

COST ALLOCATION AGREEMENT
STATE AND LOCAL GOVERNMENTS

STATE OF ARIZONA
100 N. 15th Avenue, Rm. 302
PHOENIX, AZ 85007

DATE: June 20, 2016
FILING REF.: The preceding
agreement was dated:

04/24/15

G10266

SECTION I: ALLOCATED COSTS

The central service costs listed in Exhibit A, attached, are approved on a fixed basis and may be included as part of the costs of the State/local departments and agencies indicated during your fiscal year ended June 30, 2016 for further allocation to Federal grants, contracts and other agreements performed at those departments and agencies.

SECTION II: BILLED COSTS

In addition to Section I, which provides for services furnished but not billed, the services listed below are furnished and billed to State/local departments and agencies.

- A. Department of Administration and Information
 - 1) Arizona Strategic Enterprise Technology Division
 - Automation Service
 - Telecommunication Service
 - Strategic Transformation and Innovation
 - 2) General Services Division
 - Motor Pool
 - Special Services (Reproduction, Mail and messenger, Central Supplies)
 - 3) Human Resources Division
 - Personnel Fund
 - Health Insurance Trust Fund
 - 4) Risk Management Division
 - Risk Management Fund
 - Unemployment Compensation
- B. Department of Law - Interagency Services Agreement
- C. Office of the Auditor General - Audit Services (including ARRA Admin. costs effective 2/17/09)
- D. Governor's Office of Economic Recovery (ARRA admin. costs effective 2/17/09)
- E. Retirement Fund - ASRS

Direct charges from the above centers will be billed in accordance with rates established by the State and included in the records of the operating department/agency responsible for providing services.

STATE/LOCALITY: State of Arizona

AGREEMENT DATE: June 20, 2016

SECTION III: CONDITIONS

The amounts approved in Section I and the billings for the services listed in Section II are subject to the following conditions:

A. LIMITATIONS: (1) Charges resulting from this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. (2) Such charges represent costs incurred by the State/locality which are legal obligations of the State/locality and are allowable under Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200). (3) The same costs that are treated as indirect costs are not claimed as direct costs. (4) Similar types of costs are accorded consistent accounting treatment. (5) The information provided by the State/locality which was used to establish this Agreement is not later found to be materially incomplete or inaccurate.

B. ACCOUNTING CHANGES: This Agreement is based on the accounting system purported by the State/locality to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from use of this Agreement require prior approval of the authorized representative of the Cognizant Agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from allocated cost to a billed cost. Failure to obtain approval may result in cost disallowances.

C. FIXED AMOUNTS: If fixed amounts are approved in Section I of this Agreement, they are based on an estimate of the costs for the period covered by the Agreement. When the actual costs for this period are determined, adjustments will be made to the amounts of a future year to compensate for the difference between the costs used to establish the fixed amounts and actual costs.

D. BILLED COSTS: Charges for the services listed in Section II will be billed in accordance with rates established by the State/locality. These rates will be based on the estimated costs of providing the services. Adjustments for variances between billed costs and the actual allowable costs of providing the services, as defined by 2 CFR 200, will be made in accordance with procedures agreed to between the State/locality and the Cognizant Agency.

E. USE BY OTHER FEDERAL AGENCIES: This Agreement was executed in accordance with the authority in 2 CFR 200, and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in Paragraph A above. The state/locality may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

BY THE STATE/LOCALITY:

STATE OF ARIZONA

(STATE/LOCALITY)

(SIGNATURE)

(NAME)

(TITLE)

(DATE)

BY THE COGNIZANT AGENCY

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Arif M. Karim -A

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

June 20, 2016

(DATE)

HHS Representative: Karen Wong

Telephone: (415) 437-7820

Digitally signed by Arif M. Karim -A
DN: cn=Arif M. Karim -A, o=U.S. Government, ou=HHS, ou=PSC, ou=People, cn=Arif M.
Karim -A, 6.9.2342.19200390.102.1.1=79900212895
Date: 2016.06.22 09:08:46 -0500

