	UAA	313,024

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93.RD	Health Resources and Services Administration	UAA	682,392
93.RD	Health Resources and Services Administration	ASA	64,552
93.RD	Indian Health Service	ASA	1,464
93.RD	Minority Access to Research Careers	ASA	8,081
93.RD	National Institutes of Health	ASA	17,648,143
93.RD	National Institutes of Health	UAA	106,949,768
93.RD	Occupational Safety and Health Research Grants	ASA	18,986
93.RD	Public Health Service	UAA	51,762
93.RD	Substance Abuse and Mental Health Administration	UAA	435,559
93.RD	American Health Foundation, Contract # R01CA45504	UAA	134,925
93.RD	Arizona Department of Economic Security, Contract # E4374194	ASA	14,314
93.RD	Arizona Department of Health Services, Contract #s 832005, HS361298	UAA	27,553
93.RD	Arizona ERZ, LLC., Contract # 020459	ASA	23,356
93.RD	Association of American Colleges and Universities, Contract # CK60217	UAA	272
93.RD	Association of American Medical Colleges, Contract # MM05790303	UAA	175,467
93.RD	Axiom Management Resources, Inc., Contract # 200200200728	ASA	24,479
93.RD	Banner Health, Contract # 2R01MH057899	ASA	72,128
93.RD	Barrow Neurological Institute, Contract # R01DA1538901A1	UAA	43,300
93.RD	Baylor University, Contract #s U19CA86809, 4600500317	UAA	50,701
93.RD	Beckman Research Institute of the City of Hope, Contract # 306690912478	UAA	127,785
93.RD	Bernard Technologies, Inc., Contract # 8266584	UAA	540
93.RD	Carnegie Mellon University, Contract # 1308041090028	UAA	64,056
93.RD	Codac Behavioral Health Services of Pima County, Contract # KD1T111422	UAA	71,218
93.RD	Collagen Matrix, Incorporated, Contract # R44DE14664	UAA	123,900
93.RD	Colorado School of Mines, Contract # P031926	UAA	12,354
93.RD	Colorado State University, Contract #s G45801, G77631	UAA	68,954
93.RD	CTRC Research Foundation, Contract # CK6469	UAA	21,000
93.RD	Dana-Farber, Contract # MOA092603	UAA	17,630
93.RD	Donor Network of Arizona, Contract # CK38627	UAA	100,614
93.RD	Duke University, Contract #s DS698, 122165	UAA	37,969
93.RD	Gaia Herbs, Inc., Contract # 4-R44-AT000771-02	ASA	67,607
93.RD	Georgetown University, Contract # RX4265032UAZ	UAA	12,633
93.RD	Georgia Institute of Technology, Contract # N01-HD-3-3359	ASA	23,988
93.RD	Good Samaritan Regional Medical Center, Contract # MH57899-05	ASA	6,328
93.RD	Gynecologic Oncology Group Administration, Contract #s CA101165, CCOPGOG167	UAA	160,216
93.RD	Health Research, Inc., Contract # A1042836-04	ASA	100,640
93.RD	Health Research, Inc., Contract # CK0127713	UAA	13,794
93.RD	Henry Ford Health Sciences Center, Contract # R01CA092143	UAA	169,144
93.RD	Indiana University, Contract #s R01 DA103555, R01DA13555-20	ASA	53,602
93.RD	Indiana University, Contract # R01NS42078	UAA	63,313
93.RD	Inframat Corporation, Contract # 1R43 NS046088-01	ASA	37,259
93.RD	Institute for Research on Poverty, Contract # 96ASPE285A	ASA	2,423
93.RD	logenetics, LLC, Contract # CK1640	UAA	69,292
93.RD	Mayo Clinic, Contract # U01CA89389	UAA	164,651
93.RD	Medical Directions, Incorporated, Contract # R41DK62569	UAA	4,190
93.RD	Medical Research Council—University of Dundee, Contract # R1MH64882	UAA	10,091
93.RD	Medstar Research Institute, Contract # 1R01HL6703101A1	UAA	92,517
93.RD	Morehouse School of Medicine, Contract # SO6GM08248	UAA	51,126
93.RD	Mount Sinai Medical Center, Contract # 1 R01 DA 11116	ASA	514
93.RD	National Childhood Cancer Foundation, Contract #s 11443, 985431069	UAA	26,850
93.RD	Native American Botanics Corporation, Contract # CK2072	UAA	37,860
93.RD	Navajo Nation—Division of Social Services, Contract # 2251-001	ASA	218
93.RD	Niadyne, Incorporated, Contract # R41CA099469	UAA	29,719
93.RD	Northern Arizona University, Contract # IHD31V3	UAA	6,617
93.RD	NSABP Foundation, Incorporated, Contract #s MIN18ARZ, MIN19ARZ, ARZ01P1	UAA	122,747
93.RD	Ohio State University, Contract #s 740196, 742116	UAA	133,861
93.RD	Ohio State University Research Foundation, Contract # 741173RF00906518	UAA	326,768
93.RD	Oregon Health Sciences University, Contract #s 1R01DA1201801A1, 5 R01 DA011748	ASA	179,150
93.RD	Oregon Health Sciences University, Contract # 8401236A	UAA	7,394
93.RD	Pennsylvania State University, Contract # 2 R01DA005629	ASA	256,544
93.RD	Phoenix Biotech Inc., Contract # 1 R41 NS42978-01	ASA	8,897
93.RD	Pima County Health Department, Contract # 0701A13338890903	UAA	59,228
93.RD	Rand Corporation, Contract # 4042	UAA	43,643
93.RD	Research Technologies, Inc., Contract # 657U7658	UAA	55,168
93.RD	Salt River Pima-Maricopa Indian Community, Contract # C171006 301B	ASA	111,211

State of Arizona
Schedule of Expenditures Of Federal Awards
Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ Pass-Through Grantor /Contract Number	Grantee (Appendix)	Expenditures
93.RD	Schepens Eye Research Institute, Contract # EY07624SC1	UAA	9,667
93.RD	Southern Arizona Aids Foundation, Inc., Contract # UD1SP11013	UAA	21,435
93.RD	Southwest Oncology Group, Contract #s CCOP02001, CCOP02005, CCOP03002, CCOP03006, SELENIUM02001, SWOG03015, SWOG03016, SWOG03041, SWOG03044, SWOG03047, SWOG03051	UAA	513,329
93.RD	Stanford University, Contract # PY2268	UAA	23,319
93.RD	STS Biopolymers/MC Tec, Contract # 2R44HL63534	UAA	62,018
93.RD	Sun Health Research Institute, Contract #s 5 U01 AG16976-04, 1 P30 AG19610-01	ASA	59,507
93.RD	Sun Health Research Institute, Contract #s 194875P30AG19610, 561136	UAA	233,066
93.RD	Texas A&M University, Contract # HD39367	ASA	13,365
93.RD	The Sharing Network, Contract # 1H39OT0012001UAZ	UAA	23,167
93.RD	Three Rivers Holding, LLC, Contract # R43-HD43512-01	ASA	5,094
93.RD	Uniformed Services University of the Health Sciences, Contract # ai022014821	ASA	33,645
93.RD	University of Arizona, Contract #s 1 R01 AR047595-01A1, 1 R01 CA90265-01A1, 5UD112640, R01AI052463	ASA	119,107
93.RD	University of Arizona, Contract # GM065101-03	NAA	51,640
93.RD	University of California Irvine, Contract #s D98NS26862, B97N01CN750191, F99CA81886UA, 20031181	UAA	227,169
93.RD	University of California, Los Angeles, Contract #s 1930GBD627, 1930GEB772	UAA	15,344
93.RD	University of California, San Francisco, Contract # 3100SC	UAA	516,705
93.RD	University of California, San Diego, Contract # 1 R01 HD043221-01	ASA	15,855
93.RD	University of California, San Diego, Contract # 10154581	UAA	121,078
93.RD	University of Cincinnati, Contract # R01HL66044	UAA	101,031
93.RD	University of Colorado, Contract #s 2573323FY03001008, 2573349FY03001014, 2573384FY04001004, 2573455FY04001007	UAA	94,370
93.RD	University of Denver, Contract # 119110	UAA	382
93.RD	University of Florida, Contract # R01NS39687	ASA	132,151
93.RD	University of Florida, Contract # UF02041	UAA	59,103
93.RD	University of Iowa, Contract # 4000506102	UAA	24,749
93.RD	University of Maryland, Contract #s S01079, S01370	UAA	44,405
93.RD	University of Michigan, Contract # F007271	UAA	111,370
93.RD	University of Minnesota, Contract # H6636419102	UAA	65,757
93.RD	University of Missouri-Columbia, Contract # 1R01 NS41584-03	ASA	90,492
93.RD	University of Nebraska, Contract #s U01CA84967, 3453012019005	UAA	62,033
93.RD	University of Nebraska-Lincoln, Contract # 24-0506-008-002	NAA	45,271
93.RD	University of Pennsylvania, Contract # 538107	UAA	64,867
93.RD	University of Pittsburgh, Contract # N01-NS-2-2346	ASA	2,578
93.RD	University of Pittsburgh, Contract # 1070802	UAA	9,872
93.RD	University of Rochester, Contract # 5R01CA084105-04	ASA	46,755
93.RD	University of Rochester, Contract #s 412016G, 412359G	UAA	4,051
93.RD	University of Southern California, Contract # H25987	UAA	201,338
93.RD	University of Texas, Contract # P01AI46029	UAA	42,888
93.RD	University of Texas, Austin, Contract # UTA00031	UAA	50,703
93.RD	University of Texas, Dallas, Contract # 1R01DC00625701A1	ASA	27,962
93.RD	University of Texas, Health Sciences Center at Houston, Contract # U10EY12471	UAA	166,334
93.RD	University of Texas, MD Anderson Center, Contract #s N01CN95040, R01CA089608	UAA	57,689
93.RD	University Of Toronto, Contract # 450489	UAA	78,810
93.RD	University of Utah, Contract #s 9903093, 9809032O, 9809032OA, 2302004	UAA	147,030
93.RD	University of Wisconsin, Contract #s J033375, 144LD45, 831F681	UAA	527,424
93.RD	Veterans Medical Research Foundation, Contract # P01AI37232	UAA	119,356
93.RD	Virginia Polytechnic Institute, Contract # 1 R21 AI50663-01A1	ASA	9,980
93.RD	Virginia Polytechnic Institute, Contract # CR19101431328	UAA	40,000
93.RD	Wake Forest University, Contract # NS34447	UAA	70,019
93.RD	Wavetec Vision Systems, Inc., Contract # HSC0377	UAA	1,137
93.RD	Westat, Inc., Contract # 270977011	UAA	62,860
93.RD	Yeshiva University, Contract # 95263878	UAA	9,321
	Subtotal Department of Health and Human Services		140,258,272
<u>United States Agency for International Development</u>			
98.RD	Agency for International Development	UAA	1,162,077
98.RD	Americana Council on Education, Contract # HNEA0097005900	UAA	4,974
98.RD	International Institute of Tropical Agriculture, Contract # AGMT DTD 11/14/02	UAA	50,371
98.RD	Virginia Polytechnic Institute, Contract # CR19101425215	UAA	38,825
	Subtotal United States Agency for International Development		1,256,247
<u>Miscellaneous Federal Agencies</u>			
99.RD	National Reconnaissance Office	UAA	288,086

