



STATE OF ARIZONA
Federal Financial Assistance Checklist

At June 30, 20__

Exhibit H (1)

Agency: _____
 ID Code _____ Agency Name: _____

Prepared: _____ By: _____
 Date _____ Employee Name _____ Title _____

Phone _____ Email _____

Approved: _____ By: _____
 Date _____ Name/Title _____ Email _____

Identify the source[s] of your agency's Federal assistance. Check all that apply.

- | | |
|----------------------------|--|
| Federal Government | Non-Federal, non-State of AZ agency |
| Another State of AZ agency | State Universities (3 major; NAU, ASU, UofA) |

- | | | |
|--|-----|--|
| 1. Are cost structure elements (Program) used to capture the activity related to your agency's Federal assistance?
If no, complete the ADDITIONAL FEDERAL EXPENDITURE REPORTING Form for each agreement. | Yes | No |
| 2. Review InfoAdvantage report C083 for your agency related to the appropriate FY. Does the report reflect the total expenditures for your agency by CFDA in the corresponding FY?
If no, please complete the FEDERAL EXPENDITURE ADJUSTMENTS Form . | Yes | No |
| 3. Are there any expenditures that occurred after the FY under audit that are related to grant activity in that FY and need to be accrued for SEFA reporting?
If yes, complete the FEDERAL EXPENDITURE ADJUSTMENTS Form 18 for Accrual . | Yes | No |
| 4. Does your agency pass through grant funds to NAU, ASU, or UofA (3 major)?
If yes, complete the FEDERAL EXPENDITURE ADJUSTMENTS Form for each university. | Yes | No |
| 5. Are match expenditures captured in an established federal cost structure?
If yes, complete the FEDERAL EXPENDITURE ADJUSTMENTS Form 18 for all instances. | Yes | No |
| 6. Does your agency recover indirect costs from grants?
If yes, answer question 7. | Yes | No |
| 7. Is your indirect cost rate negotiated or the de minimis rate as per 2 CFR 200.414(f)? | | Negotiated rate

De minimis rate |
| 8. Does your agency have a Grant Program (CFDA) near or above \$30 million in expenditures for the FY?
If yes, list the CFDA: | Yes | No |
| 9. As related to a Federal grantor 'review' (site visit), does your agency meet any of the following criteria; had a review during the FY under audit, are currently conducting a review, or have an upcoming review scheduled? | Yes | No |

**** NOTE - This Checklist must be submitted no later than July 22. ****