	DEPARTMENT OF ADMINISTRATION				DEPT OF LAW	TREASURER	SECRETARY OF STATE	GOVERNOR'S OFFICE		ADOA	
	TOTAL ALLOCATED COSTS	GENERAL ACCOUNTING OFFICE	STATE PROCUREMENT SERVICE	RISK MANAGEMENT DIVISION	MAIL ROOM	ATTORNEY GENERAL	STATE TREASURER	PUBLIC RECORDS	OSPB	EQUAL OPPORTUNITY	OCCUPANCY
GENERAL GOVERNMENT											
Attorney General	1,846,934	75,512	87,244	2,352	(221)	(8,873)	2,839	26,861	27,440	3,360	1,630,421
Arizona Commerce Authority	(316,342)	189	-	26	(129)	-	19	(85,010)	8,602	-	(240,038)
Department of Housing	337,106	24,883	(55)	131	(113)	(2,104)	1,157	935	8,048	(23)	304,246
Office of the Governor	408,690	7,018	18,859	469	1,413	(2,602)	462	(76)	(19)	396	382,770
Homeland Security	95,561	2,471	108	74	711	(33)	136	-	973	92	91,028
Secretary of State	3,631,761	13,437	6,616	280	6,770	(16,976)	943	1,412	25,216	882	3,593,182
Capital Postconviction Public Defender	(2,045)	(877)	(68)	(5)	(69)	-	(49)	-	(940)	(37)	-
Supreme Court	2,905,048	54,929	441	1,500	166	(10,418)	3,707	(55)	-	1,141	2,853,637
HEALTH AND WELFARE											
Health Care Cost Containment System	286,325	126,619	27,984	2,772	-	-	4,313	(5,134)	100,332	18,830	10,610
Department of Economic Security	5,833,439	691,490	73,798	33,993	1,676	(23)	56,076	2,378	215,232	47,283	4,711,535
Department of Environmental Quality	2,162,546	126,431	1,224	1,297	180	(34)	2,401	182	12,158	1,329	2,017,379
Department of Health Services	4,413,294	217,984	(898)	7,680	1,618	(5,566)	7,743	328	153,099	5,896	4,025,411
Comm for the Deaf & the Hard of Hearing	211,823	2,222	(13,517)	36	1,381	(194)	113	-	533	98	221,151
Water Infrastructure Finance Authority	108,816	1,190	23,042	50	34	(56)	157	-	3,566	114	80,718
Veterans' Services	91,398	24,513	36,288	4,242	-	(682)	2,517	(32)	21,280	3,272	-
INSPECTION AND REGULATION											
Department of Agriculture	882,362	17,584	(8,484)	1,104	10,600	(31)	1,111	(56)	11,927	834	847,774
Dept of Building & Fire Safety	136,960	4,029	(555)	127	(25)	(7)	216	(162)	3,747	307	129,282
Corporation Commission	976,712	17,980	1,337	563	398	-	2,169	(13,783)	11,486	1,928	954,634
Industrial Commission	51,751	23,359	61	1,033	(340)	(236)	1,513	1,218	23,835	1,309	-
State Mine Inspector	49,709	1,910	(8,411)	230	920	(423)	145	84	1,874	92	53,290
Radiation Regulatory Agency	(10,211)	3,771	(20,354)	99	-	(1,857)	242	(17)	7,680	224	-
Board of Nursing	36,507	9,352	19,189	125	460	(136)	418	108	6,705	287	-
Structural Pest Control Commission	23,838	1,894	(804)	56	(247)	(22)	229	-	3,401	143	19,189
Department of Liquor Licenses and Control	65,124	3,512	350	175	13,550	(356)	247	0	26,225	296	21,126
Department of Insurance	842,987	28,180	130,377	326	36,373	-	1,359	-	4,779	1,076	640,518
State Board of Pharmacy	140,660	6,854	6,695	71	9,542	(12)	250	-	(424)	118	117,565
EDUCATION											
AZ Commission on the Arts	48,354	1,669	-	43	-	(64)	124	-	3,566	-	43,016
School for the Deaf and the Blind	37,768	22,274	(12,023)	2,989	1,102	(2,839)	3,263	-	19,628	3,374	-
Department of Education	643,223	99,433	368	2,903	294	(24,564)	4,291	554	75,475	1,062	483,407
Board of Regents	10,321	536	200	63	-	-	19	69	9,264	169	-
Arizona State University	129,810	470	44,839	72,095	-	(436)	7	(211)	13,046	-	-
Northern Arizona University	43,478	161	16,586	19,676	135	-	6	42	6,872	-	-
University of Arizona	130,197	342	46,103	75,177	-	(436)	8	-	9,003	-	-
School Facilities Board	106,756	855	132	35	(15)	(24)	104	(860)	36,468	111	69,949
Arizona Historical Society	165,936	7,737	502	1,157	3	(107)	300	-	5,788	424	150,131
Early Childhood Development and Health Board	60,805	28,400	25,894	452	1,501	-	1,525	-	3,033	-	-
Commission for Postsecondary Education	(5,869)	3,267	(1,819)	27	401	(1,595)	132	-	4,044	33	(10,357)
PROTECTION AND SAFETY											
Department of Corrections	1,994,727	284,018	57,297	65,549	699	(8,641)	33,231	(6,301)	97,333	57,996	1,413,547
AZ Criminal Justice Commission	127,253	6,903	79	63	134	(417)	482	(8)	6,082	64	113,870
Dept of Emergency Svcs & Military Affairs	130,975	41,720	62,076	4,111	-	(988)	2,742	0	20,969	345	-
Department of Public Safety	166,079	87,967	13,824	23,663	63	(4,046)	8,810	(1,637)	26,675	10,760	-
Governor's Office of Highway Safety	6,247	5,120	85	-	-	-	345	-	625	72	-
Juvenile Corrections	85,558	2,434	6,112	2,218	(60)	(1,097)	2,038	(1,494)	9,917	3,423	62,068
Auto Theft Authority	36,676	1,001	46	19	321	(765)	43	-	(811)	39	36,782
TRANSPORTATION											
Department of Transportation	1,357,126	955,518	40,497	129,929	-	(487)	49,262	(4,854)	58,960	29,743	98,557
NATURAL RESOURCES											
Game and Fish Department	169,778	121,563	15,045	5,824	-	(776)	2,217	188	23,929	1,789	-
Arizona Geological Survey	246,769	6,659	80	27	74	(4)	290	-	22,477	67	217,098
State Land Department	682,605	7,475	7,393	6,402	-	(33,833)	557	(410)	20,027	677	674,318
State Parks Board	325,503	30,233	45,860	2,504	10,596	(5,395)	1,514	(671)	21,034	696	219,134
Arizona State Forestry Division	144,576	19,706	28,781	306	-	-	704	(24)	26,837	340	67,926
Department of Water Resources	7,473	10,286	(449)	398	10	-	598	(1,617)	18,043	(38)	(19,758)
OTHERS											
	9,249,077	204,927	724,651	34,275	172,500	(222,921)	13,485	172,109	657,438	16,841	7,475,771
CENTRAL SERVICE	6,153,642	972,918	37,807	17,285	37,091	(6,731)	10,049	(13,348)	123,657	3,063	4,971,851
TOTAL	47,465,597	4,410,025	1,540,435	525,995	309,495	(366,804)	226,575	70,710	1,996,133	220,293	38,532,739