State of Arizona
 Schedule of Expenditures Of Federal Awards
 Year Ended June 30, 2004

CFDA/Identifying Number	Federal Grantor/Program Title/ <i>Pass-Through Grantor</i> /Contract Number	Grantee (Appendix)	Expenditures
99.RD	U.S. Government	UAA	<u>254,030</u>
	Subtotal Miscellaneous Federal Agencies		<u>542,116</u>
	Total Research and Development Cluster		<u>\$ 375,593,153</u>
	Total Expenditures of Federal Awards		<u>\$ 7,705,081,292</u>

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2004

Note 1 - Significant Accounting Policies

Basis of Presentation—The information in the schedule is presented in accordance with the requirements of OMB Circular A-133.

Reporting Entity—The schedule includes all federal awards activity administered by the State of Arizona and its component units.

Basis of Accounting—The federal awards reported in the schedule were presented in the State's governmental and proprietary funds and discretely presented component units on the basic financial statements of the State of Arizona for the year ended June 30, 2004, and were accounted for using the modified accrual and full accrual basis of accounting, as applicable, in conformity with generally accepted accounting principles.

Expenditures—Certain transactions relating to expenditures of federal awards may appear in the records of more than one state grantee agency. To avoid duplication and the overstatement of the aggregate level of federal awards expended by the State of Arizona, the following policies have been adopted:

1. When monies are received by one state grantee agency and distributed to another state grantee agency, the federal monies are reported in the accounts of the state grantee agency that expends the monies.
2. Purchases of services between state grantee agencies using federal monies are recorded as expenditures on the purchasing agency's records and as revenues for services rendered on the providing agency's records. Therefore, the receipt of federal awards is attributed to the purchasing agency, which is the primary receiving/expending state grantee agency.

Note 2 - Catalog of Federal Domestic Assistance (CFDA)/Identifying Number

The program titles and CFDA numbers were obtained from the federal or pass-through grantor or the *2004 Catalog of Federal Domestic Assistance*. When a CFDA number was nonexistent or unknown, the first two digits applicable to the federal agency, if known, were used, followed by the federal contract number to identify the program. If the federal contract number was also unknown, the identifying number was composed of the first two digits applicable to the federal agency followed by the word "Unknown."

Note 3 - Research and Development Cluster

As provided by OMB Circular A-133, the research and development cluster of programs is summarized by federal agency subdivision or pass-through entity.

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2004

Note 4 - Pass-through Grantor Contract Numbers

For federal awards received by the State from a pass-through grantor, the pass-through grantor contract number is included, if applicable, immediately following the pass-through grantor's name. Pass-through grantors are italicized.

Note 5 - Loan and Loan Guarantee Programs

Only administrative costs incurred for loan and loan guarantee programs are reported in the schedule. However, OMB Circular A-133, Subpart B, §205(b) requires that the current-year administrative costs and loan distributions, and the balances of the previous year's outstanding student loans and loan guarantees, also be included for these programs when determining federal awards expended for single audit purposes. Further, Subpart B, §310(b)(6) requires that loans or loan guarantees outstanding at year-end be included in either the schedule or a note to the schedule. Loans and loan guarantees outstanding at June 30, 2004, are described below:

Student Loan Programs

The Universities administer the following three federal student loan programs. The current-year loan distributions and the balances of loans outstanding at year-end are shown below:

Loan Program	CFDA Number	Loans Distributed During the Year	Loan Balances Outstanding at June 30, 2004
Federal Perkins Loan Program—Federal Capital Contributions	84.038	\$6,966,974	\$27,614,285
Health Professions Student Loans, including Primary Care Loans/Loans for Disadvantaged Students	93.342	443,833	1,532,076
Nursing Student Loans	93.364	368,055	910,312

In addition, the Universities distribute loans from two federal loan programs that are administered by independent third parties. For the year ended June 30, 2004, the Universities distributed \$138,298,686 of Federal Family Education Loans (CFDA number 84.032) and \$222,941,727 of Federal Direct Student Loans (CFDA number 84.268).

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2004

Loan Guarantee Programs

The Water Infrastructure Finance Authority (WIFA), a discretely presented component unit on the State's fiscal year 2004 basic financial statements, has entered into capitalization grant agreements with the U.S. Environmental Protection Agency. During fiscal year 2004, the WIFA received capitalization grant monies totaling \$17,933,278 from the Capitalization Grants for Clean Water State Revolving Funds (CFDA number 66.458) program and \$12,570,495 from the Capitalization Grants for Drinking Water State Revolving Funds (CFDA number 66.468) program for deposit into their revolving loan guarantee funds. The balances of the federal guarantee portion of the loans outstanding for these capitalization grants were \$152,357,585 and \$57,569,897, respectively, at June 30, 2004.

Housing Program

The Department of Housing administers the HOME Investment Partnerships Program (CFDA number 14.239), which provides loans to municipalities, nonprofit organizations, and individuals to purchase homes and rental properties. The Department distributed \$89,123 in loans during fiscal year 2004 and had \$7,221,625 in loan balances outstanding at June 30, 2004.

Note 6 - Food Commodities

Food commodities received by the State are disbursed through distributing agencies. Such commodities are included in the definition of federal awards when distributed and, accordingly, are included in the amounts presented on the schedule. The State's food commodity distributions totaled \$24,138,114, and the amounts, by CFDA number, are presented as follows:

CFDA No.	Program	Amount
10.553	School Breakfast Program	\$ 394,665
10.555	National School Lunch Program	11,897,700
10.565	Commodity Supplemental Food Program	3,726,430
10.569	Emergency Food Assistance Program (Food Commodities)	8,119,319

Note 7 - Donation of Federal Surplus Personal Property

The value of the Donation of Federal Surplus Personal Property (CFDA number 39.003) program reported on the schedule is based on a "market basket formula" developed by the U.S. General Services Administration. This market basket formula represents 23.3 percent of the original federal acquisition cost, totaling \$1,435,458 for the year ended June 30, 2004.

State of Arizona
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2004

Note 8 - Immunization and HIV Grants

The Immunization Grants (CFDA number 93.268) expenditures of \$30,911,749 include \$25,938,197 worth of vaccines. The HIV Care Formula Grants (CFDA number 93.917) expenditures of \$10,493,780 include \$7,217,341 worth of vaccines. Vaccines administered by the Department of Health Services are included in the definition of federal awards and, accordingly, are included in the amount presented on the schedule.

Note 9 - Unemployment Insurance (CFDA No. 17.225)

The unemployment compensation system is a unique federal-state partnership, founded upon federal law, but implemented through state law. As prescribed by the U.S. Department of Labor in consultation with the Office of Management and Budget, certain state monies, in addition to federal monies, were considered federal awards for determining Type A programs, and were included in the Schedule of Expenditures of Federal Awards.

The amount presented on the schedule consists of the following:

Regular unemployment compensation benefits	\$390,677,429
Unemployment compensation for federal employees	4,496,935
Unemployment compensation for ex-service members	2,482,386
Administrative costs	<u>32,070,847</u>
Total expenditures	<u>\$429,727,597</u>

State of Arizona
 Schedule of Findings and Questioned Costs
 Year Ended June 30, 2004

Summary of Auditors' Results

Financial Statements

Type of auditors' report issued:		Unqualified	
	Yes	No	
Material weakness identified in internal control over financial reporting?	___	<u>X</u>	
Reportable condition identified not considered to be a material weakness?	___	<u>X</u> (None reported)	
Noncompliance material to the financial statements noted?	___	<u>X</u>	

Federal Awards

Material weaknesses identified in internal control over major programs?	<u>X</u>	___	
Reportable conditions identified not considered to be material weaknesses?	<u>X</u>	___	
Type of auditors' report issued on compliance for major programs: Unqualified for all major programs except for the Food Stamp Cluster, the Special Education Cluster, the Rehabilitation Services—Vocational Rehabilitation Grants to States Program, the Temporary Assistance for Needy Families Program, and the Child Support Enforcement Program, which were qualified.			
Any audit findings disclosed that are required to be reported in accordance with Circular A-133 (section .510[a])?	<u>X</u>	___	

Identification of major programs:

<u>CFDA Numbers</u>	<u>Name of Federal Program or Cluster</u>
10.551/10.561	Food Stamp Cluster
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children
15.605/15.611	Fish and Wildlife Cluster
17.225	Unemployment Insurance
17.258/17.259/17.260	WIA Cluster
20.205	Highway Planning and Construction Cluster
39.011	Election Reform Payments
84.010	Title I Grants to Local Educational Agencies
84.027/84.173	Special Education Cluster (IDEA)

State of Arizona
 Schedule of Findings and Questioned Costs
 Year Ended June 30, 2004

84.048	Vocational Education—Basic Grants to States
84.126	Rehabilitation Services—Vocational Rehabilitation Grants to States
84.367	Improving Teacher Quality State Grants
93.558	Temporary Assistance for Needy Families
93.563	Child Support Enforcement
93.658	Foster Care—Title IV-E
93.767	State Children’s Insurance Program
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations
RD	Research and Development Cluster

Dollar threshold used to distinguish between Type A and Type B programs: \$23,115,244

Yes No

Auditee qualified as low-risk auditee?

___ X

Other Matters

Auditee’s Summary Schedule of Prior Audit Findings required to be reported in accordance with Circular A-133 (section .315[b])?

 X ___

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

04-101

Special Education Cluster:

CFDA No.: 84.027 **Special Education—Grants to States, #H027A030007**

84.173 **Special Education—Preschool Grants, #H173A030003**

U.S. Department of Education

Award Period: July 1, 2003 through September 30, 2004

Questioned Cost: Unknown

The Arizona Department of Education is required by the Individuals with Disabilities Education Act Amendments of 1997, Section 615 to establish and maintain procedures related to mediation services and surrogate parenting for children with disabilities and their parents. The Department did not have adequate internal control policies and procedures to ensure that vendors paid were qualified as mediators and were knowledgeable in laws and regulations related to the provisions of special education and related services. Specifically, an employee within the Exceptional Student Services Dispute Resolution unit committed fraud by falsifying invoices to pay vendors who were not qualified as mediators and who did not perform any services. This case is being prosecuted by the Arizona Attorney General's Office and the trial is in the sentencing phase.

This finding is a reportable condition and immaterial noncompliance with the Special Education Cluster's allowable costs/cost principles requirements. It was not practical to extend our auditing procedures sufficiently to determine the questioned costs that may have resulted from this finding.

To comply with 34 Code of Federal Regulations (CFR) §300.370, the Department should enforce its internal control policies and procedures and monitor its employees to ensure that internal controls are in place and are being followed to safeguard against unauthorized transactions.

04-102

Special Education Cluster:

CFDA No.: 84.027 **Special Education—Grants to States, #H027A030007**

84.173 **Special Education—Preschool Grants, #H173A030003**

U.S. Department of Education

Award Period: July 1, 2003 through September 30, 2004

Questioned Cost: Unknown

The No Child Left Behind Act and 34 CFR §300.231(c)(1) requires the Arizona Department of Education to perform maintenance-of-effort calculations before awarding grant monies to its subrecipients for the Special Education programs.

However, the Department did not have adequate policies and monitoring procedures to ensure compliance with the maintenance-of-effort calculation requirements prior to awarding grant monies to subrecipients. Consequently, inaccurate and incomplete data was reflected in a database for the Special Education grants.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

It was not practical to extend our auditing procedures to determine questioned costs, if any, for the Special Education programs that may have resulted from this finding. However, this finding is a material internal control weakness and material noncompliance with the Special Education Cluster's level of effort requirements. This instance of noncompliance is similar to a prior-year finding.

To help ensure compliance with 34 CFR §300.231(c)(1) maintenance-of-effort requirements, the Department should ensure that it establishes procedures for performing these calculations and that its procedures for determining maintenance-of-effort are followed.

04-103

CFDA No.: 10.557 **Special Supplemental Nutrition Program for Women, Infants, and Children,
#7AZ700AZ7**

U.S. Department of Agriculture

Award Period: July 1, 2003 through June 30, 2004

Questioned Cost: N/A

The Department of Health Services did not have adequate internal control policies and procedures to ensure compliance with the Treasury State Agreement (TSA) when drawing monies to redeem food vouchers. Specifically, federal fiscal year 2002 draw downs may have been \$4.4 million less than what was needed. This was discovered during a reconciliation of the federal fiscal year 2003 grant that the Department performed during state fiscal year 2004. The Department is investigating this matter to determine if sufficient monies were drawn during fiscal year 2002 to cover payments for food vouchers. This finding is a reportable condition and immaterial noncompliance with the program's cash management requirements.

To comply with the TSA, the Department should promptly investigate and correct negative cash balances in its Special Supplemental Nutrition Program for Women, Infants, and Children accounts to ensure the program's cash needs are being met.

04-104

CFDA No.: 39.011 **Election Reform Payments, #GSA Sec 101 HAVA I
General Services Administration**

Award Period: April 25, 2003 through March 12, 2006

Questioned Cost: N/A

The Secretary of State's Office did not comply with the following subrecipient monitoring requirements of OMB Circular A-133, §.400(d):

- The Office did not inform subrecipients of the CFDA title and number, award name and number, and name of the federal agency for each federal award as required by OMB Circular A-133, §.400(d)(1).
- The Office did not establish policies or procedures to ensure that its subrecipients who spent \$500,000 or more in federal assistance obtained an annual single audit or program-specific audit as required by OMB Circular A-133, §.400(d)(4).

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

We extended our auditing procedures sufficiently and determined that the Office materially complied with the program's subrecipient monitoring requirements. This finding is a reportable condition and immaterial noncompliance with the program's subrecipient monitoring requirements.

To comply with OMB Circular A-133, §.400(d),(1) and (4), the Office should establish adequate internal control policies and procedures to ensure that subrecipients of federal awards are provided with the CFDA title and number, award name and number, and name of the federal agency and to require those subrecipients spending federal assistance of \$500,000 or more to obtain an annual single audit or program-specific audit.

04-105

Food Stamp Cluster:

CFDA No.: 10.551 **Food Stamps**

10.561 **State Administrative Matching Grants for Food Stamp Program, #7AZ400AZ4**

CFDA No.: 93.558 **Temporary Assistance for Needy Families, #s G0301AZTANF and G0401AZTANF**

U.S. Department of Agriculture

Award Period: July 1, 2003 through June 30, 2004

U.S. Department of Health and Human Services

Award Period: October 1, 2002 through September 30, 2003 and
October 1, 2003 through September 30, 2004

Questioned Cost: Unknown

The Department of Economic Security, Division of Benefits and Medical Eligibility did not enforce its internal control policies and procedures for activating and issuing Electronic Benefits Transfer (EBT) cards for food stamps and cash assistance benefits at its local Family Assistance Administrative (FAA) Offices to ensure that food stamps and cash assistance benefits were issued to eligible recipients. Auditors observed 10 of the 94 FAA Offices in February 2004 and noted that these Offices did not always follow their Division's established internal control policies and procedures. Specifically, the following internal control weaknesses were noted at the selected local FAA Offices: allowing the same employee to activate and issue EBT cards, not always requesting identification or documenting recipients' identities, not reconciling EBT cards issued to inventory records, destroying damaged EBT cards, and inadequately safeguarding pin selection terminals. In addition, auditors observed 5 of the 94 FAA Offices in February 2005 and noted that several of these internal control weaknesses have not been corrected.

It was not practical to extend our auditing procedures sufficiently to determine if any questioned costs resulted from this finding. This finding relates to the Food Stamps Program (10.551) and is a material internal control weakness and material noncompliance with the Food Stamps Cluster's special tests and provisions requirements. It is also a material internal control weakness and material noncompliance for the Temporary Assistance for Needy Families (TANF) program's activities allowed or unallowed, allowable costs/cost principles, and eligibility requirements.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

To comply with the Food Stamp Cluster's special tests and provisions requirements stipulated in 7 CFR §274.11(c), 274.12(i)(3) and the TANF program's activities allowed or unallowed, allowable costs/cost principles, and eligibility requirements specified in 45 CFR §206.10, the Division of Benefits and Medical Eligibility should enforce its internal control policies and procedures over the process of authorizing and issuing EBT cards at the FAA Offices and monitor these Offices regularly to ensure that internal controls are in place to safeguard against unauthorized transactions.

04-106

CFDA No.: 93.558 **Temporary Assistance for Needy Families, #s G0301AZTANF and G0401AZTANF**
U.S. Department of Health and Human Services

Award Period: October 1, 2002 through September 30, 2003 and
October 1, 2003 through September 30, 2004

Questioned Cost: \$8,256

The Department of Economic Security, Division of Benefits and Medical Eligibility did not enforce its internal control policies and procedures to validate each recipient's eligibility and failed to maintain Temporary Assistance for Needy Families (TANF) recipients' case files that contained all required data to ensure compliance with activities allowed or unallowed, allowable costs/cost principles, eligibility, and special tests and provisions requirements. Specifically, the Department did not follow up on two recipients who failed to schedule an interview to assess their employability, retain complete documentation for one recipient's eligibility case file, and cancel cash assistance in a timely manner when a recipient became ineligible. As a result, auditors could not perform a complete review for 4 of 40 recipients tested or perform additional audit procedures to validate the recipients' legitimacy. For the audit period July 1, 2003 through June 30, 2004, these recipients were awarded \$8,256 in cash assistance.

It was not practical to extend our auditing procedures sufficiently to determine if any additional questioned costs resulted from this finding. This finding is a material internal control weakness and material noncompliance with the TANF program's activities allowed or unallowed, allowable costs/cost principles, eligibility, and special tests and provisions requirements.

To comply with 45 CFR §206.10, the Department should properly maintain and retain its recipients' case files to support program expenditures. Each case file must contain a written application signed by the recipient requesting cash assistance and documentation supporting each decision regarding eligibility. In addition, an assessment of each recipient's skills, prior work experience, and employability should be completed within 30 days of receiving cash assistance to comply with 45 CFR §261.11.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

04-107

Food Stamp Cluster:

CFDA No.: 10.551 **Food Stamps**

10.561 **State Administrative Matching Grants for Food Stamp Program, #7AZ400AZ4**

U.S. Department of Agriculture

Award Period: July 1, 2003 through June 30, 2004

Questioned Cost: \$17,415

The Department of Economic Security, Division of Benefits and Medical Eligibility failed to maintain case files for food stamp recipients and did not record correct recipient data information into the eligibility computer system. The Department could not locate 6 of the 40 food stamps recipients' files requested, and therefore, auditors were unable to validate the recipients' legitimacy. For the audit period July 1, 2003 through June 30, 2004, these recipients were awarded \$7,223 in food stamps. Further, for 9 of the remaining 34 food stamp recipients' case files tested, the Department did not record the recipients' information correctly into the eligibility computer system. Specifically, auditors noted the following errors: earned income was not recorded, calculated, or supported; positive identification was not retained in the case files; and household size was not computed by counting the number of individuals living in the home. These recipients received \$10,192 in food stamps for the period July 1, 2003 through June 30, 2004.

It was not practical to extend our auditing procedures sufficiently to determine if any additional questioned costs resulted from this finding. This finding relates to the Food Stamps program (10.551) and is a material internal control weakness and material noncompliance with the Food Stamp Cluster's special tests and provisions requirements.

To comply with 7 CFR §273.2(a)(2) and (b), the Department should properly maintain and retain its food stamp recipients' case files to support benefit authorizations. Each case file must contain a written application signed by the recipient requesting food stamps benefits, documentation supporting the recipient's income, positive identification for the recipient, and accurate documentation of the number of individuals living in the home.

04-108

Food Stamp Cluster:

CFDA No.: 10.551 **Food Stamps**

10.561 **State Administrative Matching Grants for Food Stamp Program, #7AZ400AZ4**

U.S. Department of Agriculture

Award Period: July 1, 2003 through June 30, 2004

Questioned Cost: Unknown

The Department of Economic Security, Division of Benefits and Medical Eligibility, FAA Offices issued EBT cards using its eligibility computer system as well as issued EBT cards on an emergency basis. However, when reconciling EBT card authorizations, the Division only reconciled the automated EBT issuances and excluded the emergency EBT issuances and cancellations.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

This finding relates to the Food Stamps program (10.551) and is a reportable condition and immaterial noncompliance with the cluster's special tests and provisions requirements. It was not practical to extend our auditing procedures sufficiently to determine if any questioned costs resulted from this finding.

To comply with 7 CFR §274.4, the Department should reconcile all authorized issuances generated from its eligibility computer system, including EBT cards issued on an emergency basis, to the confirmed amounts received from the contracted EBT company, and identify and correct all reconciling differences.

04-109

Food Stamp Cluster:

CFDA No.: 10.551 **Food Stamps**

10.561 **State Administrative Matching Grants for Food Stamp Program, #7AZ400AZ4**

U.S. Department of Agriculture

Award Period: July 1, 2003 through June 30, 2004

Questioned Cost: N/A

The Department of Economic Security, Division of Benefits and Medical Eligibility did not have adequate internal control policies and procedures to ensure that changes made throughout the year to recipients' cash assistance benefits were recorded within the recipients' case files in its eligibility computer system. For example, if a change occurred in a recipient's cash assistance benefit, an eligibility intake worker was required to manually update this information on the food stamps benefit calculation table maintained within the eligibility computer system. Auditors noted that for 1 of 34 recipients tested, the cash assistance benefits change was not reflected on the food stamps benefit calculation table. There was no overpayment made to the recipient.

It was not practical to extend our auditing procedures to determine if any questioned costs resulted from this finding. This finding relates to the Food Stamps program (10.551) and is a reportable condition and immaterial noncompliance with the cluster's special tests and provisions requirements.

To comply with 7 CFR §272.10(b)(1), the Department should establish adequate internal control policies and procedures to authorize and issue food stamps benefits. Specifically, the Department should generate exception reports, link the food stamps benefit calculation table to the cash assistance benefits award table, or create a computer edit check to notify employees when the food stamps benefit calculation tables need to be updated.

State of Arizona
Schedule of Findings and Questioned Costs
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04-110

CFDA No.: 17.245 **Trade Adjustment Assistance—Workers, #s TA-12678-03-55 and TA-11872-02-55**
U.S. Department of Labor

Award Period: October 1, 2001 through September 30, 2004 and
October 1, 2002 through September 30, 2005

Questioned Cost: \$212,934

The Department of Economic Security was responsible for administering the Trade Adjustment Assistance—Workers program and passed through federal monies to the Arizona Department of Education. The Department incorrectly reported the administrative costs incurred by the Arizona Department of Education in fiscal year 2004 as training expenditures on the SF-269, Financial Status Report. As a result, the Department exceeded the allowable administrative costs specified in the 2002 and 2003 grant agreements by \$212,934 that resulted in a questioned cost. This cost does not include amounts previously reported in prior-year audit finding #03-107.

This finding is a reportable condition and immaterial noncompliance with the program's activities allowed or unallowed, allowable costs/cost principles, and reporting requirements.

To comply with the program's grant agreements, the Department should establish internal control policies and procedures to accurately report and account for all administrative expenditures as outlined in the U.S. Department of Labor's Training and Employment Guidance Letter No. 5-00, dated September 18, 2000. Further, these expenditures should be reported in the correct classifications on the SF-269, Financial Status Report.

04-111

WIA Cluster:

CFDA No.: 17.258 **WIA Adult Program, #s AA-11295-01-50, AA-11197-02-50, and AA-12912-03-05**
17.259 **WIA Youth Activities, #s AA-11295-01-50, AA-11197-02-50, AA-12912-03-05, and**
AA-13785-04-50

17.260 **WIA Dislocated Workers, #s AA-11295-01-50, AA-11197-02-50, and AA-12912-03-05**

U.S. Department of Labor

Award Period: April 1, 2001 through June 30, 2006

Questioned Cost: Unknown

The Department of Economic Security, Employment Administration was responsible for administering the Workforce Investment Act and passed through federal monies to other state agencies. The Department incorrectly reported administrative expenditures incurred by the Arizona Department of Education and Governor's Office in fiscal year 2004 as program expenditures on the ETA 9076-A, Workforce Investment Act Statewide Activities, Financial Status Report. As a result, the Department may have exceeded the programs' 5 percent administrative cost allowance. Further, a similar finding was noted by the U.S. Department of Labor in a federal report issued to the Department in September 2002.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

It was not practical to extend our auditing procedures sufficiently to determine the amount incorrectly reported as program expenditures and if any questioned costs resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the cluster's activities allowed or unallowed, allowable costs/cost principles, and reporting requirements.

To comply with the programs' grant agreements and 20 CFR §667.220, the Department should establish internal control policies and procedures to report all administrative expenditures incurred by the State accurately on the ETA 9076-A, Workforce Investment Act Statewide Activities, Financial Status Report.

04-112

CFDA No.: 84.126 **Rehabilitation Services—Vocational Rehabilitation Grants to States,**
#s H126A20002, H126A30002, and H126A40002

U.S. Department of Education

Award Period: October 1, 2001 through September 30, 2003,
October 1, 2002 through September 30, 2004, and
October 1, 2003 through September 30, 2005

Questioned Cost: Unknown

The Department of Economic Security, Division of Employment and Rehabilitation Services did not have adequate internal control policies and procedures to ensure compliance with procurement regulations. Specifically, for 9 of 23 vendors tested who were paid over \$10,000 in program expenditures for the period July 1, 2003 through June 30, 2004, the Department did not follow the Arizona Procurement Rules and Regulations by obtaining quotations using the fax-on-demand system for purchases requiring written quotations, or sealed competitive bids or proposals for purchases over \$35,000. Further, for those vendors who were paid more than \$25,000 and with whom the Department did not have a written contract, the Department did not require them to certify that they had not been suspended or debarred from doing business by the federal government. However, auditors verified that none of these vendors were suspended or debarred.

It was not practical to extend our auditing procedures to determine if any questioned costs resulted from this finding. This finding is a material internal control weakness for procurement and suspension and debarment requirements and material noncompliance with the program's procurement requirements.

To help ensure compliance with 34 CFR §80.36 and obtain the most advantageous price for goods and services, the Department should follow the Arizona Procurement Rules and Regulations for all purchases over \$10,000. In addition, for all purchases over \$25,000, the Department should require the vendor to certify that it had not been suspended or debarred from doing business by the federal government.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

04-113

CFDA No.: 17.225 **Unemployment Insurance**

U.S. Department of Labor

Award Period: July 1, 2003 through June 30, 2004

Questioned Cost: \$3,424,019

The Department of Economic Security, Division of Employment and Rehabilitation Services did not have adequate internal control policies and procedures and did not enforce existing internal control policies and procedures to ensure that unemployment insurance benefits were issued only to eligible claimants. Auditors noted that for 3 of 52 claimants tested, the claimant did not register with Job Services as required to be eligible for these benefits. Specifically, these errors resulted from the following internal control deficiencies: there were no controls in place to ensure that Job Services registration was verified when shared work claims convert to regular claims; the Yuma, Arizona office did not consistently verify whether claimants were seasonal employees and therefore exempt from Job Services registration; and the General Unemployment Insurance Development Effort (GUIDE) benefits system was programmed to check only for Job Services registration on the 35th day after the initial claim date. Therefore, for benefits paid after 35 days, the Job Services registration status may not have been verified.

Auditors extended procedures and worked with the Department's computer programmers to determine the actual impact of these errors and determined that during fiscal year 2004, the Department paid 1,420 claimants who did not register with Job Services, resulting in \$3,424,019 of questioned costs. It was not practical to extend our auditing procedures to determine the breakout of these questioned costs between employer contributions and federal and other government reimbursements. This finding is a reportable condition and immaterial noncompliance with the Unemployment Insurance program's activities allowed or unallowed, allowable costs/costs principles, and eligibility requirements.

To comply with the Unemployment Insurance program's activities allowed or unallowed, allowable costs/costs principles, and eligibility requirements specified in the 42 U.S. Code 503, the Division of Employment and Rehabilitation Services should develop policies and procedures to verify Job Services registration when shared claims convert to regular claims, to monitor local offices to ensure that internal controls are in place and operating effectively to ensure that all claimants are eligible, and to correct the programming deficiencies in the GUIDE system that allow checks to be issued to claimants whose registration with Job Services was not verified.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

04-114

CFDA No.: 93.658 **Foster Care—Title IV-E, #0401AZ1401AD**

CFDA No.: 93.558 **Temporary Assistance for Needy Families, #G0401AZTANF**

CFDA No.: 93.667 **Social Services Block Grant, #G0401AZSOSR**

U.S. Department of Health and Human Services

Award Period: July 1, 2003 through June 30, 2004 and

October 1, 2003 through September 30, 2004

Questioned Cost: Unknown

The Department of Economic Security, Division of Children, Youth, and Families (DCYF) did not adequately enforce its internal control policies and procedures to ensure that payroll expenditures for employees on educational leave were charged accurately to the Foster Care Title IV-E program. Specifically, auditors noted that supervisors did not adequately review employee time sheets. As a result, employees did not consistently charge the correct number of hours to educational leave; part-time employees improperly charged overtime in excess of the amount allowed by the Department's policies; and hours claimed for educational, annual, holiday, and sick leave were not consistently charged to the correct expenditure reporting categories. Therefore, payroll expenditures for Foster Care Title IV-E employees on educational leave were incorrectly allocated to the Temporary Assistance for Needy Families (TANF) and the Social Services Block Grant (SSBG) programs.

It was not practical to extend our auditing procedures sufficiently to determine the questioned costs that resulted from this finding. This finding is a reportable condition and immaterial noncompliance with the programs' activities allowed or unallowed and allowable costs/cost principles requirements.

To comply with the Foster Care Title IV-E, TANF, and SSBG program's activities allowed or unallowed and allowable costs/cost principles as specified in OMB Circular A-87, Attachment A (C)(3)(a) and Attachment B (8)(d)(2) and the Department's own policies and procedures, the DCYF should require supervisors to approve employee time sheets to ensure that payroll expenditures for Foster Care employees on educational leave are charged accurately to the Foster Care Title IV-E program.

04-115

CFDA No.: 93.563 **Child Support Enforcement, #s G0304AZ4004 and G0404AZ4004**

U.S. Department of Health and Human Services

Award Period: July 1, 2003 through June 30, 2004

Questioned Cost: N/A

The Department of Economic Security, Division of Child Support Enforcement (DCSE) did not adequately enforce its internal control policies and procedures to ensure compliance with the program's special tests and provisions requirements. Specifically, for 3 of 48 cases tested, the DCSE did not establish an order for support within 90 calendar days of locating the noncustodial parent. In addition, for 5 of 9 incoming interstate cases tested, the DCSE did not perform the following within 10 working days of receiving the case: ensure that the documentation submitted with the case was reviewed to determine completeness, forward the case for necessary action either to the State's parent locator service or to the appropriate agency for processing, acknowledge receipt of the case and ensure that any missing documentation was requested from the initiating state, and inform the agency in the initiating state where the case was sent for action.

State of Arizona
Schedule of Findings and Questioned Costs
Year Ended June 30, 2004

This finding is a material internal control weakness and material noncompliance with the program's special tests and provisions requirements.

To comply with 45 CFR §303.4(d) and 303.7(a), the DCSE should enforce its internal control policies and procedures to ensure that support obligations are established within 90 calendar days of locating the noncustodial parent and incoming interstate cases are opened within 10 working days.

04-116

CFDA No.: 84.010 **Title I Grants to Local Educational Agencies, #S010A030003A**

U.S. Department of Education

Award Period: July 1, 2003 through September 30, 2004

Questioned Cost: Unknown

The U.S. Department of Education allocates federal monies to states for the Elementary and Secondary Education Act Program Grants, including Title I Grants to Local Educational Agencies to states, based in part on each states' per-pupil expenditures. The Arizona Department of Education submits Arizona's per-pupil expenditure data to the National Center for Educational Statistics using the National Public Education Financial Survey form. The Department did not have adequate procedures to ensure that it prepared the National Public Education Financial Survey form accurately because there were several errors on the Department's Survey submitted on September 7, 2004, for the 2006 award year. Specifically, the revenues from local sources—student activities was overstated by \$44,348,353, total revenues from federal sources was overstated by \$2,913,854, public elementary and secondary education instruction—salaries was overstated by \$172,405,322, and total operation of non instructional services food service operations was understated by \$4,623,580. As the data from the National Public Education Financial Surveys are used in the formula for allocating federal program funds to states, the net effect of these errors would potentially overstate Arizona's per-pupil expenditures and the State's allotment for the 2006 award year.

It was not practical to extend our auditing procedures sufficiently to determine the impact this finding will have on the future allocation of funding for this program for the 2006 award year. The finding is a reportable condition and immaterial noncompliance with the program's reporting requirements. This instance of noncompliance with the program's reporting requirements is similar to prior-years' findings.

To help ensure compliance with the reporting requirements of the program, the Department should submit revised data to the National Center for Education Statistics and implement reconciliation policies and procedures to ensure the National Public Education Financial Survey is accurate and complete.

Appendix

State of Arizona
Appendix
State of Arizona Agency Codes
Year Ended June 30, 2004

Agency Code	Agency
ADA	Department of Administration
AEA	Radiation Regulatory Agency
AFA	Governor's Office of Equal Opportunity
AGA	Office of the Attorney General
AHA	Arizona Department of Agriculture
ASA	Arizona State University
ATA	Arizona Automobile Theft Authority
BNA	Arizona State Board of Nursing
BRA	Arizona Board of Regents
CCA	Corporation Commission
DCA	Department of Corrections
DEA	Department of Economic Security
DFA	Commission for the Deaf and Hard of Hearing
DJA	Department of Juvenile Corrections
DTA	Department of Transportation
EDA	Department of Education
EPA	Department of Commerce
EVA	Department of Environmental Quality
GFA	Arizona Game and Fish Department
GHA	Governor's Office of Highway Safety
GSA	Arizona Geological Survey
GVA	Office of the Governor
HCA	Arizona Health Care Cost Containment System
HDA	Arizona Department of Housing
HIA	Arizona Historical Society
HSA	Department of Health Services
HUA	Arizona Commission on the Arts
ICA	Industrial Commission of Arizona
JCA	Arizona Criminal Justice Commission
LAA	Arizona State Library, Archives and Public Records
LDA	State Land Department
LLA	Department of Liquor Licenses and Control
MAA	Department of Emergency and Military Affairs
MIA	State Mine Inspector
NAA	Northern Arizona University
PEA	Commission for Postsecondary Education
PRA	Arizona State Parks Board
PSA	Department of Public Safety
SBA	Structural Pest Control Commission
SDA	Arizona State Schools for the Deaf and the Blind
SFA	Arizona School Facilities Board
SPA	Arizona Supreme Court
STA	Secretary of State's Office
UAA	University of Arizona
VSA	Department of Veterans' Services
WCA	Department of Water Resources
WFA	Water Infrastructure Finance Authority

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Corrective Action Plan

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.027 Special Education—Grants to States
84.173 Special Education—Preschool Grants

Finding No.: 04-101

Status: Concur

Agency description:

In order to comply with 34 CFR §300.370, the Arizona Department of Education's (ADE's) Exceptional Student Services (ESS) Unit will implement the following steps to ensure adequate internal control.

- ESS management established a mediator selection process that requires prospective mediators to submit documentation regarding their qualifications for approval to a newly established ESS mediator selection committee. Only mediators approved by this committee are eligible for payment for providing ESS sponsored mediation services.
- Individual mediations require pre-approval by ESS management.
- Requisitions for mediation services require pre-approval by two different ESS managers.
- The ADE's Procurement Unit will no longer authorize open (blanket) purchase orders against which invoices may be paid. Individual purchase orders must be prepared and approved for each mediation.
- Along with the invoice, mediators are now required to send a mediation report to the ESS. This mediation report details the date, the time, and the place of the mediation as well as the identities of the parties involved and the outcome or result of the mediation. ESS management reviews the report and compares it to the invoice. Upon approval by ESS management, the original invoice is signed by the Deputy Associate Superintendent or designee and sent to accounting for payment. The mediation report is maintained on file in the ESS area.
- ESS personnel no longer use signature stamps to process invoices.
- The ADE's accounting unit established a new report that reflects all payments to vendors on a fiscal year basis. This report will be reviewed twice per month by an ESS employee to ensure that payments made to ESS vendors are proper.

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Contact Person: Paul Carolan
(602) 542-3281

Agency: Department of Education

CFDA No.: 84.027 Special Education—Grants to States
84.173 Special Education—Preschool Grants

Finding No.: 04-102

Status: Concur

Agency description:

The Arizona Department of Education developed a policy to ensure compliance with the maintenance-of-effort and level-of-effort compliance prior to awarding grant monies to subrecipients in late fiscal year 2003 and will implement this policy for fiscal year 2004 and future fiscal years.

As part of this policy to ensure compliance, the Arizona Department of Education, Exceptional Student Services Division, developed a database to compare LEA special education budget and expenditure amounts for the maintenance-of effort testing. The database will remain in a test mode throughout fiscal year 2005 to ensure the integrity of the data. The database will be moved into production for the fiscal year 2006 funding cycle.

Contact person: Paul Carolan
(602) 542-3281

Agency: Department of Health Services

CFDA No.: 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

Finding No.: 04-103

Status: Concur

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Agency description:

The Department is currently investigating the prior fiscal year WIC funding draws. Several bookkeeping entries have been made to begin adjusting the misaligned draws. We anticipate this effort to be completed by December 31, 2005.

Contact person: Glenn Russell
(602) 542-2996

Agency: Secretary of State

CFDA No.: 39.011 Election Reform Payments

Finding No.: 04-104

Status: Concur

Agency description:

To help ensure that the Help America Vote Act (HAVA) funds are properly managed, spent, and reported according to the federal guidelines, the Arizona Secretary of State's Office will take the following actions:

1. In the Memorandum of Understanding we are entering into with all 15 counties for the remainder of the HAVA project, we will ensure that there is wording stating that the subrecipients will have to obtain an annual single audit or program-specific audit.
2. We will provide the subrecipients with the CFDA title and number, award name and number, and name of the federal agency once the Election Assistance Committee provides that information to the states.

Contact person: Kevin Tyne
(602) 542-4285

Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps
10.561 State Administrative Matching Grants for Food Stamp Program
93.558 Temporary Assistance for Needy Families

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Finding No.: 04-105

Status: Concur

Agency description:

To help ensure food stamps and cash assistance benefits are issued only to eligible recipients, the Department of Economic Security, Family Assistance Administration local office managers will monitor the Electronic Benefits Transfer (EBT) process on a daily basis for compliance with the following internal controls over the process of issuing EBT cards:

- Adequate segregation of responsibilities of authorizing and issuing EBT cards;
- Consistent request for and documentation of recipients' identification;
- Proper reconciliation of EBT cards; and
- Adequate destruction of damaged EBT cards.

Applicants use of devices to select a PIN has been discontinued by FAA offices under the new EBT vendor contract, effective October 2004.

Contact person: Aldona Vaitkus
(602) 542-5637

Agency: Department of Economic Security

CFDA No.: 93.558 Temporary Assistance for Needy Families

Finding No.: 04-106

Status: Concur

Agency description:

It is critical to the Department of Economic Security (Department) that case files are properly maintained. All local office managers are currently bringing the file rooms up to standards and ensuring that all files are appropriately maintained. In addition, periodic staff training is being provided on policy and procedures requiring proper documentation in the AZTECS subsystem and the case files. For a long-range solution to the proper maintenance of Temporary Assistance for Needy Families recipients' case files, a proof of concept study was completed in January 2005. The Department will issue a Request for Proposals for a document management system which, using base funding, will take at least 3 years to fully implement. Case files will be reviewed periodically to determine that all required documentation is included in the file. To improve accuracy in data entry, the Department will conduct a periodic edit check of case files and provide additional training to those employees making excessive data entry errors.

State of Arizona
Corrective Action Plan
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Federal Award Findings and Questioned Costs

To help ensure that an assessment of each recipient's employability is performed in compliance with 45 CFR §261.11, the JOBS Program has implemented an automated monitoring process to track the status of the referral file to ensure that TANF recipients are pulled for work activities in a timely manner. The regional program managers who are responsible for the timeliness of the local offices will also monitor the referral file's status. Currently, JOBS is in compliance with the 30-day mandate, and most clients are contacted for employment assessment in less than 14 days.

Contact person: Aldona Vaitkus
(602) 542-5637

Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps
10.561 State Administrative Matching Grants for Food Stamp Program

Finding No.: 04-107

Status: Concur

Agency description:

It is critical to the Department of Economic Security (Department) that case files are properly maintained. All local office managers are currently bringing the file rooms up to standards and ensuring that all files are appropriately maintained. In addition, periodic staff training is being provided on policy and procedures requiring proper documentation in the AZTECS subsystem and the case files. For a long-range solution to proper file maintenance, a proof-of-concept study was completed in January 2005. The Department will issue a Request for Proposals for a document management system which, using base funding, will take at least 3 years to fully implement. Case files will be reviewed periodically to determine that all required documentation is included in the file. To improve accuracy in data entry, the Department will conduct a periodic edit check of case files and provide additional training to those employees making excessive data entry errors.

Contact person: Aldona Vaitkus
(602) 542-5637

Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps
10.561 State Administrative Matching Grants for Food Stamp Program

Finding No.: 04-108

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Status: Concur

Agency description:

The Department of Economic Security, Division of Benefits and Medical Eligibility has implemented procedures to reconcile all issuance records generated from its eligibility computer system to the confirmed amounts received from the contracted company. The reconciliation procedures were implemented in October 2004.

Contact person: Aldona Vaitkus
(602) 542-5637

Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps
10.561 State Administrative Matching Grants for Food Stamp Program

Finding No.: 04-109

Status: Concur

Agency description:

To help ensure that changes made to the recipients' cash assistance benefits are consistently reflected throughout the fiscal year within the recipients' case files in its eligibility computer system, the Department of Economic Security, Division of Benefits and Medical Eligibility (DBME) has revised the Arizona Integrated Manual and Benefit Information Guide to include instructions on effecting changes for participants involved in multiple cases and modified AZTECS as of November 1, 2004, to identify participants involved in multiple cases. DBME will continue to provide periodic training to staff on the policy and procedures regarding the change process.

Contact person: Aldona Vaitkus
(602) 542-5637

Agency: Department of Economic Security

CFDA No.: 17.245 Trade Adjustment Assistance—Workers

Finding No.: 04-110

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Status: Concur

Agency description:

The Department of Economic Security (Department) has taken steps to ensure that the reporting discrepancy identified in the audit finding is corrected for fiscal year 2005. The Department is working with the Arizona Department of Education to review invoiced costs and identify those that are administrative in nature. Administrative expenditures will be accounted for and reported in compliance with the program's grant agreement prospectively. The Department is also working with the U.S. Department of Labor (DOL) regarding correction of costs for prior periods. Once final resolution is reached with DOL, the corresponding journal entries will be prepared and processed to correct the questioned costs.

Contact person: David Starks
(602) 542-6319

Agency: Department of Economic Security

CFDA No.: 17.258 WIA Adult Program
17.259 WIA Youth Activities
17.260 WIA Dislocated Workers

Finding No.: 04-111

Status: Not Concur

Agency description:

The Department of Economic Security (Department) has reviewed the applicable rules and regulations related to the categorization of administrative and programmatic expenditures under the Workforce Investment Act (WIA) program. The Department believes that, based upon the existing federal rules and regulations, the classification of expenditures on the ETA 9076-A has been correct.

The U.S. Department of Labor (DOL) conducted a review in December 2003 and did not raise the same finding from September 2002. Based upon that review and the analysis of the rules and regulations related to WIA, the Department believes that it is reporting administrative and programmatic expenditures within the correct categories. The Department is working with the DOL to review its understanding of programmatic and administrative expenses and identify any potential issues that may exist.

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Contact person: David Starks
(602) 542-6319

Agency: Department of Economic Security

CFDA No.: 84.126 Rehabilitation Services—Vocational Rehabilitation Grants to States

Finding No.: 04-112

Status: Concur

Agency description:

The Department of Economic Security (Department), Division of Employment and Rehabilitation Services (DERS) agrees that applicable procurement rules and regulations must be followed. DERS is working with the Department's procurement office to explore solutions to the complex nature of providing needed services and goods to this specialized client population and is implementing the following strategies to address the deficiencies noted in the finding:

- Rehabilitation Services Administration (RSA) central office contract staff have been moved to the Office of Procurement (OP);
- OP has reduced the RSA field case managers' procurement authority from \$10,000 to \$1,000 per purchase;
- DERS has reclassified 50 positions in the field to focus on the procurement aspect of client services; approximately half of these positions have been filled as of March 2005;
- Program policies have been modified to expand the variety of situations in which clients purchase and pay for their own services; and
- DERS and OP are working with the Arizona Department of Administration to arrange training for the 50 new positions.

DERS will continue its efforts with OP to ensure adherence to applicable procurement rules and regulations, while providing needed services to clients.

Contact person: Lynne Larson
(602) 542-6287

Agency: Department of Economic Security

CFDA No.: 17.225 Unemployment Insurance

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Finding No.: 04-113

Status: Concur

Agency description:

The Department of Economic Security (Department), Division of Employment and Rehabilitation Services has implemented the following procedures to help ensure that unemployment insurance benefits are issued only to eligible claimants:

- Development of policies and procedures regarding claims converted from shared work to regular claims;
- Compilation of a list of employers believed to be seasonal, which will be reviewed by the Policy and Training Unit for verification and establishment of an exempt seasonal employer listing to be used by staff to verify that a claimant is exempt;
- Modification of the automated program that verifies the Job Service registration for the issuance of a claimant's first payment to check for a registration regardless of whether or not the payment was beyond the 35th day of filing a claim;
- Modification of the automated program to check the Job Service registration code for claimants;
- Production of a daily report to identify if a claim's local office changes from Interstate Liable, Approved Training, and Shared Work (Job Service is not required) to a regular claim (any other local office number) and distribution of the report to the call centers for review; and
- Development of specifications to modify the GUIDE subsystem to track when the 'Job Service Registration Required' indicator changes and generate a report that will be used to review claims and determine if the claimant is in compliance with eligibility requirements.

Total fiscal year 2004 net assets and expenditures of the Department's Unemployment Insurance Benefits Fund were \$796,118,819 and \$397,656,750, respectively.

Contact person: Dennis Green
(602) 542-0538

Agency: Department of Economic Security

CFDA No.: 93.558 Temporary Assistance for Needy Families
93.658 Foster Care—Title IV-E
93.667 Social Services Block Grant

Finding No.: 04-114

State of Arizona
Corrective Action Plan
Year Ended June 30, 2004

Federal Award Findings and Questioned Costs

Status: Concur

Agency description:

To help ensure that payroll expenditures for Foster Care employees on educational leave are charged accurately to the Foster Care Title IV-E program, the Department of Economic Security, Division of Children, Youth and Families will:

- Contact all employees who are presently participating in the educational leave programs and provide them with updated instructions on how to fill out their timesheets and the proper codes to use, and
- Ensure that all supervisors of employees participating in the educational leave programs are provided with the updated instructions and reminded of the requirement to ensure that payroll expenditures for Foster Care employees are charged accurately.

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Agency: Department of Economic Security

CFDA No.: 93.563 Child Support Enforcement

Finding No.: 04-115

Status: Concur

Agency description:

The Department of Economic Security, Division of Child Support Enforcement (DCSE) is dedicated to the establishment of paternity and support order and has taken steps to correct the deficiencies noted in the finding. To help ensure that a support obligation is established within 90 calendar days of locating the noncustodial parent, DCSE has implemented the following process improvements:

- Use of new caseload reports to monitor staffing levels and to shift resources, if necessary, to ensure that there are adequate resources assigned to the paternity/establishment functional areas.
- Continuing use of automated solutions to evaluate and assign work assignments to case managers and locate technicians. Reports have been developed as a proactive measure to ensure compliance with federal regulations. The reports identify cases that are potentially "at risk" and are distributed each month to all offices statewide.

State of Arizona
Corrective Action Plan
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Federal Award Findings and Questioned Costs

- Each year, an employee under the direction of the Budget Control Analyst will create an Excel file and track all changes and revisions to the SPPE data. Once this Excel file is completed and verified, it is uploaded to an ADE software application in the appropriate NCES reporting format so that the roll up of the FPFOS may be further analyzed and verified. Employees will manually validate amounts and correct them if necessary.
- As a secondary check, the Director of School Finance Operations will execute a data query prior to any SPPE data submissions to the NCES. This data query lists SPPE dollar amounts by individual FPFOS for a given reported line item on the NPEFS, e.g., Operations and Management – Other Expenditures. The SPPE dollar amounts by FPFOS for line items generated by this data query will be compared with corresponding amounts generated by the above-mentioned ADE software application in the appropriate NCES reporting format. Any differences will be identified and resolved.
- The Deputy Associate Superintendent of Financial Services – School Finance, will review the NPEFS submission prior to final approval by the Associate Superintendent for Financial Services. After this final approval, the NPEFS is uploaded to the NCES.

Contact Person: Paul Carolan
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Summary Schedule of Prior Audit Findings

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.173 Special Education—Preschool Grants
 84.213 Even Start—State Educational Agencies
 84.243 Tech-Prep Education
 84.276 Goals 2000—State and Local Education Systemic Improvement Grants
 84.332 Comprehensive School Reform Demonstration
 84.340 Class Size Reduction

Finding No.: 00-106

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.173 Special Education—Preschool Grants
 84.276 Goals 2000—State and Local Education Systemic Improvement Grants
 84.340 Class Size Reduction

Finding No.: 01-104

Status: Fully Corrected

State of Arizona
Summary Schedule of Prior Audit Findings
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Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies

Finding No.: 02-102

Status: Not Corrected

Employees of the Arizona Department of Education's (ADE's) School Finance Unit will implement the following steps to ensure that adequate internal control is established and maintained over the accuracy and completeness of data submissions of average State per pupil expenditures (SPPE) on the National Public Education Finance Survey (NPEFS) to the National Center for Educational Statistics (NCES).

- Employees will review and analyze any new requirements relating to the NPEFS and verify any changes from the prior year. This review and analysis begins with an examination of the Annual Financial Reports (AFRs) submitted by the various LEAs, especially regarding new and/or deleted funds. Changes in Federal grants are also analyzed. Updated instructions for the NPEFS issued by the NCES are reviewed annually to ensure that the Fund Program Function Objects (FPFOs) roll up properly.
- Each year, an employee under the direction of the Budget Control Analyst will create an Excel file and track all changes and revisions to the SPPE data. Once this Excel file is completed and verified, it is uploaded to an ADE software application in the appropriate NCES reporting format so that the roll up of the FPFOs may be further analyzed and verified. Employees will manually validate amounts and correct them if necessary.
- As a secondary check, the Director of School Finance Operations will execute a data query prior to any SPPE data submissions to the NCES. This data query lists SPPE dollar amounts by individual FPFOs for a given reported line item on the NPEFS, e.g., Operations and Management – Other Expenditures. The SPPE dollar amounts by FPFOs for line items generated by this data query will be compared with corresponding amounts generated by the above-mentioned ADE software application in the appropriate NCES reporting format. Any differences will be identified and resolved.
- The Deputy Associate Superintendent of Financial Services – School Finance, will review the NPEFS submission prior to final approval by the Associate Superintendent for Financial Services. After this final approval, the NPEFS is uploaded to the NCES.

Contact Person: Paul Carolan
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State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.340 Class Size Reduction
84.348 Title I Accountability Grants

Finding No.: 02-103

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 84.027 Special Education—Grants to States
84.173 Special Education—Preschool Grants

Finding No.: 02-104

Status: Partially Corrected

Agency Description:

The agency developed a policy to ensure compliance with the maintenance-of-effort and level-of-effort compliance prior to awarding grant monies to subrecipients in late fiscal year 2003 and has implemented this policy for fiscal year 2004.

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.340 Class Size Reduction
84.348 Title I Accountability Grants

Finding No.: 02-105

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Status: Fully Corrected

Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps
93.558 Temporary Assistance for Needy Families

Finding No.: 03-101

Status: Not Corrected

Agency description:

To help ensure food stamps and cash assistance benefits are issued only to eligible recipients, the Department of Economic Security, Family Assistance Administration local office managers will monitor the Electronic Benefits Transfer (EBT) process on a daily basis for compliance with the following internal controls over the process of activating and issuing EBT cards:

- Adequate segregation of the responsibilities of authorizing and issuing EBT cards;
- Consistent request for and documentation of recipient's identification;
- Proper reconciliation of EBT cards;
- Adequate destruction of damaged EBT cards; and
- Adequate safeguarding of point-of-service machines.

To prevent fraud, the AZTECS computer subsystem has been modified to generate exception reports for large dollar transactions; family sizes greater than a specified number; unusual activity by employees; and other case conditions. In addition, the following procedures have been implemented:

1. Management approval is required for authorization and issuance of all supplemental payments; and
2. Local office managers are required to periodically verify the proper use of logon identification or passwords by employees.

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State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Agency: Department of Economic Security

CFDA No.: 93.558 Temporary Assistance for Needy Families

Finding No.: 03-102

Status: Not Corrected

Agency description:

It is critical to the Department of Economic Security (Department) that case files are properly maintained. Division of Benefits and Medical Eligibility (DBME) regional program managers reviewed procedures in the local offices for storing, accessing, and accounting for case files. To help ensure adequate space is available to properly file all documents, DBME purchased in June 2004 additional filing units and shelving and made file room reorganization a priority in all local offices. For a long-range solution to the proper maintenance of Temporary Assistance for Needy Families recipients' case files, the Department implemented a Pilot Document Management System for Proof of Concept in the Tempe office, which was completed in November 2004.

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Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps

Finding No.: 03-103

Status: Not Corrected

Agency description:

The Department of Economic Security (Department), Division of Benefits and Medical Eligibility (DBME) has implemented procedures to reconcile all issuance records generated from its eligibility computer system to the confirmed amounts received from the contracted company. The reconciliation procedures were implemented October 2004.

State of Arizona
Summary Schedule of Prior Audit Findings
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Status of Federal Award Findings and Questioned Costs

DBME issued an amended FNS-46 report on July 29, 2004. DBME coordinated with the U.S. Department of Agriculture, Food and Nutrition Services and the Department's Office of Special Investigations to develop policies and procedures to identify fraudulent amounts.

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Agency: Department of Economic Security

CFDA No.: 10.551 Food Stamps

Finding No.: 03-104

Status: Not Corrected

Agency description:

To help ensure that changes made to the recipients' cash assistance benefits are consistently reflected in the food stamp calculation throughout the fiscal year within the recipients' case files in its eligibility computer system, the Department of Economic Security, Division of Benefits and Medical Eligibility modified AZTECS as of November 1, 2004, to identify participants involved in multiple cases.

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Agency: Department of Economic Security

CFDA No.: 96.001 Social Security—Disability Insurance

Finding No.: 03-105

Status: Not Corrected

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Agency description:

To help ensure that payments to doctors who travel and provide special services are properly supported by a contract price or vendor invoice, the Department of Economic Security, Disability Determination Service Administration (DDSA) will add an Addendum to the Consultative Examiners Service Agreements that delineates the amount to be paid for examinations, mileage, lodging, and rent, (if applicable). All contracts were adjusted as of July 1, 2004.

To help ensure that vendors are paid correctly, DDSA consistently will enforce its established procedures for payments, which include:

- Approval of vendor invoices;
- Segregation of the responsibilities for preparation and approval of payment vouchers;
- Matching of invoices to payment vouchers; and
- Properly voicing warrants with incorrect amounts.

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Agency: Department of Economic Security

CFDA No.: 96.001 Social Security—Disability Insurance

Finding No.: 03-106

Status: Not Corrected

Agency description:

The Department of Economic Security (Department) does not concur with the findings. The Department's Disability Determination Service Administration is working with the Procurement Administrator and the Arizona Attorney General's Office to determine the appropriate course of action to resolve the issue with the Internal Revenue Service (IRS) regarding classification of its medical consultants as employees. The IRS has not audited any of the Department's records that pertain to this matter and has not issued a formal determination.

State of Arizona
Summary Schedule of Prior Audit Findings
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Status of Federal Award Findings and Questioned Costs

To clarify the relationship of the medical consultants as subcontractors or independent contractors, the Department is currently in the process of modifying its contract agreements to include language that supports independent contractor status by:

- Developing a revised scope of work;
- Clarifying the role of the contractor and who controls the details of the work; and
- Ensuring that the contract language describing the work provided and under what conditions the work is performed supports that medical consultants are not state employees.

Contracts scheduled to expire in September 2004 were extended to March 31, 2005. The new contracts will include the revised scope of work and provide more flexibility for contractors.

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Agency: Department of Economic Security

CFDA No.: 17.245 Trade Adjustment Assistance—Workers

Finding No.: 03-107

Status: Not Corrected

Agency description:

The Department agrees that the State must charge costs appropriately to administrative and program activities and functions and is in the process of preparing expenditures corrections to properly record federal monies passed through to the Arizona Department of Education. Applicable federal reports will be revised to accurately reflect the administrative costs incurred by the Arizona Department of Education.

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State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Agency: Department of Economic Security

CFDA No.: 17.245 Trade Adjustment Assistance—Workers

Finding No.: 03-108

Status: Fully Corrected

Agency: Department of Economic Security

CFDA No.: 17.258 WIA Adult Program
17.259 WIA Youth Activities
17.260 WIA Dislocated Workers

Finding No.: 03-109

Status: Fully Corrected

Agency: Department of Health Services

CFDA No.: 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

Finding No.: 03-110

Status: Fully Corrected

Agency: Department of Health Services

CFDA No.: 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

Finding No.: 03-111

Status: Fully Corrected

State of Arizona
Summary Schedule of Prior Audit Findings
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Status of Federal Award Findings and Questioned Costs

Agency: Department of Education
CFDA No.: 84.010 Title I Grants to Local Educational Agencies
Finding No.: 03-112
Status: Not Corrected

Employees of the Arizona Department of Education's (ADE's) School Finance Unit will implement the following steps to ensure that adequate internal control is established and maintained over the accuracy and completeness of data submissions of average State per pupil expenditures (SPPE) on the National Public Education Finance Survey (NPEFS) to the National Center for Educational Statistics (NCES).

- Employees will review and analyze any new requirements relating to the NPEFS and verify any changes from the prior year. This review and analysis begins with an examination of the Annual Financial Reports (AFRs) submitted by the various LEAs, especially regarding new and/or deleted funds. Changes in Federal grants are also analyzed. Updated instructions for the NPEFS issued by the NCES are reviewed annually to ensure that the Fund Program Function Objects (FPFOs) roll up properly.
- Each year, an employee under the direction of the Budget Control Analyst will create an Excel file and track all changes and revisions to the SPPE data. Once this Excel file is completed and verified, it is uploaded to an ADE software application in the appropriate NCES reporting format so that the roll up of the FPFOs may be further analyzed and verified. Employees will manually validate amounts and correct them if necessary.
- As a secondary check, the Director of School Finance Operations will execute a data query prior to any SPPE data submissions to the NCES. This data query lists SPPE dollar amounts by individual FPFOs for a given reported line item on the NPEFS, e.g., Operations and Management – Other Expenditures. The SPPE dollar amounts by FPFOs for line items generated by this data query will be compared with corresponding amounts generated by the above-mentioned ADE software application in the appropriate NCES reporting format. Any differences will be identified and resolved.
- The Deputy Associate Superintendent of Financial Services – School Finance, will review the NPEFS submission prior to final approval by the Associate Superintendent for Financial Services. After this final approval, the NPEFS is uploaded to the NCES.

Contact Person: Paul Carolan
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State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.367 Improving Teacher Quality State Grants

Finding No.: 03-113

Status: Fully Corrected

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.173 Special Education—Preschool Grants
84.367 Improving Teacher Quality State Grants

Finding No.: 03-114

Status: Partially Corrected

Agency Description:

The agency developed a policy to ensure compliance with the maintenance-of-effort and level-of-effort compliance prior to awarding grant monies to subrecipients in late fiscal year 2003 and has implemented this policy for fiscal year 2004.

The Title I program area has subsequently reduced their amount of funds available for carryover by \$15,963, referenced above assuring the LEA's 2003 expenditures reflect the disallowance for their failure to maintain effort.

State of Arizona
Summary Schedule of Prior Audit Findings
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Status of Federal Award Findings and Questioned Costs

Agency: Department of Education

CFDA No.: 84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.367 Improving Teacher Quality State Grants

Finding No.: 03-115

Status: Fully Corrected

Agency: General Accounting Office

CFDA No.: 10.558 Child and Adult Care Food Program

Finding No.: 03-116

Status: Fully Corrected

Agency: Department of Economic Security

CFDA No.: 17.258 WIA Adult Program
17.259 WIA Youth Activities
17.260 WIA Dislocated Workers

Finding No.: 03-117

Status: Not Corrected

Agency Description:

The Department of Economic Security (Department) does not concur with the finding. The Department agrees that the State must charge costs appropriately to administrative and program activities and functions and interprets the determining factor in the classification of costs as the nature of the activity or function performed by its programs, not by its pass-through entities. In the Department's opinion, all costs for the Workforce Investment Act have been correctly reported to the U.S. Department of Labor (DOL). The Department has requested clarification from the U.S. DOL on this issue and is currently awaiting the issuance of a Training and Employment Guidance Letter on the matter.

State of Arizona
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2004

Status of Federal Award Findings and Questioned Costs

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